

Michigan Application for Individual Resident License

(Please Print or Type)

1 Social Security Number		2 If assigned, National Producer Number (NP#)		3 If applicable, NASD Individual Central Registration Depository (CRD) Number	
4 Are you affiliated with a financial institution/bank? Yes <input type="checkbox"/> No <input type="checkbox"/>					
5 Last Name		6. JR./SR. etc	7. First Name		8. Middle Name
9 Date of Birth (month) ___ (day) ___ (year)___					
10 Residence/Home Address (Physical Street)			11 Home Address (Line Two)		
12 City		13 State or Province	14 Zip		15 Foreign Country
16 Home Phone Number () -		17 Gender (Circle One) Male Female	18 Are you a Citizen of the United States? (Check One) Yes <input type="checkbox"/> No <input type="checkbox"/> (If No, of which country are you a citizen?) (If No, you must supply work authorization)		
19 Employer's Name					
20 Business Address (Physical Street)			21 Business Address (Line Two)		
22 City		23 State or Province	24 Zip		25 Foreign Country
26 Business Phone Number () -	27 Extension	28 Business Fax Number () -	29 Business E-Mail Address **Required**		30 Business Web Site Address
31 Mail Address (Physical Street or PO Box) ** Complete Mailing Address is Required **			32 Mailing Address (Line Two)		
33 City		34 State or Province	35 Zip		36 Foreign Country
37. a. List any other assumed, fictitious, alias, maiden or trade names which you have used in the past					
b. List any trade names under which you are currently doing business or intend to do business.					
Agency or Business Entity Affiliations					
38 List your Insurance Agency Affiliations: (Complete only if the applicant is to be licensed as an active member of the business entity)					
FEIN _____	NP # _____	Name of Agency _____			
FEIN _____	NP # _____	Name of Agency _____			
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FEIN _____	NP # _____	Name of Agency _____			
Employment History					
39 Account for all time for the past five years. Give all employment experience starting with your current employer working back five years. Include full and part-time work, self-employment, military service, unemployment and full-time education. **One entry is required. If no history, indicate "none".**					
		From	To	Position Held	
		Month	Year	Month	Year
Name					
City		State			
Name					
City		State			
Name					
City		State			
Name					
City		State			
Name					
City		State			

40	Type of License Requested										
Check the box in front of the license type(s) and the boxes under the line(s) of authority for which you are applying.											
License Type		Lines of Authority Requested									
		Accident & Health	Life	Variable Annuities	Life Pre-need only	Property	Casualty	Personal Lines	Credit Products	Limited Lines Property Casualty	Title
Agent/Producer											
Surplus Lines Producer		<i>Leave blank for Surplus lines producers, not applicable</i>									

Background Information

42 The Applicant must read the following very carefully and answer every question. All written statements submitted by the Applicant must include an original signature.

1. Have you ever been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime? Yes ___ No ___

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations or convictions involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

 - a) a written statement explaining the circumstances of each incident,
 - b) a copy of the charging document, and
 - c) a copy of the official document that demonstrates the resolution of the charges or any final judgment.

If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033? N/A ___ Yes ___ No ___

If so, was that waiver granted? (Attach copy of 1033 waiver approved by home state.) N/A ___ Yes ___ No ___

2. Have you or any business in which you are or were an owner, partner, officer or director, or member or manager of limited liability company, ever been involved in an administrative proceeding regarding any professional or occupational license, or registration? Yes ___ No ___

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

 - a) a written statement identifying the type of license and explaining the circumstances of each incident,
 - b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
 - c) a copy of the official document that demonstrates the resolution of the charges or any final judgment.

3. Has any demand been made or judgment rendered against you or any business in which you are or were an owner, partner, officer or director, or member or manager of limited liability company, for overdue monies by an insurer, insured or producer, or have you ever been subject to a bankruptcy proceeding? Only include bankruptcies that involve funds held on behalf of others. Yes ___ No ___

If you answer yes, submit a statement summarizing the details of the indebtedness and arrangements for repayment, and/or type and location of bankruptcy.

4. Have you been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement? Yes ___ No ___

If you answer yes, identify the jurisdiction(s): _____

5. Are you currently a party to, or have you ever been found liable in, any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty? Yes ___ No ___

If you answer yes, you must attach to this application:

 - a) a written statement summarizing the details of each incident,
 - b) a copy of the Petition, Complaint or other document that commenced the lawsuit or arbitration, and
 - c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

6. Have you or any business in which you are or were an owner, partner, officer or director, or member or manager of limited liability company, ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct? Yes ___ No ___

If you answer yes, you must attach to this application:

 - a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent you from receiving an insurance license, and
 - b) certified copies of all relevant documents.

7. Do you have a child support obligation in arrearage? Yes ___ No ___

If you answer yes,

 - a) by how many months are you in arrearage? _____ Months
 - b) are you currently subject to a repayment agreement? Yes ___ No ___
 - c) are you the subject of a child support related subpoena/warrant? Yes ___ No ___

8. Are you the subject of a child support related subpoena or warrant? Yes ___ No ___

If you answer yes, submit a statement showing compliance with administrative or court ordered child support.

Applicants Certification and Attestation

- 43 The Applicant must read the following very carefully:
1. I hereby certify that, under penalty of perjury, all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties.
 2. Where required by law, I hereby designate the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to be my agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner, Director or Superintendent of Insurance, or other appropriate party of that jurisdiction is of the same legal force and validity as personal service upon myself.
 3. I further certify that I grant permission to the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to verify information with any federal, state or local government agency, current or former employer, or insurance company.
 4. I further certify that, under penalty of perjury, either a) I have no child-support obligation, or b) I have a child-support obligation and I am currently in compliance with that obligation.
 5. I authorize the jurisdictions to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
 6. I acknowledge that I am familiar with the insurance laws and regulations of the jurisdictions to which I am applying for licensure.
 7. I certify that I have read the instructions and material stated on this form and hereby attest that I am in compliance with all requirements and regulations referenced therein.

 Month Day Year

 Original Applicant Signature

 Full Legal Name (Printed or Typed)

Attachments

44 As indicated for Background Information questions above

Authorized by PA 218 of 1956 as amended. Failure to properly complete this application may result in a rejection of your application, or a compliance action including revocation, against any Michigan licenses issued to you by the Office of Financial and Insurance Regulation.



Michigan Department of Labor & Economic Growth

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