

Clean Water Revolving Funds (SRF & SWQIF) Loan Application

PART III - PROJECT INFORMATION

Questions about Part III should be directed to your DEQ project manager.

Project Name _____

SRF Project Number _____ SWQIF Project Number _____

A. Part III Submittal Attachments

For a project that includes competitively-bid construction contracts, please attach the following items:

- | | | |
|---|----|---|
| <input type="checkbox"/> Attached
<input type="checkbox"/> N/A | 1. | A copy of each bid advertisement published for the project. |
| <input type="checkbox"/> Attached
<input type="checkbox"/> N/A | 2. | A copy of the bid tabulations for each construction contract. |
| <input type="checkbox"/> Attached
<input type="checkbox"/> N/A | 3. | A copy of the bid proposal of each successful bidder. |
| <input type="checkbox"/> Attached
<input type="checkbox"/> N/A | 4. | A copy of the applicant municipality's resolution of tentative award for each construction or trade contract that was competitively bid.

<i>A model resolution is attached at the end of Part III for your use.</i> |
| <input type="checkbox"/> Attached
<input type="checkbox"/> N/A | 5. | Where competitive bidding is not required (construction work valued at LESS than \$50,000), attach a copy of the proposal or quote received from each subcontractor selected. This applies to subcontractors directly procured by the applicant or subcontractors procured by a construction manager under contract with the applicant. |

For **all** projects, please attach the following items:

- | | | |
|-----------------------------------|----|---|
| <input type="checkbox"/> Attached | 6. | A completed and signed debarment certification form ("Required Standard Contract Language: Clean Water State Revolving Fund and Drinking Water Revolving Fund") from the applicant municipality and from each contractor who has or will provide a service of \$25,000 or more for which the applicant will be requesting SRF or SWQIF reimbursement (e.g., each successful bidder, the consulting engineer, the financial advisor, the bond counsel, etc.). |
| <input type="checkbox"/> Attached | 7. | A completed Disadvantaged Business Enterprises (DBE) Good Faith Efforts Worksheet along with applicable attachments.

<i>Consult the DBE FAQ document (Attachment 2 of the Design Phase Guidance) for further detail regarding the completion of this form which appears in "Required Standard Contract Language: Clean Water State Revolving Fund and Drinking Water Revolving Fund." Examples of items this package may include are fax confirmation sheets, copies of solicitation letters/e-mails, printouts of online solicitations, printouts of online search results and affidavits of publication in newspapers.</i> |

B. Application Completion Information

1. Have all bidding document addenda for the project(s) been approved by the DEQ?

YES NO N/A Number of SRF Project Addenda Issued _____
Number of SWQIF Project Addenda Issued _____

If NO, please enclose two copies of each addendum which has not been submitted for DEQ review and approval.

2. Have there been any bid protests? YES NO N/A

If YES, please attach a separate sheet detailing the bid protest(s). If a bid protest arises after you submit this part of your SRF or SWQIF application, please alert your DEQ project manager immediately.

3. Please identify the authorized bonding ceiling for the project(s):

SRF Project: \$ _____ SWQIF Project: \$ _____

4. Are funds being provided for the project(s) from a source other than Michigan's Clean Water Revolving Funds (SRF and/or SWQIF)?

YES NO

If YES, please identify below all sources of funding for project costs which will not be financed through SRF or SWQIF loan assistance. Project costs which have been or will be covered by another source of funding, either public or private, must be excluded from the costs for which you will be requesting reimbursement.

Source of Non-SRF Funding Amount of Funding

Source of Non-SWQIF Funding Amount of Funding

5. Has a final Alternative Justifiable Expenditure (AJE) been submitted for the project(s)?

YES NO N/A

If NO, please enclose the final AJE or immediately contact your DEQ project manager to arrange an acceptable submittal date.

6. Have all easements, land, and leasing arrangements necessary for SRF project construction been obtained?

YES NO N/A

If NO, please attach a separate sheet detailing your schedule for the completion of this activity. Please note that major building sites must be under ownership or lease before the Order of Approval can be issued. If minor easements still need to be obtained, the schedule must provide for resolution within 60-days of loan closing.

7. Please provide your current project implementation schedule(s):

SRF Construction Start Target Date _____

SRF Initiation of Operation Target Date _____

Completion of SRF Construction Target Date _____

SWQIF Construction Start Target Date _____

SWQIF Initiation of Operation Target Date _____

Completion of SWQIF Construction Target Date _____

C. Estimated Schedule of SRF Disbursements

*In the table below, please identify your estimated schedule for receiving disbursements from the Michigan Water Pollution Control Revolving Loan Fund. The first disbursement should include all eligible costs incurred prior to the loan closing date. Please attempt to accurately estimate the monthly disbursements. **Please note that the estimates you provide will not limit the amount of funds you may receive in a given month.***

Please use the dollar amount provided by your DEQ project manager to calculate your estimated schedule of disbursements. If circumstances do not allow your DEQ project manager to provide a figure, the dollar amount you use to calculate this schedule should include all eligible project costs plus a 6% contingency but not capitalized interest (if any).

Dollar amount used to calculate the schedule below _____

MONTH/YEAR	AMOUNT REQUESTED	MONTH/YEAR	AMOUNT REQUESTED

Please attach an additional schedule sheet if SRF loan disbursements will be requested over a period that is longer than 16 months.

D. Estimated Schedule of SWQIF Disbursements

*In the table below, please identify your estimated schedule for receiving disbursements from Michigan’s Strategic Water Quality Initiatives Revolving Loan Fund. The first disbursement should include all eligible costs incurred prior to the loan closing date. Please attempt to accurately estimate the monthly disbursements. **Please note that the estimates you provide will not limit the amount of funds you may receive in a given month.***

Please use the dollar amount provided by your DEQ project manager to calculate your estimated schedule of disbursements. If circumstances do not allow your DEQ project manager to provide

**A RESOLUTION TO TENTATIVELY AWARD
A CONSTRUCTION CONTRACT
FOR WASTEWATER SYSTEM IMPROVEMENTS**

WHEREAS, the _____ (*legal name of applicant*) wishes to construct improvements to its existing wastewater treatment and collection system; and

WHEREAS, the wastewater system improvements project formally adopted on _____ (*date of final project plan adoption*) will be funded through Michigan's _____ (*SRF and/or SWQIF Programs*); and

WHEREAS, the _____ (*legal name of applicant*) has sought and received construction bids for the proposed improvements and has received a low bid in the amount of \$ _____ from _____ (*name of the contractor*); and

WHEREAS, the project engineer, _____, has recommended awarding the contract to the low bidder.

NOW THEREFORE BE IT RESOLVED, that the _____ (*legal name of applicant*) tentatively awards the contract for construction of the proposed wastewater system improvements project to _____ (*name of the contractor*), contingent upon successful financial arrangements with the _____ (*SRF and/or SWQIF Programs*).

Yeas:

Nays:

Abstain:

Absent:

I certify that the above Resolution was adopted by _____ (*the governing body of the applicant*) on _____.

BY: _____
Name and Title (*please print or type*)

Signature

Date