

Documentation Checklist: Process Guideline for Heart Failure

October 9, 2007

Resident: _____ **Date:** _____

If a concern related to Heart Failure is triggered during the survey process, the facility may demonstrate that it has followed the steps in this checklist, as evidence to support an appropriate care process related to Heart Failure. Evidence of an appropriate care process will be considered in determining whether an adverse event (negative outcome), or the potential for an adverse event, related to Heart Failure can be attributed to a deficient facility practice. If attributable to a preventable (avoidable) deficient facility practice, this checklist may also be used in analyzing the severity of the deficiency, if a citation results. F-tags, which could be associated with recognition, prevention, and management of Heart Failure concerns, are provided within the checklist. Other tags may also be appropriate.

**DOCUMENTATION CHECKLIST:
PROCESS GUIDELINE FOR HEART FAILURE
October 9, 2007**

PROCESS INDICATORS	Yes	No	N/A
ASSESSMENT/PROBLEM RECOGNITION: May relate to F Tags: 272 (Assessment)			
1. Did the staff and physician identify individuals with a history of heart failure, and/or risk factors for heart failure?			
2. Did the staff identify individuals who currently have heart failure?			
3. Did the staff and practitioner identify the nature, severity and consequences of heart failure?			
DIAGNOSIS/CAUSE IDENTIFICATION: May relate to F Tags: 309 (Quality of Care), F 386 (Physician review of total plan of care)			
4. Did the practitioner and staff clarify known causes of an individual's heart failure, or seek causes if not already identified?			
TREATMENT/PROBLEM MANAGEMENT: May relate to F Tags: 279/280 (Comprehensive Care Plans), F309 (Quality of Care), F 386 (Physician review of total plan of care)			
5. Did the practitioner and staff treat the underlying heart condition?			
6. Did the staff and practitioner treat contributing factors and underlying causes of heart failure?			
7. Did the staff and practitioner implement other relevant interventions?			
MONITORING: May relate to F Tags: 272 (Assessment), F309 (Quality of Care)			
8. Did the staff and practitioner appropriately implement approaches to managing the individual with heart failure?			
9. Did the staff and physician evaluate and document the progress of a resident's cardiac status, including complications?			
10. Did the staff and practitioner monitor for, and address, complications of treatment for heart failure?			

Signature of person completing the form

Date