Chapter Ten

SURVEYS FOR REVIEW AND COMPLIANCE (SECTION 106)

Ontonagon house determined national register-eligible through survey work related to a highway project
Surveys of single properties or small areas may be required for compliance with Section 106 of the National Historic Preservation Act in relation to federally assisted or licensed projects, such as housing rehabilitation and demolition, streetscape improvements, new road construction and road reconstruction or realignment, airport expansions and renovations, water and sewer projects, new bank branches, railroad line abandonments, and communications towers. Under Section 106, federal funding or licensing agencies (or their designees, when permitted under federal regulations) must provide the SHPO with data on historic properties that might be affected by their proposed projects and with substantive comments on the eligibility of those properties as a prerequisite to obtaining comments from the SHPO on the effects of their projects on historic above-ground resources.

The area of potential effects (APE) for a project should be defined in consultation with the SHPO. For some projects, such as communication towers, the APE may include not just the area directly affected by construction activities but a broader area subject to visual or noise impacts, the potential for spin-off development, or other effects.

The potential National Register of Historic Places eligibility of properties that may be affected by a federally assisted or licensed project must be reviewed in the context of the neighborhood or area in which they are located. The agency requesting the SHPO's review must provide sufficient information to answer the question, “What are the historic properties either listed in or eligible for listing in the National Register of Historic Places within the area of potential effect (APE) for the project?” This includes determining not only whether affected properties may be individually eligible for the national register, but also whether they may contribute to the historic character of a larger area or “district” which as a whole meets the national register criteria. Competent professionals meeting the federal professional qualifications for historian and architectural historian (see Appendix D) should perform the work.

**WORK PROGRAM**

To provide the basic information the SHPO will require to make informed determinations of eligibility and effect for properties in the APE, perform the following:

1. A visual inspection of the exterior of each property in the APE and of the surrounding neighborhood to evaluate the architectural character and integrity and to determine if properties in the APE may be part of a national register-eligible district and, if so, to define the district's boundaries.

2. A review of the national register listings for the community and contact with the SHPO to determine whether the SHPO has previously established firm boundaries for a national register-eligible historic district in the area.

3. Research of the properties in the APE to document their histories, using standard sources, such as historic atlases and plat books, directories, published sources such as county and local histories, tax records, and interviews with current and past property owners, local historians, and long-term residents.

**PRODUCTS TO BE PROVIDED TO THE SHPO**

**Maps**

Provide one or more maps that together illustrate the entire project area. The maps must be at a scale that clearly shows all properties and, as closely as possible, all buildings and structures. The precise location of the project and the limits of the APE must be shown. The locations of all National Register of Historic Places listed or eligible properties in or directly adjacent to the project area also must be shown.

**Inventory Forms**

Provide a Historic Resource Inventory Form for each surveyed property in the APE and a Historic District Inventory Form for each complex property and district in or partly within the APE. These
forms may be paper printouts generated from electronic database records for the properties, complex properties, and districts developed using the SHPO's Ruskin electronic survey format, or they may be paper versions using the same fields and pick lists. Sample Historic Resource and District Inventory Forms are illustrated in the Ruskin instruction manual in Appendix A. All applicable fields should be completed.

Photographs

Provide clear, readable photographs of each affected property, including a general view showing the front and one side and a second view showing the front and the other side, or the side and rear of the property. If the affected property is part of a larger complex, such as a farmstead, several photos that together illustrate the entire complex should be provided.

Report

Recommendations regarding the eligibility of the documented properties must be submitted in a report. Discuss whether any of the properties in the APE meet the national register criteria as an individual property or as part of a district, and explain the rationale for the opinion. The report should include a map clearly illustrating the boundaries of any national register-eligible districts identified through the process and a selection of photos that show streetscapes and illustrate the district’s character and the character of the block (or area) in which the property is located.

Contact the SHPO's Environmental Review staff for additional information about the requirements for project review.