

SIG Cohort III Grantee Orientation

*Office of Education Improvement &
Innovation
School Improvement Support Unit*



2

OEI - www.mi.gov/osi 08/27/2014

Congratulations!

- 19 of 55 applicants were recommended for SIG Cohort III funding.
- We're looking forward to working with all of you to develop a "learning community."
- You have made it through a very difficult portion of your journey.



Today's Topics

- Overview
- Grant Structure
- MEGS+
- Budgeting
- Monitoring
- District Oversight, Flexibility, and Data Collection
- Networking Meetings
- Fund Release Document



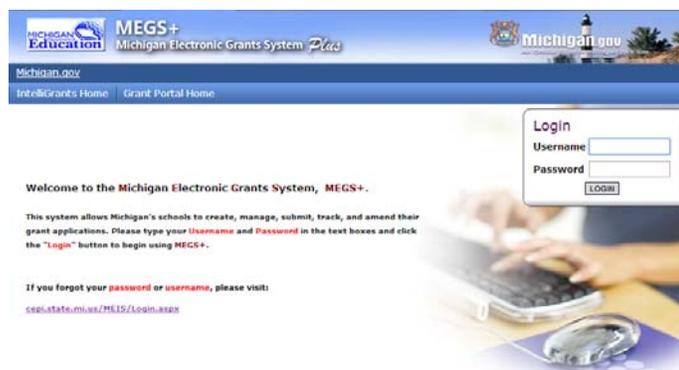
Overview

- Three Year Grant:
 - Capped at \$2 Million per building per year (\$6 Million total)
 - District costs are allowed, but must be reasonable and necessary
- Supports the implementation of the selected intervention model approved in the State Reform Plan for Priority Schools
- Grant Ends **September 30, 2017**.
- Year 2 and 3 awards are contingent on satisfactory performance, progress, and availability of funds.
They are not guaranteed.



MEGS+

- Two budgets:
 - District budget
 - School budget(s)



District Budget

- Activities to support the SIG school(s) are allowed
- May not exceed 5% of the total annual award
- Are taken "off the top" before the individual school budgets are created



Example

- District with 4 schools at \$2M each
- Annual award is \$8M
- District costs may not exceed \$400,000 (5%)
- \$7.6M Left to develop school budgets
- Each school could get \$1.9M if allocated equally



District Costs

- Must be reasonable and necessary to support implementation of the SIG
- Are exclusive of indirect rates
- Districts may choose not to take any district costs



Where to Add in MEGS+

Instructions:

- To add a budget item, click the **Add Budget Item**.
- To view the budget detail, click the **Budget Detail**.
- To add consortium/grant member budget items or view their budget details (if applicable), click on the underlined agency name.
- To include indirect costs, type the desired amount in the designated box, and click **Save**. The maximum allowable indirect cost amount is based on the Subtotal, minus Outgoing Transfers and Capital Outlay, times the Indirect Cost Restricted Rate Percent.
- Add the name of your business and program representatives with phone numbers and emails and click **Save**.

Recipient Code	Grant Number	Project Number	CFDA Number	Starting Date	Ending Date	Fiscal Year
	131765	1314	84.388A	07/01/2013	09/30/2014	2013
2009 ARRA for						

[Budget Summary](#) | [Budget Detail](#) | [Versioned Detail](#) | [Capital Outlay](#)

[Budget Summary +/-](#)

Function Codes	Function Titles	Salaries 1000	Benefits 2000	Purchased Services 3000, 4000	Supplies & Materials 5000	Capital Outlay 6000	Other Expenses 7000, 8000	Total
110	Basic Programs	\$315,000	\$145,200	\$55,400	\$242,195			\$757,795
120	Added Needs							\$0
210	Support Services - Pupil	\$33,000	\$15,840					\$48,840
220	Support Services - Instructional Staff	\$693,094	\$329,253	\$1,526,600	\$153,450			\$2,702,397



School Budget



- Costs of implementing reform model for the school
- District costs should already be calculated and budgeted appropriately before constructing school budgets



Application Milestones

- Available at 7:00 a.m. on August 28th
- Year 1 budgets are due at Friday, October 31st at 5:00 PM
- Two amendment windows:
 - February 1-28, 2015
 - May 1-31, 2015



Supplement vs. Supplant

- SIG Funds are Title I Funds
 - SIG Non-Regulatory Guidance, F-4:
 - *"Title I of the ESEA requires a school receiving Title I funds to use those funds to supplement, and not supplant, State and local funds that the school would receive in the absence of Title I funds"*



Supplanting

[Title I, Part A Section 1120 A (b)]

- Substituting or replacing funds from non-federal sources (state/local) for existing or previously existing instructional programs or non-instructional services
- Substituting or replacing funds from other federal/state programs required by law for specific categories of students



Supplementing

- SIG funds are *extra resources* to provide targeted services or programs supporting implementation of the Reform Model beyond what is funded with the current Federal grants (Title I, Title II, etc.) and General or other dollars.
- SIG funds do not replace, nor are they a substitute for, foundation dollar expenditures



Supplement, Not Supplant

- State and local funds must be used for all activities that are a district responsibility
- A district is responsible for all school operating requirements under state and local laws or policy



Supplement, Not Supplant Analogy

Core Curriculum = The Cake

ELA, Math,
Science, Social
Studies, Arts



Basic cost
responsibility to
the district is...

The Cake



Supplement, Not Supplant Analogy

Other Curriculum = The Frosting

PE, Technology,
Business, etc.



Basic cost
responsibility to the
district is...

