STATEMENT AS OF JUNE 30, 2021 OF THE UnitedHealthcare Community Plan, Inc.

# HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

# UnitedHealthcare Community Plan, Inc.

NAIC Group Code 0707 (Current) 0707 (Prior) NAIC Company Code 95467 Employer's ID Number 38-3204052

Organized under the Laws of Michigan, State of Domicile or Port of Entry MI

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 10/11/1994 Commenced Business 10/11/1994

Statutory Home Office 3000 Town Center Drive, Suite 1400 (Street and Number), Southfield, MI, US 48075 (City or Town, State, Country and Zip Code)

Main Administrative Office 3000 Town Center Drive, Suite 1400 (Street and Number)

Southfield, MI, US 48075 (City or Town, State, Country and Zip Code), 248-331-4389 (Area Code) (Telephone Number)

Mail Address 9800 Health Care Lane, MN017-W500 (Street and Number or P.O. Box), Minnetonka, MN, US 55343 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 3000 Town Center Drive, Suite 1400 (Street and Number)

Southfield, MI, US 48075 (City or Town, State, Country and Zip Code), 248-331-4389 (Area Code) (Telephone Number)

Internet Website Address www.uhccommunityplan.com

Statutory Statement Contact Casie Cox (Name), 952-979-7795 (Area Code) (Telephone Number)

caitlin_cox@uhc.com (E-mail Address), 952-931-4651 (FAX Number)

## OFFICERS

President Dennis James Mouras

Treasurer Peter Marshall Gill

Chief Financial Officer Carol Ann Gothard

## OTHER

Nyle Brent Cottington, Vice President

Heather Anastasia Lang, Assistant Secretary

## DIRECTORS OR TRUSTEES

Wendy Margaret Abbott

Trina Michelle Burnett

Michelle Leib Crakes #

Tracy Lynn Davidson

Debra Joanne Sather

State of Michigan
County of Oakland

State of Michigan
County of Oakland

State of Minnesota
County of Hennepin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Dennis James Mouras
President

Carol Ann Gothard
Chief Financial Officer

Peter Marshall Gill
Treasurer

Subscribed and sworn to before me this 5th day of August 2021

Subscribed and sworn to before me this 5th day of August 2021

Subscribed and sworn to before me this 19 day of July 2021

NAGHMEH MULLEN
Notary Public - State of Michigan
County of Oakland
My Commission Expires Oct 3, 2023
Acting in the County of Oakland

NAGHMEH MULLEN
Notary Public - State of Michigan
County of Oakland
My Commission Expires Oct 3, 2023
Acting in the County of Oakland

a. Is this an original filing? Yes [X] No [ ]

b. If no,

1. State the amendment number

2. Date filed

3. Number of pages attached

TYANN LYN NICOLAI
Notary Public-Minnesota
My Commission Expires Jan 31, 2023

# ASSETS

| | | Current Statement Date 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | 4 December 31 Prior Year Net Admitted Assets |
|---|---|---|---|---|---|
| 1. | Bonds | 80,005,612 | 0 | 80,005,612 | 79,202,068 |
| 2. | Stocks: | | | | |
| | 2.1 Preferred stocks | 0 | 0 | 0 | 0 |
| | 2.2 Common stocks | 0 | 0 | 0 | 0 |
| 3. | Mortgage loans on real estate: | | | | |
| | 3.1 First liens | 0 | 0 | 0 | 0 |
| | 3.2 Other than first liens | 0 | 0 | 0 | 0 |
| 4. | Real estate: | | | | |
| | 4.1 Properties occupied by the company (less $ 0 encumbrances) | 0 | 0 | 0 | 0 |
| | 4.2 Properties held for the production of income (less $ 0 encumbrances) | 0 | 0 | 0 | 0 |
| | 4.3 Properties held for sale (less $ 0 encumbrances) | 0 | 0 | 0 | 0 |
| 5. | Cash ($ 13,013,469 ), cash equivalents ($ 165,262,789 ) and short-term investments ($ 66,550,198 ) | 244,826,456 | 0 | 244,826,456 | 204,531,796 |
| 6. | Contract loans (including $ 0 premium notes) | 0 | 0 | 0 | 0 |
| 7. | Derivatives | 0 | 0 | 0 | 0 |
| 8. | Other invested assets | 10,627,586 | 0 | 10,627,586 | 11,339,236 |
| 9. | Receivables for securities | 0 | 0 | 0 | 0 |
| 10. | Securities lending reinvested collateral assets | 0 | 0 | 0 | 0 |
| 11. | Aggregate write-ins for invested assets | 0 | 0 | 0 | 0 |
| 12. | Subtotals, cash and invested assets (Lines 1 to 11) | 335,459,654 | 0 | 335,459,654 | 295,073,100 |
| 13. | Title plants less $ 0 charged off (for Title insurers only) | 0 | 0 | 0 | 0 |
| 14. | Investment income due and accrued | 640,018 | 0 | 640,018 | 582,069 |
| 15. | Premiums and considerations: | | | | |
| | 15.1 Uncollected premiums and agents' balances in the course of collection | 20,830,232 | 11,441 | 20,818,791 | 19,131,189 |
| | 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ 0 earned but unbilled premiums) | 0 | 0 | 0 | 0 |
| | 15.3 Accrued retrospective premiums ($ 64,551 ) and contracts subject to redetermination ($ 12,880,103 ) | 12,944,654 | 0 | 12,944,654 | 12,017,629 |
| 16. | Reinsurance: | | | | |
| | 16.1 Amounts recoverable from reinsurers | 0 | 0 | 0 | 0 |
| | 16.2 Funds held by or deposited with reinsured companies | 0 | 0 | 0 | 0 |
| | 16.3 Other amounts receivable under reinsurance contracts | 0 | 0 | 0 | 0 |
| 17. | Amounts receivable relating to uninsured plans | 65,596 | 29,096 | 36,500 | 2,049 |
| 18.1 | Current federal and foreign income tax recoverable and interest thereon | 0 | 0 | 0 | 3,667,484 |
| 18.2 | Net deferred tax asset | 978,875 | 0 | 978,875 | 796,473 |
| 19. | Guaranty funds receivable or on deposit | 0 | 0 | 0 | 0 |
| 20. | Electronic data processing equipment and software | 0 | 0 | 0 | 0 |
| 21. | Furniture and equipment, including health care delivery assets ($ 0 ) | 0 | 0 | 0 | 0 |
| 22. | Net adjustment in assets and liabilities due to foreign exchange rates | 0 | 0 | 0 | 0 |
| 23. | Receivables from parent, subsidiaries and affiliates | 0 | 0 | 0 | 0 |
| 24. | Health care ($ 15,074,565 ) and other amounts receivable | 19,048,974 | 3,974,409 | 15,074,565 | 10,827,123 |
| 25. | Aggregate write-ins for other than invested assets | 1,588 | 1,588 | 0 | 0 |
| 26. | Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) | 389,969,591 | 4,016,534 | 385,953,057 | 342,097,116 |
| 27. | From Separate Accounts, Segregated Accounts and Protected Cell Accounts | 0 | 0 | 0 | 0 |
| 28. | Total (Lines 26 and 27) | 389,969,591 | 4,016,534 | 385,953,057 | 342,097,116 |
| | **DETAILS OF WRITE-INS** | | | | |
| 1101. | | | | | |
| 1102. | | | | | |
| 1103. | | | | | |
| 1198. | Summary of remaining write-ins for Line 11 from overflow page | 0 | 0 | 0 | 0 |
| 1199. | Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) | 0 | 0 | 0 | 0 |
| 2501. | Miscellaneous Receivables | 1,588 | 1,588 | 0 | 0 |
| 2502. | | | | | |
| 2503. | | | | | |
| 2598. | Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 | 0 | 0 |
| 2599. | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 1,588 | 1,588 | 0 | 0 |

# LIABILITIES, CAPITAL AND SURPLUS

| | | Current Period | | | Prior Year |
|---|---|---|---|---|---|
| | | 1<br>Covered | 2<br>Uncovered | 3<br>Total | 4<br>Total |
| 1. | Claims unpaid (less $ 4,163,509 reinsurance ceded) | 97,165,011 | 70,508 | 97,235,519 | 81,747,206 |
| 2. | Accrued medical incentive pool and bonus amounts | 2,283,190 | 0 | 2,283,190 | 5,212,585 |
| 3. | Unpaid claims adjustment expenses | 973,209 | 0 | 973,209 | 848,628 |
| 4. | Aggregate health policy reserves, including the liability of $ 5,142,010 for medical loss ratio rebate per the Public Health Service Act | 94,279,523 | 0 | 94,279,523 | 62,655,589 |
| 5. | Aggregate life policy reserves | 0 | 0 | 0 | 0 |
| 6. | Property/casualty unearned premium reserve | 0 | 0 | 0 | 0 |
| 7. | Aggregate health claim reserves | 1,708,235 | 0 | 1,708,235 | 1,694,933 |
| 8. | Premiums received in advance | 7,814,315 | 0 | 7,814,315 | 8,688,689 |
| 9. | General expenses due or accrued | 18,225,309 | 0 | 18,225,309 | 24,120,243 |
| 10.1 | Current federal and foreign income tax payable and interest thereon (including $ 0 on realized gains (losses)) | 4,655,227 | 0 | 4,655,227 | 0 |
| 10.2 | Net deferred tax liability | 0 | 0 | 0 | 0 |
| 11. | Ceded reinsurance premiums payable | 0 | 0 | 0 | 0 |
| 12. | Amounts withheld or retained for the account of others | 503 | 0 | 503 | 1,355 |
| 13. | Remittances and items not allocated | 364,967 | 0 | 364,967 | 541,366 |
| 14. | Borrowed money (including $ 0 current) and interest thereon $ 0 (including $ 0 current) | 0 | 0 | 0 | 0 |
| 15. | Amounts due to parent, subsidiaries and affiliates | 3,838,789 | 0 | 3,838,789 | 1,444,485 |
| 16. | Derivatives | 0 | 0 | 0 | 0 |
| 17. | Payable for securities | 937,239 | 0 | 937,239 | 0 |
| 18. | Payable for securities lending | 0 | 0 | 0 | 0 |
| 19. | Funds held under reinsurance treaties (with $ 0 authorized reinsurers, $ 0 unauthorized reinsurers and $ 0 certified reinsurers) | 0 | 0 | 0 | 0 |
| 20. | Reinsurance in unauthorized and certified ($ 0 ) companies | 0 | 0 | 0 | 0 |
| 21. | Net adjustments in assets and liabilities due to foreign exchange rates | 0 | 0 | 0 | 0 |
| 22. | Liability for amounts held under uninsured plans | 5,032,927 | 0 | 5,032,927 | 2,453,588 |
| 23. | Aggregate write-ins for other liabilities (including $ 35,303 current) | 35,303 | 0 | 35,303 | 161,051 |
| 24. | Total liabilities (Lines 1 to 23) | 237,313,747 | 70,508 | 237,384,255 | 189,569,718 |
| 25. | Aggregate write-ins for special surplus funds | XXX | XXX | 0 | 0 |
| 26. | Common capital stock | XXX | XXX | 0 | 0 |
| 27. | Preferred capital stock | XXX | XXX | 0 | 0 |
| 28. | Gross paid in and contributed surplus | XXX | XXX | 56,003,392 | 56,003,392 |
| 29. | Surplus notes | XXX | XXX | 0 | 0 |
| 30. | Aggregate write-ins for other than special surplus funds | XXX | XXX | 0 | 0 |
| 31. | Unassigned funds (surplus) | XXX | XXX | 92,565,410 | 96,524,006 |
| 32. | Less treasury stock, at cost: | | | | |
| | 32.1 0 shares common (value included in Line 26 $ 0 ) | XXX | XXX | 0 | 0 |
| | 32.2 0 shares preferred (value included in Line 27 $ 0 ) | XXX | XXX | 0 | 0 |
| 33. | Total capital and surplus (Lines 25 to 31 minus Line 32) | XXX | XXX | 148,568,802 | 152,527,398 |
| 34. | Total liabilities, capital and surplus (Lines 24 and 33) | XXX | XXX | 385,953,057 | 342,097,116 |
| | **DETAILS OF WRITE-INS** | | | | |
| 2301. | Unclaimed Property | 35,303 | 0 | 35,303 | 161,051 |
| 2302. | | | | | |
| 2303. | | | | | |
| 2398. | Summary of remaining write-ins for Line 23 from overflow page | 0 | 0 | 0 | 0 |
| 2399. | Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) | 35,303 | 0 | 35,303 | 161,051 |
| 2501. | | XXX | XXX | | |
| 2502. | | XXX | XXX | | |
| 2503. | | XXX | XXX | | |
| 2598. | Summary of remaining write-ins for Line 25 from overflow page | XXX | XXX | 0 | 0 |
| 2599. | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | XXX | XXX | 0 | 0 |
| 3001. | | XXX | XXX | | |
| 3002. | | XXX | XXX | | |
| 3003. | | XXX | XXX | | |
| 3098. | Summary of remaining write-ins for Line 30 from overflow page | XXX | XXX | 0 | 0 |
| 3099. | Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) | XXX | XXX | 0 | 0 |

# STATEMENT OF REVENUE AND EXPENSES

| | | Current Year To Date | | Prior Year To Date | Prior Year Ended December 31 |
|---|---|---|---|---|---|
| | | 1<br>Uncovered | 2<br>Total | 3<br>Total | 4<br>Total |
| 1. | Member Months | XXX | 1,797,029 | 1,575,453 | 3,277,671 |
| 2. | Net premium income ( including $ 0 non-health premium income) | XXX | 626,418,939 | 504,327,763 | 1,051,811,545 |
| 3. | Change in unearned premium reserves and reserve for rate credits | XXX | (32,244,369) | (28,377,495) | (61,051,097) |
| 4. | Fee-for-service (net of $ 0 medical expenses) | XXX | 0 | 0 | 0 |
| 5. | Risk revenue | XXX | 0 | 0 | 0 |
| 6. | Aggregate write-ins for other health care related revenues | XXX | 0 | 0 | 0 |
| 7. | Aggregate write-ins for other non-health revenues | XXX | 0 | 0 | 0 |
| 8. | Total revenues (Lines 2 to 7) | XXX | 594,174,570 | 475,950,268 | 990,760,448 |
| | **Hospital and Medical:** | | | | |
| 9. | Hospital/medical benefits | 169,431 | 328,939,837 | 235,557,667 | 522,393,130 |
| 10. | Other professional services | 182 | 5,918,312 | 3,343,001 | 9,476,052 |
| 11. | Outside referrals | 0 | 0 | 0 | 0 |
| 12. | Emergency room and out-of-area | 5,236 | 27,221,406 | 18,167,260 | 39,699,045 |
| 13. | Prescription drugs | 29,871 | 114,259,501 | 83,470,786 | 173,326,729 |
| 14. | Aggregate write-ins for other hospital and medical | 0 | 0 | 0 | 0 |
| 15. | Incentive pool, withhold adjustments and bonus amounts | 0 | 426,651 | 1,733,486 | 4,864,554 |
| 16. | Subtotal (Lines 9 to 15) | 204,720 | 476,765,707 | 342,272,200 | 749,759,510 |
| | **Less:** | | | | |
| 17. | Net reinsurance recoveries | 0 | 15,026 | 5,248,627 | 9,172,858 |
| 18. | Total hospital and medical (Lines 16 minus 17) | 204,720 | 476,750,681 | 337,023,573 | 740,586,652 |
| 19. | Non-health claims (net) | 0 | 0 | 0 | 0 |
| 20. | Claims adjustment expenses, including $ 19,678,809 cost containment expenses | 0 | 27,781,523 | 27,128,585 | 45,945,872 |
| 21. | General administrative expenses | 0 | 69,626,492 | 81,569,436 | 146,225,495 |
| 22. | Increase in reserves for life and accident and health contracts (including $ 0 increase in reserves for life only) | 0 | 0 | 0 | 0 |
| 23. | Total underwriting deductions (Lines 18 through 22) | 204,720 | 574,158,696 | 445,721,594 | 932,758,019 |
| 24. | Net underwriting gain or (loss) (Lines 8 minus 23) | XXX | 20,015,874 | 30,228,674 | 58,002,429 |
| 25. | Net investment income earned | 0 | 173,136 | 1,272,691 | 2,098,025 |
| 26. | Net realized capital gains (losses) less capital gains tax of $ 349 | 0 | 1,311 | 69,696 | 60,455 |
| 27. | Net investment gains (losses) (Lines 25 plus 26) | 0 | 174,447 | 1,342,387 | 2,158,480 |
| 28. | Net gain or (loss) from agents' or premium balances charged off [(amount recovered $ 713 ) (amount charged off $ (5,859) )] | 0 | (5,146) | (1,877) | (222,389) |
| 29. | Aggregate write-ins for other income or expenses | 0 | 0 | 0 | 0 |
| 30. | Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) | XXX | 20,185,175 | 31,569,184 | 59,938,520 |
| 31. | Federal and foreign income taxes incurred | XXX | 3,473,363 | 9,896,470 | 14,550,694 |
| 32. | Net income (loss) (Lines 30 minus 31) | XXX | 16,711,812 | 21,672,714 | 45,387,826 |
| | **DETAILS OF WRITE-INS** | | | | |
| 0601. | | XXX | | | |
| 0602. | | XXX | | | |
| 0603. | | XXX | | | |
| 0698. | Summary of remaining write-ins for Line 6 from overflow page | XXX | 0 | 0 | 0 |
| 0699. | Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) | XXX | 0 | 0 | 0 |
| 0701. | | XXX | | | |
| 0702. | | XXX | | | |
| 0703. | | XXX | | | |
| 0798. | Summary of remaining write-ins for Line 7 from overflow page | XXX | 0 | 0 | 0 |
| 0799. | Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) | XXX | 0 | 0 | 0 |
| 1401. | | | | | |
| 1402. | | | | | |
| 1403 | | | | | |
| 1498. | Summary of remaining write-ins for Line 14 from overflow page | 0 | 0 | 0 | 0 |
| 1499. | Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) | 0 | 0 | 0 | 0 |
| 2901. | | | | | |
| 2902. | | | | | |
| 2903 | | | | | |
| 2998. | Summary of remaining write-ins for Line 29 from overflow page | 0 | 0 | 0 | 0 |
| 2999. | Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) | 0 | 0 | 0 | 0 |

# STATEMENT OF REVENUE AND EXPENSES (Continued)

| | | 1<br>Current Year<br>to Date | 2<br>Prior Year<br>to Date | 3<br>Prior Year Ended<br>December 31 |
|---|---|---|---|---|
| | **CAPITAL AND SURPLUS ACCOUNT** | | | |
| 33. | Capital and surplus prior reporting year | 152,527,398 | 139,044,300 | 139,044,300 |
| 34. | Net income or (loss) from Line 32 | 16,711,812 | 21,672,714 | 45,387,826 |
| 35. | Change in valuation basis of aggregate policy and claim reserves | 0 | 0 | 0 |
| 36. | Change in net unrealized capital gains (losses) less capital gains tax of $ 0 | 0 | (11) | (10) |
| 37. | Change in net unrealized foreign exchange capital gain or (loss) | 0 | 0 | 0 |
| 38. | Change in net deferred income tax | 182,402 | (119,192) | (345,219) |
| 39. | Change in nonadmitted assets | (852,810) | 1,107,878 | 1,440,501 |
| 40 | Change in unauthorized and certified reinsurance | 0 | 0 | 0 |
| 41. | Change in treasury stock | 0 | 0 | 0 |
| 42. | Change in surplus notes | 0 | 0 | 0 |
| 43. | Cumulative effect of changes in accounting principles | 0 | 0 | 0 |
| 44. | Capital Changes: | | | |
| | 44.1 Paid in | 0 | 0 | 0 |
| | 44.2 Transferred from surplus (Stock Dividend) | 0 | 0 | 0 |
| | 44.3 Transferred to surplus | 0 | 0 | 0 |
| 45. | Surplus adjustments: | | | |
| | 45.1 Paid in | 0 | 0 | 0 |
| | 45.2 Transferred to capital (Stock Dividend) | 0 | 0 | 0 |
| | 45.3 Transferred from capital | 0 | 0 | 0 |
| 46. | Dividends to stockholders | (20,000,000) | (8,000,000) | (33,000,000) |
| 47. | Aggregate write-ins for gains or (losses) in surplus | 0 | 0 | 0 |
| 48. | Net change in capital & surplus (Lines 34 to 47) | (3,958,596) | 14,661,389 | 13,483,098 |
| 49. | Capital and surplus end of reporting period (Line 33 plus 48) | 148,568,802 | 153,705,689 | 152,527,398 |
| | **DETAILS OF WRITE-INS** | | | |
| 4701. | | | | |
| 4702. | | | | |
| 4703. | | | | |
| 4798. | Summary of remaining write-ins for Line 47 from overflow page | 0 | 0 | 0 |
| 4799. | Totals (Lines 4701 through 4703 plus 4798)(Line 47 above) | 0 | 0 | 0 |

# CASH FLOW

| | | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|---|---|---|---|
| | **Cash from Operations** | | | |
| 1. | Premiums collected net of reinsurance | 622,305,648 | 498,440,514 | 1,055,137,399 |
| 2. | Net investment income | 1,010,987 | 2,366,775 | 3,992,463 |
| 3. | Miscellaneous income | 0 | 0 | 0 |
| 4. | Total (Lines 1 to 3) | 623,316,635 | 500,807,289 | 1,059,129,862 |
| 5. | Benefit and loss related payments | 469,244,598 | 356,867,120 | 751,570,819 |
| 6. | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | 0 | 0 | 0 |
| 7. | Commissions, expenses paid and aggregate write-ins for deductions | 100,672,295 | 102,254,085 | 209,587,785 |
| 8. | Dividends paid to policyholders | 0 | 0 | 0 |
| 9. | Federal and foreign income taxes paid (recovered) net of $ 0 tax on capital gains (losses) | (4,849,000) | (126,000) | 18,108,133 |
| 10. | Total (Lines 5 through 9) | 565,067,893 | 458,995,205 | 979,266,737 |
| 11. | Net cash from operations (Line 4 minus Line 10) | 58,248,742 | 41,812,084 | 79,863,125 |
| | **Cash from Investments** | | | |
| 12. | Proceeds from investments sold, matured or repaid: | | | |
| | 12.1 Bonds | 10,576,424 | 8,594,877 | 15,274,889 |
| | 12.2 Stocks | 0 | 0 | 0 |
| | 12.3 Mortgage loans | 0 | 0 | 0 |
| | 12.4 Real estate | 0 | 0 | 0 |
| | 12.5 Other invested assets | 0 | 0 | 0 |
| | 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | (12) | (15,300) | (15,300) |
| | 12.7 Miscellaneous proceeds | 937,239 | 434,904 | 0 |
| | 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 11,513,651 | 9,014,481 | 15,259,589 |
| 13. | Cost of investments acquired (long-term only): | | | |
| | 13.1 Bonds | 11,559,889 | 6,727,085 | 19,477,561 |
| | 13.2 Stocks | 0 | 0 | 0 |
| | 13.3 Mortgage loans | 0 | 0 | 0 |
| | 13.4 Real estate | 0 | 0 | 0 |
| | 13.5 Other invested assets | 0 | 0 | 0 |
| | 13.6 Miscellaneous applications | 0 | 0 | 0 |
| | 13.7 Total investments acquired (Lines 13.1 to 13.6) | 11,559,889 | 6,727,085 | 19,477,561 |
| 14. | Net increase (or decrease) in contract loans and premium notes | 0 | 0 | 0 |
| 15. | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | (46,238) | 2,287,396 | (4,217,972) |
| | **Cash from Financing and Miscellaneous Sources** | | | |
| 16. | Cash provided (applied): | | | |
| | 16.1 Surplus notes, capital notes | 0 | 0 | 0 |
| | 16.2 Capital and paid in surplus, less treasury stock | 0 | 0 | 0 |
| | 16.3 Borrowed funds | 0 | 0 | 0 |
| | 16.4 Net deposits on deposit-type contracts and other insurance liabilities | 0 | 0 | 0 |
| | 16.5 Dividends to stockholders | 20,000,000 | 8,000,000 | 33,000,000 |
| | 16.6 Other cash provided (applied) | 2,092,156 | 1,620,497 | 2,197,015 |
| 17. | Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | (17,907,844) | (6,379,503) | (30,802,985) |
| | **RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS** | | | |
| 18. | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) | 40,294,660 | 37,719,977 | 44,842,168 |
| 19. | Cash, cash equivalents and short-term investments: | | | |
| | 19.1 Beginning of year | 204,531,796 | 159,689,628 | 159,689,628 |
| | 19.2 End of period (Line 18 plus Line 19.1) | 244,826,456 | 197,409,605 | 204,531,796 |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

| | | | |
|---|---|---|---|
| | | | |

# EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

| | 1 | Comprehensive (Hospital & Medical) | | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|---|---|---|---|---|---|---|---|---|---|
| | Total | 2 Individual | 3 Group | Medicare Supplement | Vision Only | Dental Only | Federal Employees Health Benefit Plan | Title XVIII Medicare | Title XIX Medicaid | Other |
| **Total Members at end of:** | | | | | | | | | | |
| 1. Prior Year | 289,929 | 0 | 2,103 | 0 | 0 | 0 | 0 | 6,089 | 281,737 | 0 |
| 2. First Quarter | 298,358 | 0 | 2,055 | 0 | 0 | 0 | 0 | 8,745 | 287,558 | 0 |
| 3. Second Quarter | 302,715 | 0 | 1,967 | 0 | 0 | 0 | 0 | 10,147 | 290,601 | 0 |
| 4. Third Quarter | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. Current Year | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. Current Year Member Months | 1,797,029 | 0 | 11,982 | 0 | 0 | 0 | 0 | 54,043 | 1,731,004 | 0 |
| **Total Member Ambulatory Encounters for Period:** | | | | | | | | | | |
| 7 Physician | 1,377,094 | 0 | 7,533 | 0 | 0 | 0 | 0 | 90,481 | 1,279,080 | 0 |
| 8. Non-Physician | 731,391 | 0 | 175 | 0 | 0 | 0 | 0 | 63,735 | 667,481 | 0 |
| 9. Total | 2,108,485 | 0 | 7,708 | 0 | 0 | 0 | 0 | 154,216 | 1,946,561 | 0 |
| 10. Hospital Patient Days Incurred | 51,284 | 0 | 102 | 0 | 0 | 0 | 0 | 5,879 | 45,303 | 0 |
| 11. Number of Inpatient Admissions | 9,545 | 0 | 45 | 0 | 0 | 0 | 0 | 945 | 8,555 | 0 |
| 12. Health Premiums Written (a) | 631,167,568 | 0 | 5,739,999 | 0 | 0 | 0 | 0 | 77,831,411 | 547,596,158 | 0 |
| 13. Life Premiums Direct | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Property/Casualty Premiums Written | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Health Premiums Earned | 598,923,199 | 0 | 5,739,999 | 0 | 0 | 0 | 0 | 78,860,593 | 514,322,607 | 0 |
| 16. Property/Casualty Premiums Earned | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17. Amount Paid for Provision of Health Care Services | 471,625,814 | (125) | 3,313,436 | 0 | 0 | 0 | 0 | 58,859,429 | 409,453,074 | 0 |
| 18. Amount Incurred for Provision of Health Care Services | 476,765,707 | (125) | 3,459,345 | 0 | 0 | 0 | 0 | 58,278,150 | 415,028,337 | 0 |

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees $ 77,831,411

STATEMENT AS OF JUNE 30, 2021 OF THE UnitedHealthcare Community Plan, Inc.

# CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

**Aging Analysis of Unpaid Claims**

| 1<br>Account | 2<br>1 - 30 Days | 3<br>31 - 60 Days | 4<br>61 - 90 Days | 5<br>91 - 120 Days | 6<br>Over 120 Days | 7<br>Total |
|---|---|---|---|---|---|---|
| **Claims Unpaid (Reported)** | | | | | | |
| 0299999 Aggregate accounts not individually listed-uncovered | 11,089 | 94 | 4 | 47 | 35 | 11,269 |
| 0399999 Aggregate accounts not individually listed-covered | 12,860,339 | 352,069 | 350,233 | 2,226 | 270,123 | 13,834,990 |
| 0499999 Subtotals | 12,871,428 | 352,163 | 350,237 | 2,273 | 270,158 | 13,846,259 |
| 0599999 Unreported claims and other claim reserves | | | | | | 87,282,056 |
| 0699999 Total amounts withheld | | | | | | 270,713 |
| 0799999 Total claims unpaid | | | | | | 101,399,028 |
| 0899999 Accrued medical incentive pool and bonus amounts | | | | | | 2,283,190 |

# UNDERWRITING AND INVESTMENT EXHIBIT

## ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

| Line of Business | Claims Paid Year to Date | | Liability End of Current Quarter | | 5 | 6 |
|---|---|---|---|---|---|---|
| | 1<br>On Claims Incurred Prior to January 1 of Current Year | 2<br>On Claims Incurred During the Year | 3<br>On Claims Unpaid Dec. 31 of Prior Year | 4<br>On Claims Incurred During the Year | Claims Incurred in Prior Years (Columns 1 + 3) | Estimated Claim Reserve and Claim Liability December 31 of Prior Year |
| 1. Comprehensive (hospital and medical) | 456,056 | 2,857,255 | 51,360 | 929,780 | 507,416 | 799,925 |
| 2. Medicare Supplement | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. Dental Only | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. Vision Only | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. Federal Employees Health Benefits Plan | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. Title XVIII - Medicare | 4,068,289 | 54,621,219 | 262,033 | 9,088,707 | 4,330,322 | 6,088,835 |
| 7 Title XIX - Medicaid | 49,793,203 | 354,092,530 | 15,674,187 | 72,937,687 | 65,467,390 | 76,553,379 |
| 8. Other health | 0 | 0 | 0 | 0 | 0 | 0 |
| 9. Health subtotal (Lines 1 to 8) | 54,317,548 | 411,571,004 | 15,987,580 | 82,956,174 | 70,305,128 | 83,442,139 |
| 10. Healthcare receivables (a) | 3,031,347 | 14,257,952 | 0 | 1,759,675 | 3,031,347 | 13,982,837 |
| 11. Other non-health | 0 | 0 | 0 | 0 | 0 | 0 |
| 12. Medical incentive pools and bonus amounts | 3,327,040 | 29,006 | 420,836 | 1,862,354 | 3,747,876 | 5,212,585 |
| 13. Totals (Lines 9-10+11+12) | 54,613,241 | 397,342,058 | 16,408,416 | 83,058,853 | 71,021,657 | 74,671,887 |

(a) Excludes $ 0 loans or advances to providers not yet expensed.

# NOTES TO FINANCIAL STATEMENTS

## 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

### A. Accounting Practices

The statutory basis financial statements of UnitedHealthcare Community Plan, Inc. (the “Company”) are presented on the basis of accounting practices prescribed or permitted by the Michigan Department of Insurance and Financial Services (the “Department”).

The Department recognizes only statutory accounting practices prescribed or permitted by the State of Michigan (the “State”) for determining and reporting the financial condition and results of operations of a health maintenance organization, for determining its solvency under Michigan Insurance Law. The State prescribes the use of the National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures manual (“NAIC SAP”) in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed or permitted by the State and the NAIC SAP which materially affect the statutory basis net income and capital and surplus, as illustrated in the table below:

| | | SSAP # | F/S Page # | F/S Line # | June 30, 2021 | December 31, 2020 |
|---|---|---|---|---|---|---|
| **Net Income** | | | | | | |
| (1) | Company state basis (Page 4, Line 32, Columns 2 & 4) | XXX | XXX | XXX | $ 16,711,812 | $ 45,387,826 |
| (2) | State prescribed practices that are an increase/(decrease) from NAIC SAP: | | | | | |
| | Not applicable | | | | - | - |
| (3) | State permitted practices that are an increase/(decrease) from NAIC SAP: | | | | | |
| | Not applicable | | | | - | - |
| (4) | NAIC SAP (1 - 2 - 3 = 4) | XXX | XXX | XXX | $ 16,711,812 | $ 45,387,826 |
| **Capital and Surplus** | | | | | | |
| (5) | Company state basis (Page 3, Line 33, Columns 3 & 4) | XXX | XXX | XXX | $ 148,568,802 | $ 152,527,398 |
| (6) | State prescribed practices that are an increase/(decrease) from NAIC SAP: | | | | | |
| | Not applicable | | | | - | - |
| (7) | State permitted practices that are an increase/(decrease) from NAIC SAP: | | | | | |
| | Not applicable | | | | - | - |
| (8) | NAIC SAP (5 - 6 - 7 = 8) | XXX | XXX | XXX | $ 148,568,802 | $ 152,527,398 |

The Department specifically requires maternity case receivables due from State of Michigan, Department of Health and Human Services ("MDHHS") to be reported in health care receivables rather than as premiums and considerations as prescribed by NAIC SAP. The Company included $4,986,221 and $5,285,162 of maternity case receivables as of June 30, 2021 and December 31, 2020, respectively, in health care receivables in the statutory basis statements of admitted assets, liabilities and capital and surplus. This has no impact on net income or capital and surplus for 2021 and 2020.

### B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

### C. Accounting Policy

**(1)** No significant change.

**(2)** The Company does not have any mandatory convertible securities or Securities Valuation Office of the NAIC identified funds (i.e.: exchange traded funds or bond mutual funds) in its bond portfolio.

**(3–5)** No significant change.

**(6)** U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the book/adjusted carrying value, commonly referred to as amortized cost, of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets. Total combined investments in mortgage-backed securities and asset-backed securities cannot exceed more than 30% of total cash and invested assets.

**(7–13)** No significant change.

**D. Going Concern**

The Company has the ability and will continue to operate for a period of time sufficient to carry out its commitments, obligations and business objectives.

## 2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

No significant change.

## 3. BUSINESS COMBINATIONS AND GOODWILL

**A–D.** No significant change.

## 4. DISCONTINUED OPERATIONS

**A. Discontinued Operation Disposed of or Classified as Held for Sale**

**(1–4)** No significant change.

**B. Change in Plan of Sale of Discontinued Operations** — Not applicable.

**C. Nature of any Significant Continuing Involvement with Discontinued Operations after Disposal** — Not applicable.

**D. Equity Interest Retained in the Discontinued Operation after Disposal** — Not applicable.

## 5. INVESTMENTS

**A. Mortgage Loans, including Mezzanine Real Estate Loans**

Not applicable.

**B. Debt Restructuring**

Not applicable.

**C. Reverse Mortgages**

Not applicable.

**D. Loan-Backed Securities**

**(1)** U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the book/adjusted carrying value, commonly referred to as amortized cost, of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.

**(2)** The Company did not recognize any other-than-temporary impairments ("OTTIs") on loan-backed securities as of June 30, 2021.

**(3)** The Company did not have any loan-backed securities with OTTIs to report by CUSIP as of June 30, 2021.

**(4)** The following table illustrates the fair value, gross unrecognized unrealized losses, and length of time that the loan-backed securities have been in a continuous unrecognized unrealized loss position as of June 30, 2021 and December 31, 2020:

| | June 30, 2021 |
|---|---|
| The aggregate amount of unrealized losses: | |
| 1. Less than 12 months | $ 18,216 |
| 2. 12 months or longer | 1,711 |
| The aggregate related fair value of securities with unrealized losses: | |
| 1. Less than 12 months | 4,776,331 |
| 2. 12 months or longer | 848,289 |

| | December 31, 2020 |
|---|---|
| The aggregate amount of unrealized losses: | |
| 1. Less than 12 months | $ 8,086 |
| 2. 12 months or longer | 27,257 |
| The aggregate related fair value of securities with unrealized losses: | |
| 1. Less than 12 months | 2,769,009 |
| 2. 12 months or longer | 4,837,766 |

**(5)** The Company believes that it will continue to collect timely the principal and interest due on its loan-backed securities that have an amortized cost in excess of fair value. The unrealized losses were primarily caused by interest rate changes and not by unfavorable changes in the credit quality associated with these securities that impacted the assessment on collectability of principle and interest. At each reporting period, the Company evaluates available-for-sale debt securities for any credit-related impairment when the fair value of the investment is less than its amortized cost. The Company evaluated the expected cash flows, the underlying credit quality and credit ratings of the issuers, and the potential economic impacts of COVID-19 on the issuers, noting no significant credit deterioration since purchase. As of June 30, 2021, the unrealized loss on any security that the Company classified as intent to sell was not material to the Company's investment portfolio. Any other securities in an unrealized loss position as of June 30, 2021, the Company considers to be temporary.

**E. Dollar Repurchase Agreements and/or Securities Lending Transactions** — Not applicable.

**F. Repurchase Agreements Transactions Accounted for as Secured Borrowing** — Not applicable.

**G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing** — Not applicable.

**H. Repurchase Agreements Transactions Accounted for as a Sale** — Not applicable.

**I. Reverse Repurchase Agreements Transactions Accounted for as a Sale** — Not applicable.

**J. Real Estate** — Not applicable.

**K. Low-Income Housing Tax Credits ("LIHTC")** — No significant change.

**L. Restricted Assets** — No significant change.

**M. Working Capital Finance Investments** — Not applicable.

**N. Offsetting and Netting of Assets and Liabilities**

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

**O. 5GI Securities**

The Company does not have any investments with an NAIC designation of 5GI as of June 30, 2021 and December 31, 2020.

**P. Short Sales** — Not applicable.

**Q. Prepayment Penalty and Acceleration Fees** — Not applicable.

**R. Reporting Entity's Share of Cash Pool by Asset Type** — Not applicable.

## 6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

**A–B.** No significant change.

**7. INVESTMENT INCOME**

**A–B.** No significant change.

**8. DERIVATIVE INSTRUMENTS**

**A–B.** Not applicable.

**9. INCOME TAXES**

**A–C.** No significant change.

**D.** The ratio between the provision for federal income taxes incurred and net income before federal income taxes and net realized capital gains has changed from the prior year primarily due to the annual health insurer fee ("HIF") under Section 9010 of the Patient Protection and Affordable Care Act ("ACA"). In 2020, the HIF expense of $18,091,360 was included in the statutory basis statements of operations but was non-deductible for income tax purposes. In accordance with the HIF repeal in January 2021, no HIF will be included in the statutory basis statements of operations in 2021 or thereafter.

**E–I.** No significant change.

**10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES**

**A–O. Material Related Party Transactions**

As of June 30, 2021, the Company paid a dividend of $20,000,000 to its parent (see Note 13).

**11. DEBT**

**A–B.** The Company had no outstanding debt with third-parties or outstanding Federal Home Loan Bank agreements during 2021 and 2020.

**12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS**

**A–I.** The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. ("UHS"), which provides services to the Company under the terms of a management agreement (the "Agreement").

**13. CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATION**

**A–C.** No significant change.

**D.** On May 20, 2021, the Company declared an ordinary cash dividend of $20,000,000 to the sole shareholder, AmeriChoice Corporation. The dividend was paid on June 7, 2021. The ordinary dividend complied with the provisions set forth in the statutes of Michigan. The dividend was recorded as a reduction to unassigned surplus in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

**L–M.** No significant change.

**14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS**

**A–F.** No significant change.

**15. LEASES**

**A–B.** No significant change.

**16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK**

**(1–4)** No significant change.

**17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES**

**A–C.** The Company did not participate in any transfers of receivables, financial assets, or wash sales as of June 30, 2021 or December 31, 2020.