The Cake & The Frosting



Supplement, Not Supplant Analogy



Supplementary = The Sprinkles and
the Cherry

SIG or Title I, Part A – extra resources,
programs, learning time, or initiatives to
support the implementation of the state-
approved reform model.



Supplement

- Title I funds cannot replace the cake or frosting,
BUT
- SIG or Title I funds can provide *extra resources*.



Example



- Classroom textbooks (cake) are a district responsibility,
BUT
- The extra leveled books or related support materials can be considered an extra resource.

Example



- Supplemental technology is approvable, but the SIG should not be paying to upgrade all technology in the school.

Managing the SIG III

- Basic Fund Accounting (school accounts)
- Follow established spending policies and procedures
- Fund drawdowns
- Fiscal reporting is organized and accurate with complete documentation
- Follows EDGAR

Allowability

- Is the item reasonable and necessary?
- Is it defensible?
- Is it aligned with the approved State Reform Plan?
- Is based on demonstrated need, i.e. student achievement data?



Purchases **NOT** Allowed

- Construction
- Utilities
- Flat Rate contracts
- Lease Fees
- Weapons or Vehicle Purchases
- Travel outside the continental United States
- Contracts that presume a conflict of interest
- Extravagant reimbursements
- Single purchases that exceed the State's No Bid threshold
- Any product or service that is not budgeted or otherwise described in the plan
- Training or equipping vendors or consultants



District Oversight

- Per SIG Guidance:
 - *An LEA must monitor each school that receives SIG funds to determine whether the school:*
 - *Is meeting annual goals established by the LEA for student achievement on the State's ESEA assessments in both reading/language arts and mathematics; and*
 - *Is making progress on the leading indicators described in the final requirements.*



District Oversight

- In addition, the district must provide regular monitoring of each SIG school with respect to SIG expenditures; this includes:
 - reviewing line items in budgets
 - ensuring the timely completion of modifications or amendments in MEGS+
 - developing a process to purchase materials and resources with SIG funds
 - ensuring programs or materials purchased with SIG funds are being implemented or used correctly



Operational Flexibility

- Each SIG school must have operational flexibility to carry out their reform model.
- This includes staffing decisions, programmatic decisions, schedules and calendars, extended learning time, etc.
- However, flexibility should not be mistaken for complete autonomy from the district.



Data Collection

- USED Requires the collection of SIG specific data
- Baseline Report
- Annual Collection
- Will be discussed in depth at a future networking meeting



MDE Monitoring

- Reform model – ongoing monitoring of program implementation and progress by SIG Facilitator/Monitor
- Weekly visits to begin in September
- Monitors utilize the same methods and procedures as the State Reform Office for program implementation



Compliance Monitoring

- SIG-specific Done at least once annually in June
- Can also be done in January at the Facilitator/Monitor's discretion
- SIG specific tool (a copy has been provided to you)
- Satisfies the annual audit requirement



Maintaining Records for Monitoring

- What to have "handy" ...
 - Union agreements
 - School calendars and class schedules
 - Applicable meeting agendas and minutes (e.g. SIG meetings, school improvement meeting team meetings, subject or grade level team meetings)
 - Accounting documentation (purchase orders, vendor contracts with rates, invoices, timesheets, etc.)



Required Checkpoints

- Budget Approval
- Any Modifications requested by MDE
- Funds Released
- MAIN and CMS set-up to draw down
- Status reports to Monitors
- Mid Year progress report if necessary
- Final Expenditure Report (FER)
- Year 2 budget submitted for approval



Eligibility for Continuing Funds

- Compliance –

- Programmatic –



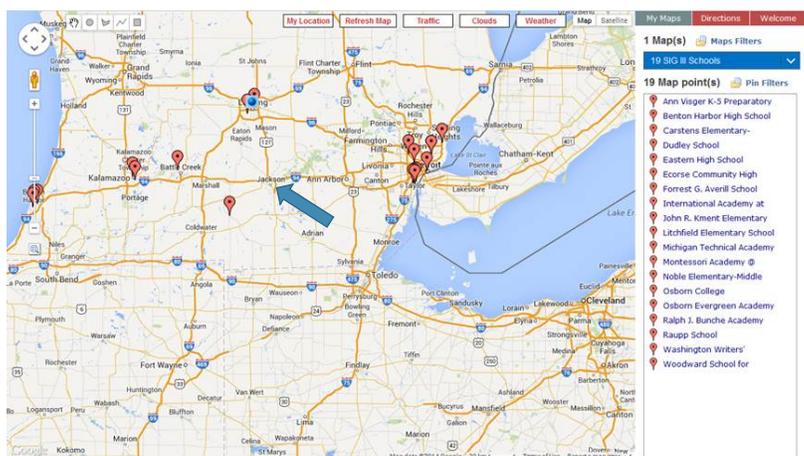
Networking Meetings

- 4 Scheduled Dates
 - Tuesday, September 16, 2014
 - Wednesday, November 19, 2014
 - Wednesday, March 18, 2015
 - Wednesday, June 18, 2015

- All meetings will take place at Jackson County ISD



Jackson County ISD



Networking Meetings



- Topics will include using data to inform programming, focus on sustainability, evaluation of programs, and sharing best practices with current and former SIG schools

Funds Release Documents

- Agreement with MDE to meet the programmatic and fiscal requirements of the SIG grant
- Acknowledgment of those requirements
- Will be signed and completed today.



State Reform Office Monitoring

- Program Implementation monitoring consistent with all priority schools
- Separate from SIG compliance (June and January as discussed earlier)



Contacts



- Bill Witt
 - wittb1@michigan.gov
- Jill Thompson
 - thompsonj17@michigan.gov