## 18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

### A. ASO Plans

MDHHS utilizes Michigan's Medicaid Managed Care Organizations ("MCOs") to pay the funds to providers participating in the MDHHS provider programs. As an MCO, the Company receives provider program funds and disbursement requirements from MDHHS. The Company recorded a payable of $199 and $0 and also a receivable of $1,179 and $0 as of June 30, 2021 and December 31, 2020, respectively, related to the MDHHS provider programs. The payables and receivables are recorded in liability for amounts held under uninsured plans and amounts receivable relating to uninsured plans, respectively, in the statutory basis statements of admitted assets, liabilities and capital and surplus.

The net gain from operations from ASO uninsured plans and the uninsured portion of partially insured plans for the six months ended June 30, 2021 and the year ended December 31, 2020 are as follows:

| | June 30, 2021 | | | December 31, 2020 | | |
|---|---|---|---|---|---|---|
| | ASO Uninsured Plans | Uninsured Portion of Partially Insured Plans | Total ASO | ASO Uninsured Plans | Uninsured Portion of Partially Insured Plans | Total ASO |
| a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses | $ - | $ - | $ - | $ - | $ - | $ - |
| b. Total net other income or expenses (including interest paid to or received from plans) | 97,496 | - | 97,496 | 364,252 | - | 364,252 |
| c. Net gain (loss) from operations | 97,496 | - | 97,496 | 364,252 | - | 364,252 |
| d. Total claim payment volume | 152,228,944 | - | 152,228,944 | 317,103,926 | - | 317,103,926 |

### B. ASC Plans – No significant change.

### C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a payable of $5,014,003 and $2,441,252 as of June 30, 2021 and December 31, 2020, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a receivable of $35,321 and $2,049 and also a payable of $18,725 and $12,336 as of June 30, 2021 and December 31, 2020, respectively, for the Medicare Part D coverage gap discount program. The receivables and payables are recorded in amounts receivable relating to uninsured plans and liability for amounts held under uninsured plans, respectively, in the statutory basis statements of admitted assets, liabilities and capital and surplus.

## 19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

## 20. FAIR VALUE MEASUREMENTS

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

*Level 1* — Quoted (unadjusted) prices for identical assets in active markets.

*Level 2* — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

*Level 3* — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds, cash equivalents, and short-term investments (collectively "investment holdings") are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service ("pricing service"), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source; prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

## A. Fair Value

### (1) Fair Value Measurements at Reporting Date

The following tables present information about the Company's financial assets that are measured and reported at fair value at June 30, 2021 and December 31, 2020, in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values:

| | June 30, 2021 | | | | |
|---|---|---|---|---|---|
| Description for Each Class of Asset or Liability | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Total |
| a. Assets at fair value: | | | | | |
| Perpetual preferred stock: | | | | | |
| Industrial and misc | $ - | $ - | $ - | $ - | $ - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total perpetual preferred stocks | - | - | - | - | - |
| Bonds: | | | | | |
| U.S. governments | - | - | - | - | - |
| Industrial and misc | - | - | - | - | - |
| Hybrid securities | - | - | - | - | - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total bonds | - | - | - | - | - |
| Common stock: | | | | | |
| Industrial and misc | - | - | - | - | - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total common stock | - | - | - | - | - |
| Derivative assets: | | | | | |
| Interest rate contracts | - | - | - | - | - |
| Foreign exchange contracts | - | - | - | - | - |
| Credit contracts | - | - | - | - | - |
| Commodity futures contracts | - | - | - | - | - |
| Commodity forward contracts | - | - | - | - | - |
| Total derivatives | - | - | - | - | - |
| Money-market funds | 164,862,903 | - | - | - | 164,862,903 |
| Separate account assets | - | - | - | - | - |
| Total assets at fair value/NAV | $ 164,862,903 | $ - | $ - | $ - | $ 164,862,903 |
| b. Liabilities at fair value: | | | | | |
| Derivative liabilities | $ - | $ - | $ - | $ - | $ - |
| Total liabilities at fair value | $ - | $ - | $ - | $ - | $ - |

| Description for Each Class of Asset or Liability | December 31, 2020 (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Total |
|---|---|---|---|---|---|
| a. Assets at fair value: | | | | | |
| Perpetual preferred stock: | | | | | |
| Industrial and misc | $ - | $ - | $ - | $ - | $ - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total perpetual preferred stocks | - | - | - | - | - |
| Bonds: | | | | | |
| U.S. governments | - | - | - | - | - |
| Industrial and misc | - | - | - | - | - |
| Hybrid securities | - | - | - | - | - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total bonds | - | - | - | - | - |
| Common stock: | | | | | |
| Industrial and misc | - | - | - | - | - |
| Parent, subsidiaries, and affiliates | - | - | - | - | - |
| Total common stock | - | - | - | - | - |
| Derivative assets: | | | | | |
| Interest rate contracts | - | - | - | - | - |
| Foreign exchange contracts | - | - | - | - | - |
| Credit contracts | - | - | - | - | - |
| Commodity futures contracts | - | - | - | - | - |
| Commodity forward contracts | - | - | - | - | - |
| Total derivatives | - | - | - | - | - |
| Money-market funds | 128,397,794 | - | - | - | 128,397,794 |
| Separate account assets | - | - | - | - | - |
| Total assets at fair value/NAV | $ 128,397,794 | $ - | $ - | $ - | $ 128,397,794 |
| b. Liabilities at fair value: | | | | | |
| Derivative liabilities | $ - | $ - | $ - | $ - | $ - |
| Total liabilities at fair value | $ - | $ - | $ - | $ - | $ - |

**(2)** The Company does not have any financial assets with a fair value hierarchy of Level 3 that were measured and reported at fair value for the six months ended June 30, 2021 and the year ended December 31, 2020.

The Company considers its investments in LIHTC investments as a Level 3 investment even though no market valuation was required as of June 30, 2021 and December 31, 2020. As a result, these investments are excluded from being presented as a Level 3 security in the fair value hierarchy tables above. As there is no readily available market, these securities are recorded at book/adjusted carrying value and considered held to maturity as they will not be sold. As a result, these investments are recorded and reported at book value of $10,627,586 and $11,339,236 as of June 30, 2021 and December 31, 2020.

**(3)** Transfers between fair value hierarchy levels, if any, are recorded as of the beginning of the reporting period in which the transfer occurs. There were no transfers between Levels 1, 2, or 3 of any financial assets or liabilities during the six months ended June 30, 2021 and the year ended December 31, 2020.

**(4)** The framework the Company has established for determining the fair value of the investment holdings is outlined above.

**LIHTC Investments** — The Company does consider its investments in LIHTC investments as a Level 3 investment even though no market valuation adjustment was required as of June 30, 2021 and December 31, 2020. As a result, these investments are excluded from being presented as a level 3 security in the financial hierarchy tables above. As there is no readily available market, these securities are recorded and reported at book/adjusted carrying value and considered held to maturity as they will not be sold. Should any contractual breakage occur that jeopardizes the ability to receive the tax credits associated with these securities, impairments will be recognized. As of June 30, 2021, all of these investments are performing in accordance with their original contract terms.

**(5)** The Company has no derivative assets and liabilities to disclose.

**B. Fair Value Combination** — Not applicable.

**C. Aggregate Fair Value Hierarchy**

The aggregate fair value by hierarchy of all financial instruments as of June 30, 2021 and December 31, 2020, is presented in the table below:

| | June 30, 2021 | | | | | | |
|---|---|---|---|---|---|---|---|
| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Not Practicable (Carrying Value) |
| U.S. government and agency securities | $ 54,191,355 | $ 53,913,803 | $ 44,516,233 | $ 9,675,122 | $ - | $ - | $ - |
| State and agency municipal securities | 5,852,997 | 5,463,588 | - | 5,852,997 | - | - | - |
| City and county municipal securities | 4,299,443 | 4,053,196 | - | 4,299,443 | - | - | - |
| Corporate debt securities | 84,540,638 | 83,125,223 | - | 84,540,638 | - | - | - |
| Cash equivalents | 165,262,789 | 165,262,789 | 164,862,903 | 399,886 | - | - | - |
| Other invested assets | 10,627,586 | 10,627,586 | - | - | 10,627,586 | - | - |
| Total bonds, short-term investments, cash equivalents, and other invested assets | $ 324,774,808 | $ 322,446,185 | $ 209,379,136 | $ 104,768,086 | $ 10,627,586 | $ - | $ - |

| | December 31, 2020 | | | | | | |
|---|---|---|---|---|---|---|---|
| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Not Practicable (Carrying Value) |
| U.S. government and agency securities | $ 64,911,778 | $ 64,097,332 | $ 53,399,379 | $ 11,512,399 | $ - | $ - | $ - |
| State and agency municipal securities | 5,967,777 | 5,539,013 | - | 5,967,777 | - | - | - |
| City and county municipal securities | 3,938,006 | 3,671,064 | - | 3,938,006 | - | - | - |
| Corporate debt securities | 81,148,864 | 79,370,401 | - | 81,148,864 | - | - | - |
| Cash equivalents | 128,397,794 | 128,397,794 | 128,397,794 | - | - | - | - |
| Other invested assets | 11,339,236 | 11,339,236 | - | - | 11,339,236 | - | - |
| Total bonds, short-term investments, cash equivalents, and other invested assets | $ 295,703,455 | $ 292,414,840 | $ 181,797,173 | $ 102,567,046 | $ 11,339,236 | $ - | $ - |

**D. Not Practicable to Estimate Fair Value** — Not applicable.

**E. Investments Measured Using the NAV Practical Expedient** — Not applicable.

## 21. OTHER ITEMS

**COVID-19 Trends and Uncertainties**

The COVID-19 pandemic continues to evolve and the ultimate impact on the Company and its statutory basis results of operations, financial condition and cash flows remains unknown and is dependent on the future pacing of the pandemic and general economic uncertainty.

**A–I.** No significant change.

## 22. EVENTS SUBSEQUENT

Subsequent events have been evaluated through August 13, 2021, which is the date these statutory basis financial statements were available for issuance.

**TYPE I — Recognized Subsequent Events**

Any material Type I events subsequent to June 30, 2021, have been recognized in the statutory basis financial statements and corresponding disclosures.

**TYPE II — Non-Recognized Subsequent Events**

There are no material non-recognized Type II events that require disclosure.

## 23. REINSURANCE

**A–E.** No significant change.

## 24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

**A–B.** No significant change.

**C.** Pursuant to the ACA, the Company's commercial business is subject to retrospectively rated features based on the actual medical loss ratios experienced on the commercial lines of business and redetermination features for premium adjustments for changes to each member's health scores based on guidelines determined by the ACA. The total amount of direct premiums written for the commercial lines of business for which a portion is subject to the retrospectively rated and redetermination features was $5,739,999 and $6,789,353, representing 1% and 1% of total direct premiums written as of June 30, 2021 and December 31, 2020, respectively.

Pursuant to the ACA, the Company's Medicare business is subject to retrospectively rated features based on the actual medical loss ratios experienced on the Medicare line of business and redetermination features for premium adjustments for changes to each member's health scores based on guidelines determined by Centers for Medicare and Medicaid Services ("CMS"). The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the Medicare line of business for which a portion is subject to the retrospectively rated and redetermination features was $77,831,411 and $85,380,311, representing 12% and 8% of total direct premiums written as of June 30, 2021 and December 31, 2020, respectively.

The Company has Medicare Part D risk-corridor amounts from which are subject to a retrospectively rated feature related to Part D premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Medicare Part D direct premiums written subject to the retrospectively rated feature was $3,831,275 and $4,745,687, representing 1% and 0% of total direct premiums written as of June 30, 2021 and December 31, 2020, respectively.

CMS has released the final Medicaid Managed Care Rule which is subject to each State's administration elections. This rule is the first major update to the Medicaid Managed Care regulations in more than a decade. Many items including a minimum loss ratio requirement were implemented for contracts with an effective date starting on or after July 1, 2017 while other elements of the regulation will be implemented over the following decade. Pursuant to the regulations, for contracts effective on or after July 1, 2017 premiums associated with the Company's Medicaid line of business is subject to retrospectively rated features based on the actual medical loss ratios experienced on this product. The calculation is pursuant to the Medicaid Managed Care guidance. In addition, the Company's Medicaid contract with the State of Michigan is subject to redetermination features for which a stated percentage of the total direct premiums written can be eligible for a performance guarantee payment based on various quality and operational measures and also retrospectively rated features related to a risk corridor formula that is tiered and based on a baseline benefit expense. The total amount of direct premiums written for the Medicaid line of business for which a portion is subject to the retrospectively rated and redetermination features was $547,596,158 and $968,107,410, representing 86% and 91% of total direct premiums written as of June 30, 2021 and December 31, 2020, respectively.

**D.** The Company is required to maintain specific minimum loss ratios on the comprehensive commercial and Medicare lines of business. The following table discloses the minimum medical loss ratio rebate liability for the comprehensive commercial and Medicare lines of business which is included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus for the six months ended June 30, 2021 and the year ended December 31, 2020:

| | 1<br>Individual | 2<br>Small Group Employer | 3<br>Large Group Employer | 4<br>Other Categories with Rebates | 5<br>Total |
|---|---|---|---|---|---|
| Prior reporting year | | | | | |
| (1) Medical loss ratio rebates incurred | $ - | $ - | $ - | $ 6,103,500 | $ 6,103,500 |
| (2) Medical loss ratio rebates paid | - | - | - | - | - |
| (3) Medical loss rebates unpaid | - | - | - | 6,103,500 | 6,103,500 |
| (4) Plus reinsurance assumed amounts | XXX | XXX | XXX | XXX | - |
| (5) Less reinsurance ceded amounts | XXX | XXX | XXX | XXX | - |
| (6) Rebates unpaid net of reinsurance | XXX | XXX | XXX | XXX | 6,103,500 |
| Current reporting year-to-date | | | | | |
| (7) Medical loss ratio rebates incurred | - | - | - | (961,490) | (961,490) |
| (8) Medical loss ratio rebates paid | - | - | - | - | - |
| (9) Medical loss rebates unpaid | - | - | - | 5,142,010 | 5,142,010 |
| (10) Plus reinsurance assumed amounts | XXX | XXX | XXX | XXX | - |
| (11) Less reinsurance ceded amounts | XXX | XXX | XXX | XXX | - |
| (12) Rebates unpaid net of reinsurance | XXX | XXX | XXX | XXX | 5,142,010 |

Pursuant to the Medicaid Managed Care Rule, based on the State's election, the Company is required to maintain specific minimum loss ratios on its Medicaid line of business. The Company has no amounts accrued for Medicaid minimum loss ratio rebates as of June 30, 2021 and December 31, 2020, respectively.

**E. Risk-Sharing Provisions of the Affordable Care Act**

**(1)** The Company has accident and health insurance premiums in 2021 and 2020 subject to the risk-sharing provisions of the ACA.

The ACA imposed fees and premium stabilization provisions on health insurance issuers offering comprehensive commercial health insurance. The three premium stabilization programs are commonly referred to as the 3Rs – risk adjustment, reinsurance, and risk corridors.

**Risk Adjustment –** The permanent risk adjustment program, designed to mitigate the potential impact of adverse selection and provide stability for health insurance issuers, applies to all non-grandfathered plans not subject to transitional relief in the individual and small group markets both inside and outside of the insurance exchanges. The risk adjustments and distributions are calculated using a high-cost risk pool which adds a reinsurance-like element to this program. The operation of the high-cost risk pools excludes a percentage of costs above a threshold level determined by federal regulations. The program operates two national high-cost risk pools, one for individuals and one for small groups. The data used by CMS to determine the risk adjustment amount is subject to risk adjustment data validation audits along with the true-up to the final CMS report, which may result in a material change to arrive at the final risk adjustment amount from the initial risk adjustment estimate recorded. The risk adjustment data validation audit for 2017 and 2018 have been finalized and any adjustments included in net premium income in the statutory basis financial statements in the period in which the amount became known. The remaining audits for the open years have not been completed. Estimates related to the open

years have incorporated CMS Final Rule on Amendments to the U.S. Department of Health & Human Services ("HHS") operated Risk Adjustment Data Validation under the ACA's HHS-operated Risk Adjustment Program published December 1, 2020 and any estimated amounts receivable from or due to CMS are included in accrued retrospective premiums and aggregate health policy reserves, respectively, in the statutory basis statements of assets, liabilities, and capital and surplus. Premium adjustments pursuant to the risk adjustment program are accounted for as premium subject to redetermination and user fees are accounted for as assessments.

**Reinsurance –** The transitional reinsurance program was designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations. The transitional reinsurance program was effective from 2014 through 2016 and applied to all issuers of major medical commercial products and third-party administrators. Contributions attributable to enrollees in ACA compliant individual plans, including program administrative costs, were accounted for as ceded premium and payments received were accounted for as ceded benefit recoveries. The portion of the individual contributions earmarked for the U.S. Treasury was accounted for as an assessment. Contributions made for enrollees in fully insured plans other than ACA compliant individual plans, including program administrative costs and payments to the U.S. Treasury, were treated as assessments.

**Risk Corridors –** The temporary risk corridors program, designed to provide some aggregate protection against variability for issuers in the individual and small group markets during the period 2014 through 2016, applied to Qualified Health Plans in the individual and small group markets both inside and outside of the insurance exchanges.

**(2)** The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations.

| | | |
|---|---|---|
| **a. Permanent ACA Risk Adjustment Program** | | **June 30, 2021** |
| Assets | | |
| 1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments) | $ | 443,525 |
| Liabilities | | |
| 2. Risk adjustment user fees payable for ACA Risk Adjustment | | 7,962 |
| 3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premium) | | 1,131,336 |
| Operations (Revenue & Expense) | | |
| 4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment | | 1,115,897 |
| 5. Reported in expenses as ACA risk adjustment user fees (incurred/paid) | | 3,598 |
| **b. Transitional ACA Reinsurance Program** | | |
| Assets | | |
| 1. Amounts recoverable for claims paid due to ACA Reinsurance | $ | - |
| 2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability) | | - |
| 3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance | | - |
| Liabilities | | |
| 4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium | | - |
| 5. Ceded reinsurance premiums payable due to ACA Reinsurance | | - |
| 6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance | | - |
| Operations (Revenue & Expense) | | |
| 7. Ceded reinsurance premiums due to ACA Reinsurance | | - |
| 8. Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments | | - |
| 9. ACA Reinsurance contributions - not reported as ceded premium | | - |
| **c. Temporary ACA Risk Corridors Program** | | |
| Assets | | |
| 1. Accrued retrospective premium due to ACA Risk Corridors | $ | - |
| Liabilities | | |
| 2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors | | - |
| Operations (Revenue & Expense) | | |
| 3. Effect of ACA Risk Corridors on net premium income (paid/received) | | - |
| 4. Effect of ACA Risk Corridors on change in reserves for rate credits | | - |

**(3)** The following table is a rollforward of the prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances:

| | Accrued During the Prior Year on Business Written before December 31 of the Prior Year | | Received or Paid as of the Current Year on Business Written before December 31 of the Prior Year | | Differences | | Adjustments | | | Unsettled Balances as of the Reporting Date | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | Prior Year Accrued Less Payments (Col 1 - 3) | Prior Year Accrued Less Payments (Col 2 - 4) | To Prior Year Balances | To Prior Year Balances | | Cumulative Balance from Prior Years (Col 1 - 3 + 7) | Cumulative Balance from Prior Years (Col 2 - 4 + 8) |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | | 9 | 10 |
| | Receivable | (Payable) | Receivable | (Payable) | Receivable | (Payable) | Receivable | (Payable) | Ref | Receivable | (Payable) |
| a. Permanent ACA Risk Adjustment Program | | | | | | | | | | | |
| 1. Premium adjustment receivable (including high risk pool payments) | $ 12,600 | $ - | $ - | $ - | $ 12,600 | $ - | $ (12,064) | $ - | A | $ 536 | $ - |
| 2. Premium adjustment (payable) (including high risk pool premium) | - | (1,816,308) | - | - | - | (1,816,308) | - | 703,342 | B | - | (1,112,966) |
| 3. Subtotal ACA Permanent Risk Adjustment Program | 12,600 | (1,816,308) | - | - | 12,600 | (1,816,308) | (12,064) | 703,342 | | 536 | (1,112,966) |
| b. Transitional ACA Reinsurance Program | | | | | | | | | | | |
| 1. Amounts recoverable for claims paid | - | - | - | - | - | - | - | - | C | - | - |
| 2. Amounts recoverable for claims unpaid (contra liability) | - | - | - | - | - | - | - | - | D | - | - |
| 3. Amounts receivable relating to uninsured plans | - | - | - | - | - | - | - | - | E | - | - |
| 4. Liabilities for contributions payable due to ACA Reinsurance—not reported as ceded premium | - | - | - | - | - | - | - | - | F | - | - |
| 5. Ceded reinsurance premiums payable | - | - | - | - | - | - | - | - | G | - | - |
| 6. Liability for amounts held under uninsured plans | - | - | - | - | - | - | - | - | H | - | - |
| 7. Subtotal ACA Transitional Reinsurance Program | - | - | - | - | - | - | - | - | | - | - |
| c. Temporary ACA Risk Corridors Program | | | | | | | | | | | |
| 1. Accrued retrospective premium | - | - | - | - | - | - | - | - | I | - | - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | J | - | - |
| 3. Subtotal ACA Risk Corridors Program | - | - | - | - | - | - | - | - | | - | - |
| d. Total for ACA Risk-Sharing Provisions | $ 12,600 | $ (1,816,308) | $ - | $ - | $ 12,600 | $ (1,816,308) | $ (12,064) | $ 703,342 | | $ 536 | $ (1,112,966) |

Explanation of Adjustments

A. The risk adjustment receivable as of December 31, 2020 utilized paid claims through October 31, 2020. As of the Reporting Date, the risk adjustment receivable related to prior periods was adjusted based on the CMS' Summary Report on Permanent Risk Adjustment Transfers for the 2020 Benefit Year. The risk adjustment receivable was further adjusted based on CMS' Updated Summary Report of 2017 Benefit Year Risk Adjustment Data Validation Adjustments to Risk Adjustment Transfers published January 15, 2021 and CMS' Final Rule amending Risk Adjustment Data Validation beginning with the 2019 Benefit Year.

B. The risk adjustment payable as of December 31, 2020 utilized paid claims through October 31, 2020. As of the Reporting Date, the risk adjustment receivable related to prior periods was adjusted based on the CMS' Summary Report on Permanent Risk Adjustment Transfers for the 2020 Benefit Year. The risk adjustment payable was further adjusted based on CMS' Updated Summary Report of 2017 Benefit Year Risk Adjustment Data Validation Adjustments to Risk Adjustment Transfers published January 15, 2021 and CMS' Final Rule amending Risk Adjustment Data Validation beginning with the 2019 Benefit Year.

C. N/A
D. N/A
E. N/A
F. N/A
G. N/A
H. N/A
I. N/A
J. N/A

**(4)** The Company does not have any risk corridor receivables or payables to present in the table below.

| | Accrued During the Prior Year on Business Written Before December 31 of the Prior Year | | Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year | | Differences | | Adjustments | | | Unsettled Balances as of the Reporting Date | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | Prior Year Accrued Less Payments (Col 1–3) | Prior Year Accrued Less Payments (Col 2–4) | To Prior Year Balances | To Prior Year Balances | | Cumulative Balance from Prior Years (Col 1–3+7) | Cumulative Balance from Prior Years (Col 2–4+8) |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | | 9 | 10 |
| **Risk Corridors Program Year:** | Receivable | (Payable) | Receivable | (Payable) | Receivable | (Payable) | Receivable | (Payable) | Ref | Receivable | (Payable) |
| a. 2014 | | | | | | | | | | | |
| 1. Accrued retrospective premium | $ - | $ - | $ - | $ - | $ - | $ - | $ - | $ - | A | $ - | $ - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | B | - | - |
| b. 2015 | | | | | | | | | | | |
| 1. Accrued retrospective premium | - | - | - | - | - | - | - | - | C | - | - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | D | - | - |
| c. 2016 | | | | | | | | | | | |
| 1. Accrued retrospective premium | - | - | - | - | - | - | - | - | E | - | - |
| 2. Reserve for rate credits or policy experience rating refunds | - | - | - | - | - | - | - | - | F | - | - |
| d. Total for Risk Corridors | $ - | $ - | $ - | $ - | $ - | $ - | $ - | $ - | | $ - | $ - |

Explanation of Adjustments

A. N/A
B. N/A
C. N/A
D. N/A
E. N/A
F. N/A

**(5)** The following table discloses ACA risk corridor receivable balances by risk corridor program year.

| Risk Corridors Program Year: | 1<br>Estimated Amount to be Filed or Final Amount Filed with CMS | 2<br>Non-Accrued Amounts for Impairment or Other Reasons | 3<br>Amounts received from CMS | 4<br>Asset Balance (Gross of Non-admissions) (1-2-3) | 5<br>Non-admitted Amount | 6<br>Net Admitted Asset (4-5) |
|---|---|---|---|---|---|---|
| a. 2014 | $ - | $ - | $ - | $ - | $ - | $ - |
| b. 2015 | 144,054 | - | 144,054 | - | - | - |
| c. 2016 | - | - | - | - | - | - |
| d. Total (a+b+c) | $ 144,054 | $ - | $ 144,054 | $ - | $ - | $ - |

## 25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

**A.** Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, health care receivables and reinsurance recoverables at June 30, 2021 and December 31, 2020:

| | June 30, 2021 | | |
|---|---|---|---|
| | Current Year Incurred Claims | Prior Years Incurred Claims | Total |
| Beginning of year claim reserve | $ - | $ (88,654,724) | $ (88,654,724) |
| Paid claims—net of health care receivables and reinsurance recoveries collected | 411,600,010 | 57,644,588 | 469,244,598 |
| End of year claim reserve | 84,818,528 | 16,408,415 | 101,226,943 |
| Incurred claims excluding the change in health care receivables and reinsurance recoverables as presented below | 496,418,538 | (14,601,721) | 481,816,817 |
| Beginning of year health care receivables and reinsurance recoverables | - | 13,982,838 | 13,982,838 |
| End of year health care receivables and reinsurance recoverables | (16,017,627) | (3,031,347) | (19,048,974) |
| Total incurred claims | $ 480,400,911 | $ (3,650,230) | $ 476,750,681 |

| | December 31, 2020 | | |
|---|---|---|---|
| | Current Year Incurred Claims | Prior Years Incurred Claims | Total |
| Beginning of year claim reserve | $ - | $ (97,796,035) | $ (97,796,035) |
| Paid claims—net of health care receivables and reinsurance recoveries collected | 687,605,930 | 63,964,889 | 751,570,819 |
| End of year claim reserve | 82,569,733 | 6,084,991 | 88,654,724 |
| Incurred claims excluding the change in health care receivables and reinsurance recoverables as presented below | 770,175,663 | (27,746,155) | 742,429,508 |
| Beginning of year health care receivables and reinsurance recoverables | - | 12,139,982 | 12,139,982 |
| End of year health care receivables and reinsurance recoverables | (11,821,674) | (2,161,164) | (13,982,838) |
| Total incurred claims | $ 758,353,989 | $ (17,767,337) | $ 740,586,652 |

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, and aggregate health claim reserves, net of health care receivables and reinsurance recoverables as of December 31, 2020 was $74,671,886. As of June 30, 2021, $57,644,588 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of healthcare receivables and reinsurance recoverables are now $13,377,069, as a result of re-estimation of unpaid claims. Therefore, there has been $3,650,230 favorable prior year development since December 31, 2020 to June 30, 2021. The primary drivers consist of favorable development of $4,498,061 in retroactivity for inpatient, outpatient, physician, and pharmacy claims, favorable development as a result of a change in the provision for adverse deviations in experience of $4,381,759, and $2,725,139 as a result of favorable development in risk share, Rx rebates and capitation expense, partially offset by unfavorable development of $4,184,310 in reinsurance and $3,688,852 in provider settlements. At December 31, 2020, the Company recorded $17,676,337 of favorable prior year development. The primary drivers consist of favorable development of $10,729,591 in retroactivity for inpatient, outpatient, physician, and pharmacy claims and favorable development of $7,242,953 as a result of a change in the provision for adverse deviations in experience. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, which could have an impact to the accruals for medical loss ratio rebates and retrospectively rated contracts. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

The Company incurred CAE of $27,781,523 and $45,945,872 for the six months ended June 30, 2021 and the year ended December 31, 2020, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of the Agreement**.** The following table discloses paid CAE, incurred CAE, and the balance in unpaid CAE reserve for the six months ended June 30, 2021 and the year ended December 31, 2020:

| | June 30, 2021 | December 31, 2020 |
|---|---|---|
| Total claims adjustment expenses | $ 27,781,523 | $ 45,945,872 |
| Less: current year unpaid claims adjustment expenses | (973,209) | (848,628) |
| Add: prior year unpaid claims adjustment expenses | 848,628 | 952,231 |
| Total claims adjustment expenses paid | $ 27,656,942 | $ 46,049,475 |

**B.** The Company did not make any significant changes in methodologies and assumptions used in the calculation of the liability for claims unpaid and unpaid CAE in 2021.

## 26. INTERCOMPANY POOLING ARRANGEMENTS

**A–G.** No significant change.

## 27. STRUCTURED SETTLEMENTS

**A–B.** No significant change.

## 28. HEALTH CARE RECEIVABLES

**A.** Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has non-admitted and excluded all pharmacy rebates receivable that do not meet the admissibility criteria of Statement of Statutory Accounting Principles ("SSAP") No. 84, *Health Care and Government Insured Plan Receivables* ("SSAP No. 84") from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria of SSAP No. 84, the pharmacy rebate transaction history is summarized as follows:

| Quarter | Estimated Pharmacy Rebates as Reported on Financial Statements | Pharmacy Rebates as Billed or Otherwise Confirmed | Actual Rebates Received within 90 Days of Billing | Actual Rebates Received within 91 to 180 Days of Billing | Actual Rebates Received More than 180 Days After Billing |
|---|---|---|---|---|---|
| 6/30/2021 | $ 6,663,931 | $ 2,116,413 | $ - | $ - | $ - |
| 3/31/2021 | 5,420,240 | 5,572,507 | 2,688,767 | - | - |
| 12/31/2020 | 3,351,513 | 4,321,274 | 2,893,318 | 1,126,273 | - |
| 9/30/2020 | 3,952,139 | 4,033,799 | 2,903,650 | 939,375 | (33,953) |
| 6/30/2020 | 3,797,081 | 3,814,363 | 2,684,465 | 947,966 | (11,211) |
| 3/31/2020 | 3,187,857 | 3,307,920 | 796,255 | 2,361,807 | 91,188 |
| 12/31/2019 | 2,821,590 | 2,941,822 | 1,376,475 | 494,394 | 1,006,572 |
| 9/30/2019 | 2,734,331 | 2,896,824 | 1,284,076 | 709,822 | 846,351 |
| 6/30/2019 | 2,668,509 | 2,892,679 | 1,163,053 | 1,537,989 | 127,856 |
| 3/31/2019 | 2,253,990 | 2,354,773 | 966,650 | 435,613 | 903,349 |
| 12/31/2018 | 1,913,939 | 1,700,063 | 683,198 | 1,052,456 | 27,726 |
| 9/30/2018 | 1,919,193 | 1,743,837 | 695,751 | 750,337 | 275,788 |

Of the amount reported as health care receivables, $9,333,971 and $4,959,746 relates to pharmacy rebates receivable as of June 30, 2021 and December 31, 2020, respectively. This increase is primarily due to increased membership along with the change in generic/name brand mix.

**B.** No significant change.

## 29. PARTICIPATING POLICIES

No significant change.

## 30. PREMIUM DEFICIENCY RESERVES

The Company has not recorded any premium deficiency reserves ("PDR") as of June 30, 2021 and December 31, 2020. The analysis of PDR was completed as of June 30, 2021 and December 31, 2020. The Company did consider anticipated investment income when calculating the PDR.

The following table summarizes the Company's PDR as of June 30, 2021 and December 31, 2020:

| | June 30, 2021 |
|---|---|
| 1. Liability carried for premium deficiency reserves | $ - |
| 2. Date of the most recent evaluation of this liability | 6/30/2021 |
| 3. Was anticipated investment income utilized in this calculation? | Yes [X] No [ ] |

| | December 31, 2020 |
|---|---|
| 1. Liability carried for premium deficiency reserves | $ - |
| 2. Date of the most recent evaluation of this liability | 12/31/2020 |
| 3. Was anticipated investment income utilized in this calculation? | Yes [X] No [ ] |

## 31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ X ] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ X ] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0000731766

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]
If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|---|---|---|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ X ] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2016

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2016

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/15/2018

6.4 By what department or departments?
Michigan Department of Insurance and Financial Services

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ X ] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ X ] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1<br>Affiliate Name | 2<br>Location (City, State) | 3<br>FRB | 4<br>OCC | 5<br>FDIC | 6<br>SEC |
|---|---|---|---|---|---|
| Optum Bank, Inc. | Salt Lake City, UT | NO | NO | YES | NO |

# GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.
9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ X ] No [ ]
9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
Non-material updates made to existing code
9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]
9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

## FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [ X ]
10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ X ]
11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0
13. Amount of real estate and mortgages held in short-term investments: $ 0
14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [ X ]
14.2 If yes, please complete the following:

| | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|---|---|
| 14.21 Bonds | $ 0 | $ 0 |
| 14.22 Preferred Stock | $ 0 | $ 0 |
| 14.23 Common Stock | $ 0 | $ 0 |
| 14.24 Short-Term Investments | $ 0 | $ 0 |
| 14.25 Mortgage Loans on Real Estate | $ 0 | $ 0 |
| 14.26 All Other | $ 0 | $ 0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | $ 0 | $ 0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | $ 0 | $ 0 |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [ X ]
15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. $ 0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 $ 0
16.3 Total payable for securities lending reported on the liability page. $ 0

# GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [ X ] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address |
|---|---|
| Bank of New York Mellon | Global Liquidity Services, 1 Wall St, 14th Floor, New York NY 10286 |
| Northern Trust | 50 S. LaSalle, Chicago, IL 60675 |

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|---|---|---|
| | | |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [ X ]

17.4 If yes, give full information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|---|---|---|---|
| | | | |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

| 1<br>Name of Firm or Individual | 2<br>Affiliation |
|---|---|
| Internally Managed | I |
| J.P. Morgan Asset Management | U |
| Wellington Management Company, LLP | U |
| | |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ X ] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [ X ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1<br>Central Registration Depository Number | 2<br>Name of Firm or Individual | 3<br>Legal Entity Identifier (LEI) | 4<br>Registered With | 5<br>Investment Management Agreement (IMA) Filed |
|---|---|---|---|---|
| 107038 | J.P. Morgan Asset Management | 549300W78QHV4XMM6K69 | SEC | NO |
| 106595 | Wellington Management Company, LLP | 549300YHP12TEZNLCX41 | SEC | NO |
| | | | | |

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [ X ] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

    Has the reporting entity self-designated 5GI securities? Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

    Has the reporting entity self-designated PLGI securities? Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The shares were purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent ..... 83.5 %

1.2 A&H cost containment percent ..... 3.3 %

1.3 A&H expense percent excluding cost containment expenses ..... 13.1 %

2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... $ 0

2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

2.4 If yes, please provide the balance of the funds administered as of the reporting date ..... $ 0

3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ ] No [ X ]

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ X ]

# SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

| 1<br>NAIC Company Code | 2<br>ID Number | 3<br>Effective Date | 4<br>Name of Reinsurer | 5<br>Domiciliary Jurisdiction | 6<br>Type of Reinsurance Ceded | 7<br>Type of Business Ceded | 8<br>Type of Reinsurer | 9<br>Certified Reinsurer Rating (1 through 6) | 10<br>Effective Date of Certified Reinsurer Rating |
|---|---|---|---|---|---|---|---|---|---|

NONE

# SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

| | 1 | Direct Business Only | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| States, etc. | Active Status (a) | Accident and Health Premiums | Medicare Title XVIII | Medicaid Title XIX | CHIP Title XXI | Federal Employees Health Benefits Program Premiums | Life and Annuity Premiums & Other Considerations | Property/ Casualty Premiums | Total Columns 2 Through 8 | Deposit-Type Contracts |
| 1. Alabama AL | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. Alaska AK | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. Arizona AZ | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. Arkansas AR | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. California CA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. Colorado CO | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. Connecticut CT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8. Delaware DE | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9. District of Columbia DC | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10. Florida FL | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11. Georgia GA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12. Hawaii HI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13. Idaho ID | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Illinois IL | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Indiana IN | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16. Iowa IA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17. Kansas KS | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18. Kentucky KY | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 19. Louisiana LA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20. Maine ME | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21. Maryland MD | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 22. Massachusetts MA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 23. Michigan MI | L | 5,739,999 | 77,831,411 | 547,596,158 | 0 | 0 | 0 | 0 | 631,167,568 | 0 |
| 24. Minnesota MN | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 25. Mississippi MS | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 26. Missouri MO | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 27. Montana MT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 28. Nebraska NE | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 29. Nevada NV | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 30. New Hampshire NH | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 31. New Jersey NJ | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 32. New Mexico NM | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 33. New York NY | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 34. North Carolina NC | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 35. North Dakota ND | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 36. Ohio OH | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 37. Oklahoma OK | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 38. Oregon OR | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 39. Pennsylvania PA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 40. Rhode Island RI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 41. South Carolina SC | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 42. South Dakota SD | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 43. Tennessee TN | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 44. Texas TX | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 45. Utah UT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 46. Vermont VT | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47. Virginia VA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 48. Washington WA | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 49. West Virginia WV | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 50. Wisconsin WI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 51. Wyoming WY | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 52. American Samoa AS | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 53. Guam GU | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 54. Puerto Rico PR | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 55. U.S. Virgin Islands VI | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 56. Northern Mariana Islands MP | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 57. Canada CAN | N | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58. Aggregate Other Aliens OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Subtotal | XXX | 5,739,999 | 77,831,411 | 547,596,158 | 0 | 0 | 0 | 0 | 631,167,568 | 0 |
| 60. Reporting Entity Contributions for Employee Benefit Plans | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 61. Totals (Direct Business) | XXX | 5,739,999 | 77,831,411 | 547,596,158 | 0 | 0 | 0 | 0 | 631,167,568 | 0 |
| DETAILS OF WRITE-INS | | | | | | | | | | |
| 58001. | XXX | | | | | | | | | |
| 58002. | XXX | | | | | | | | | |
| 58003. | XXX | | | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

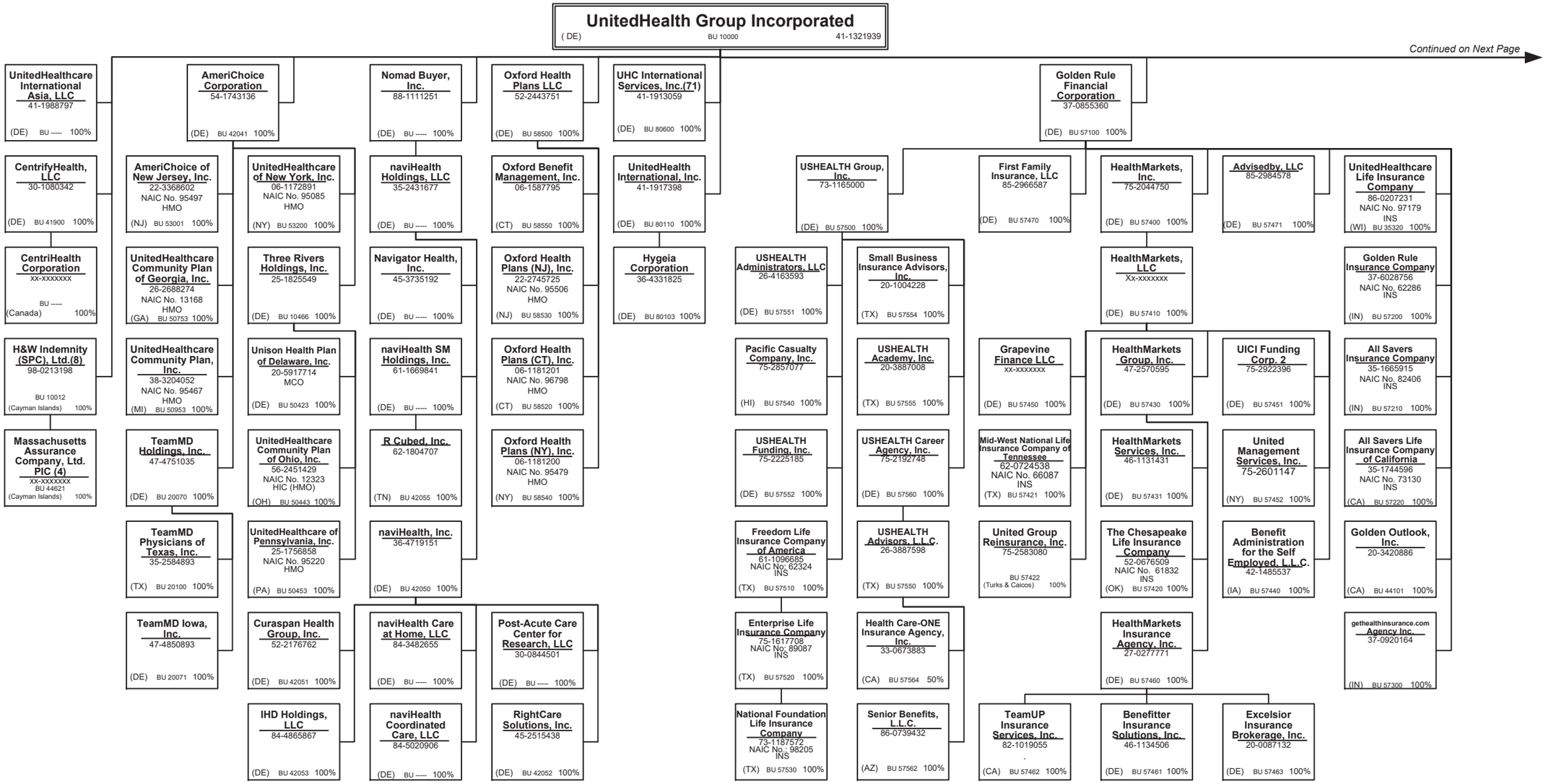
(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 1
R - Registered - Non-domiciled RRGs 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0
Q - Qualified - Qualified or accredited reinsurer 0
N - None of the above - Not allowed to write business in the state 56

Premiums allocated by state based upon Geographic Market

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



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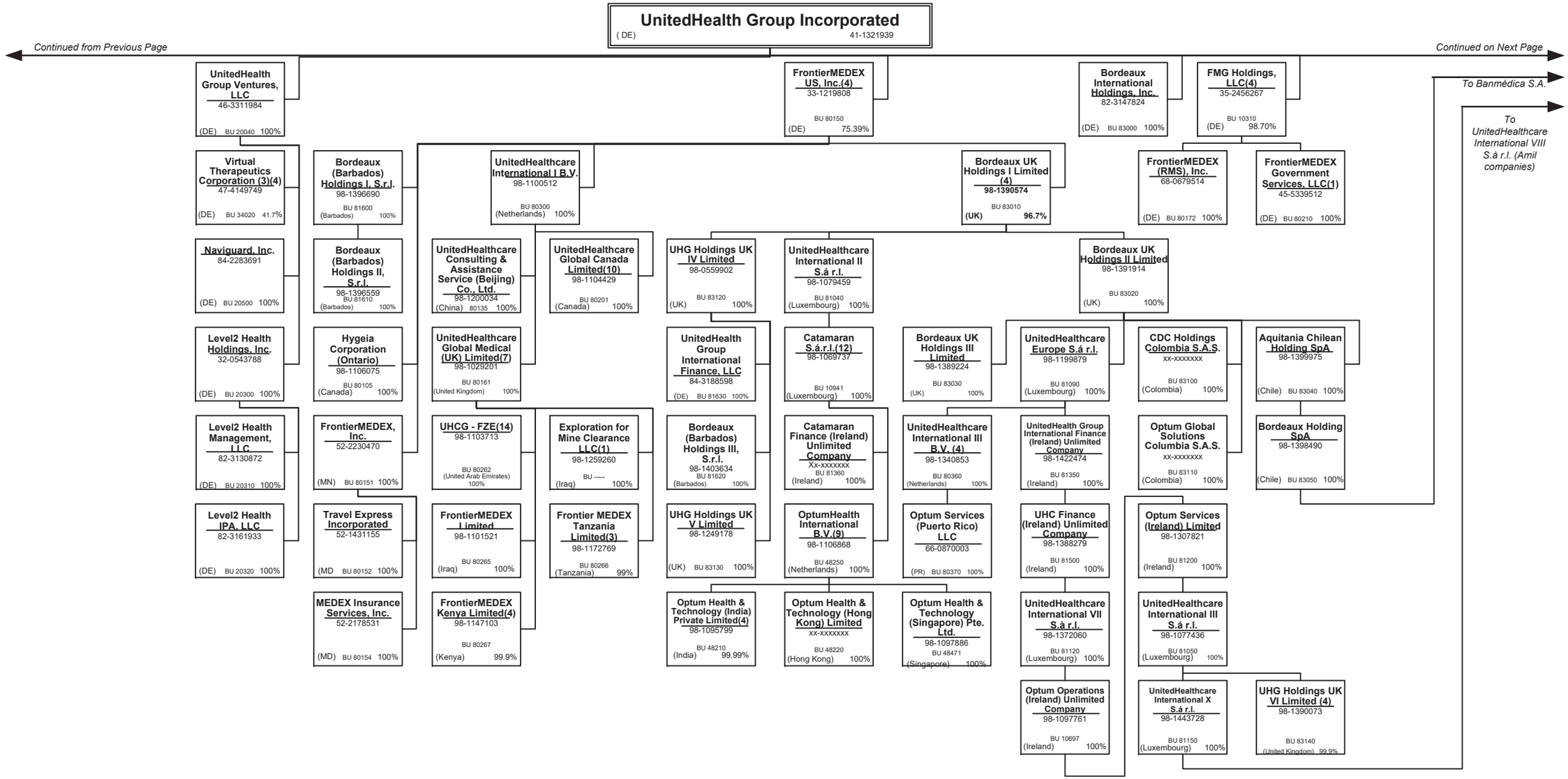

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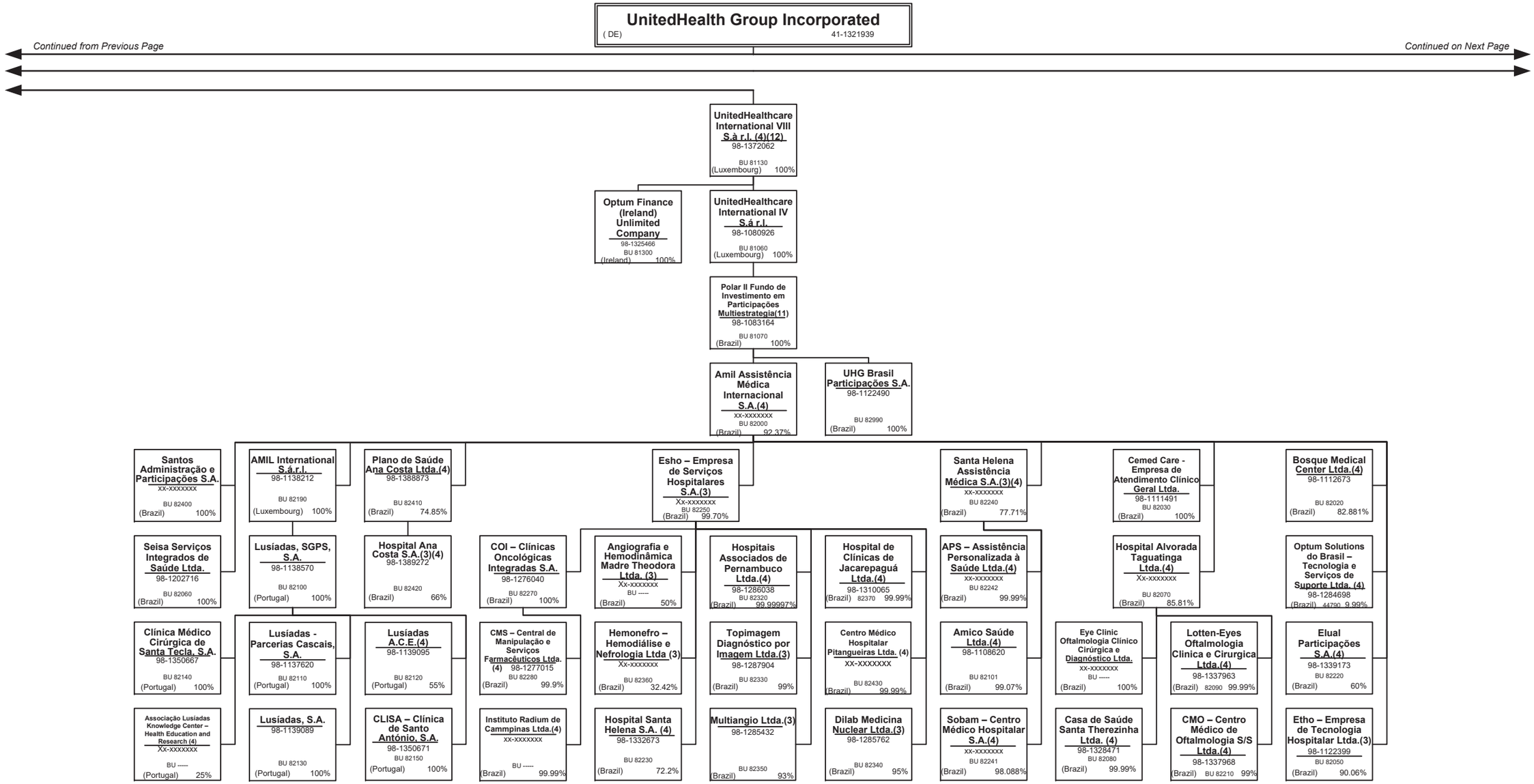

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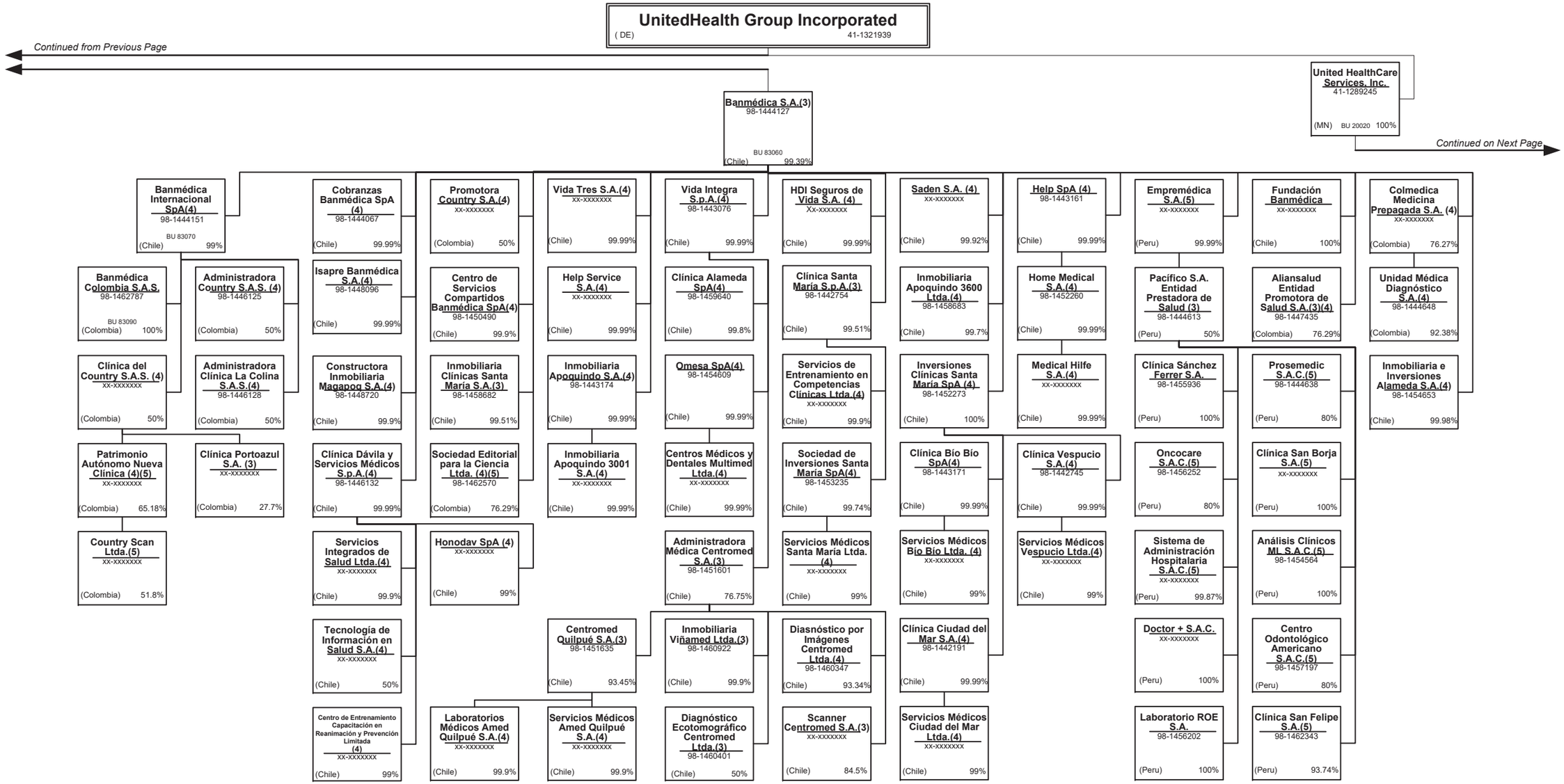

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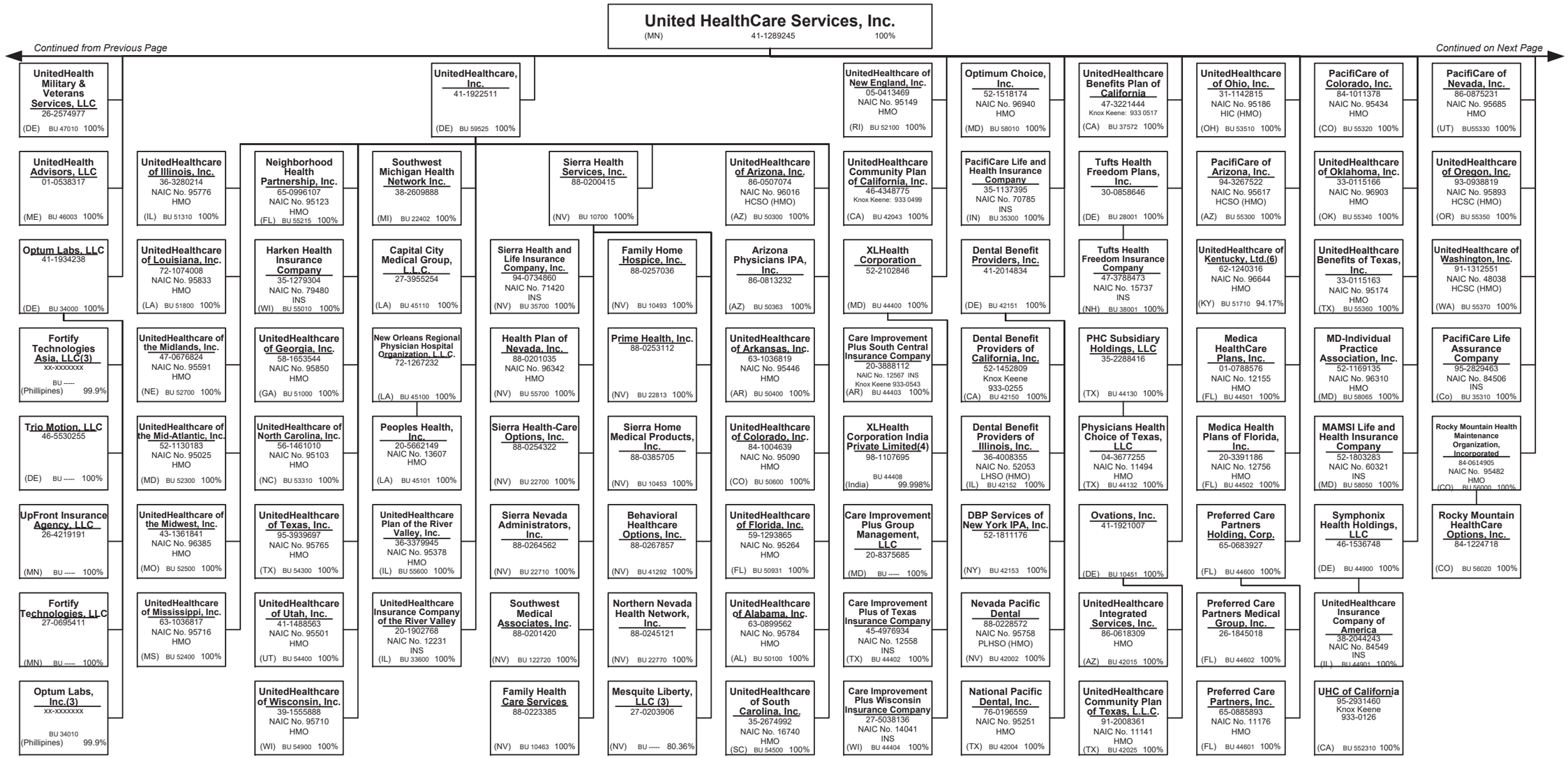

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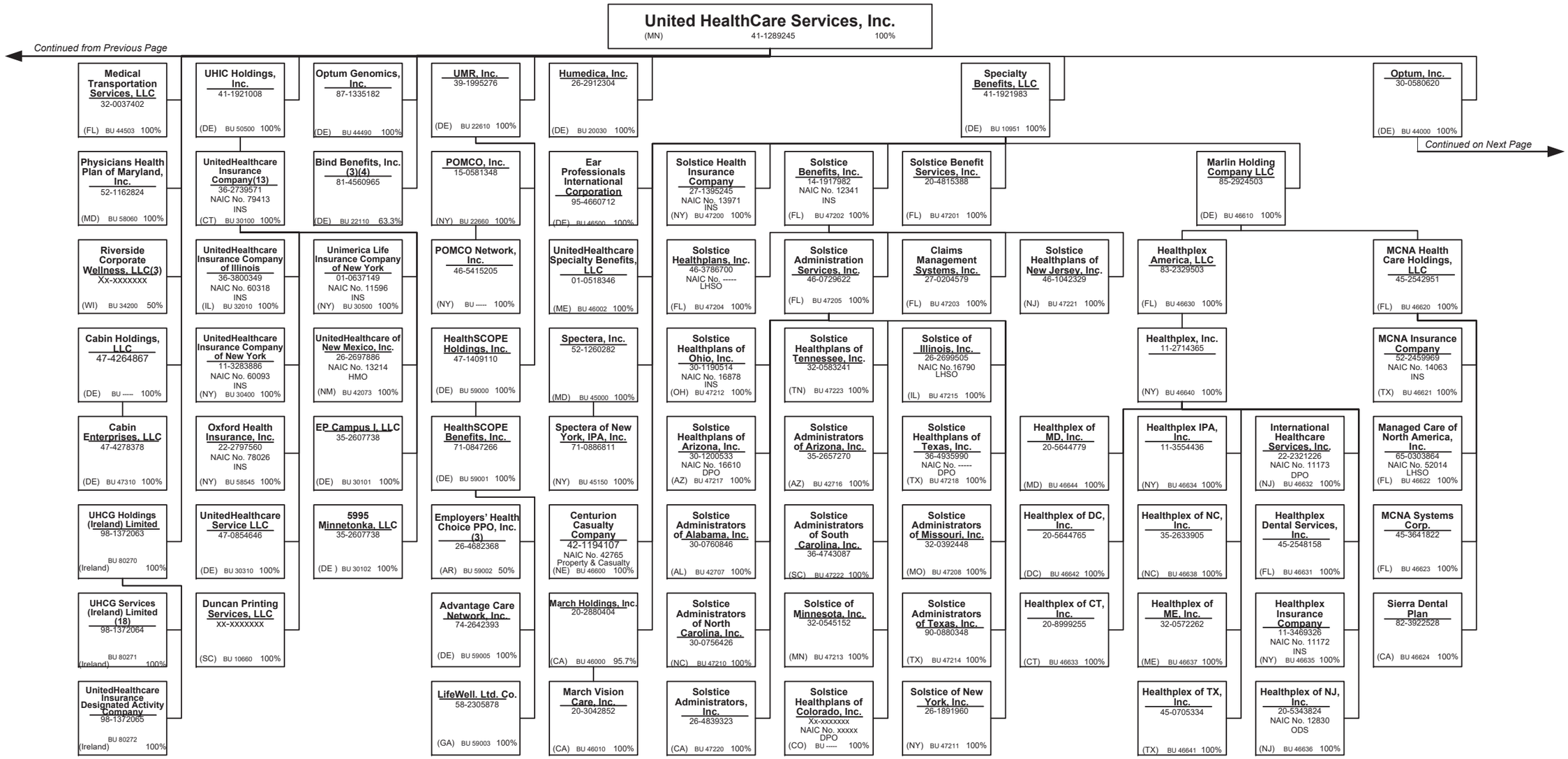

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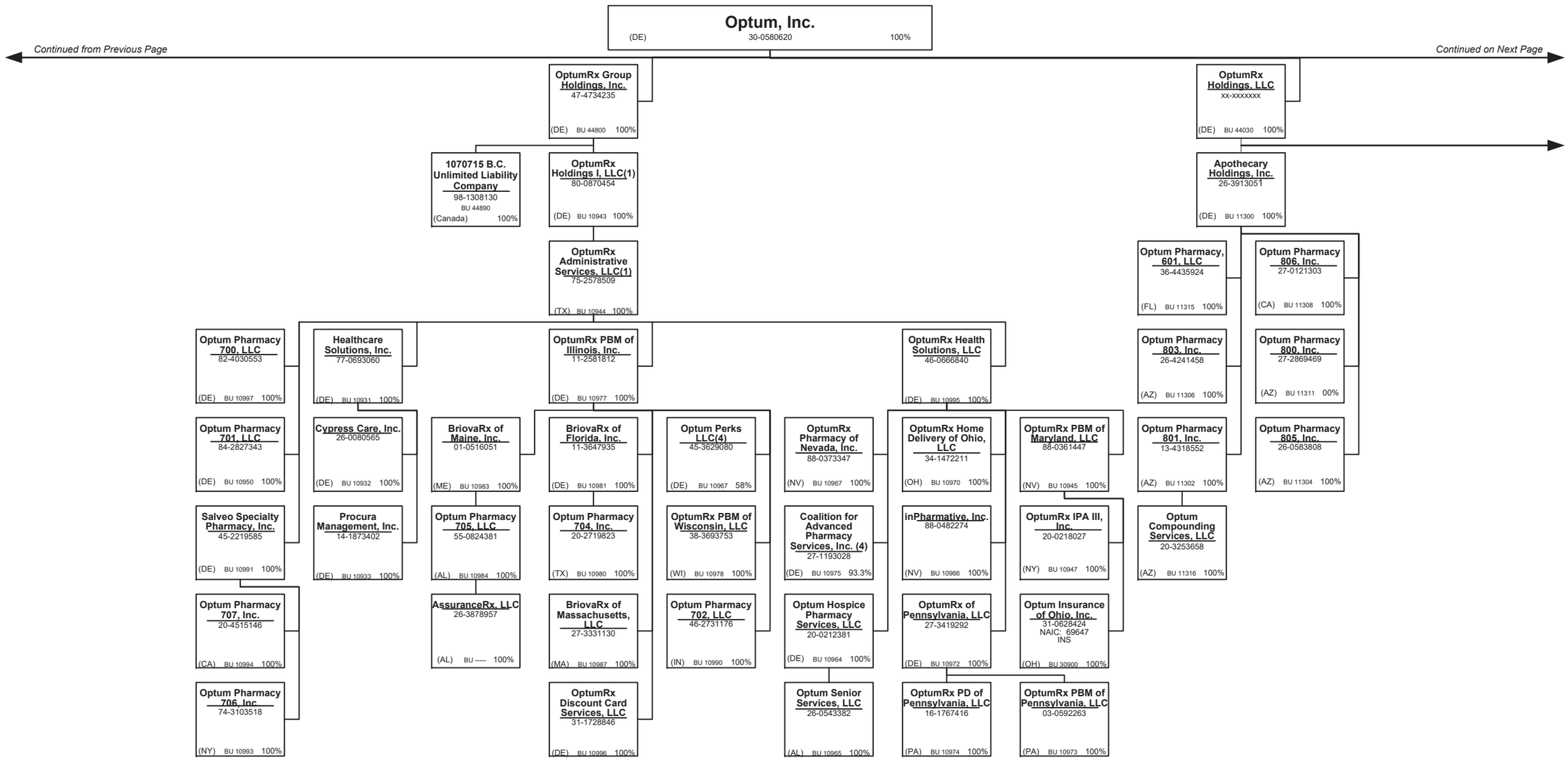

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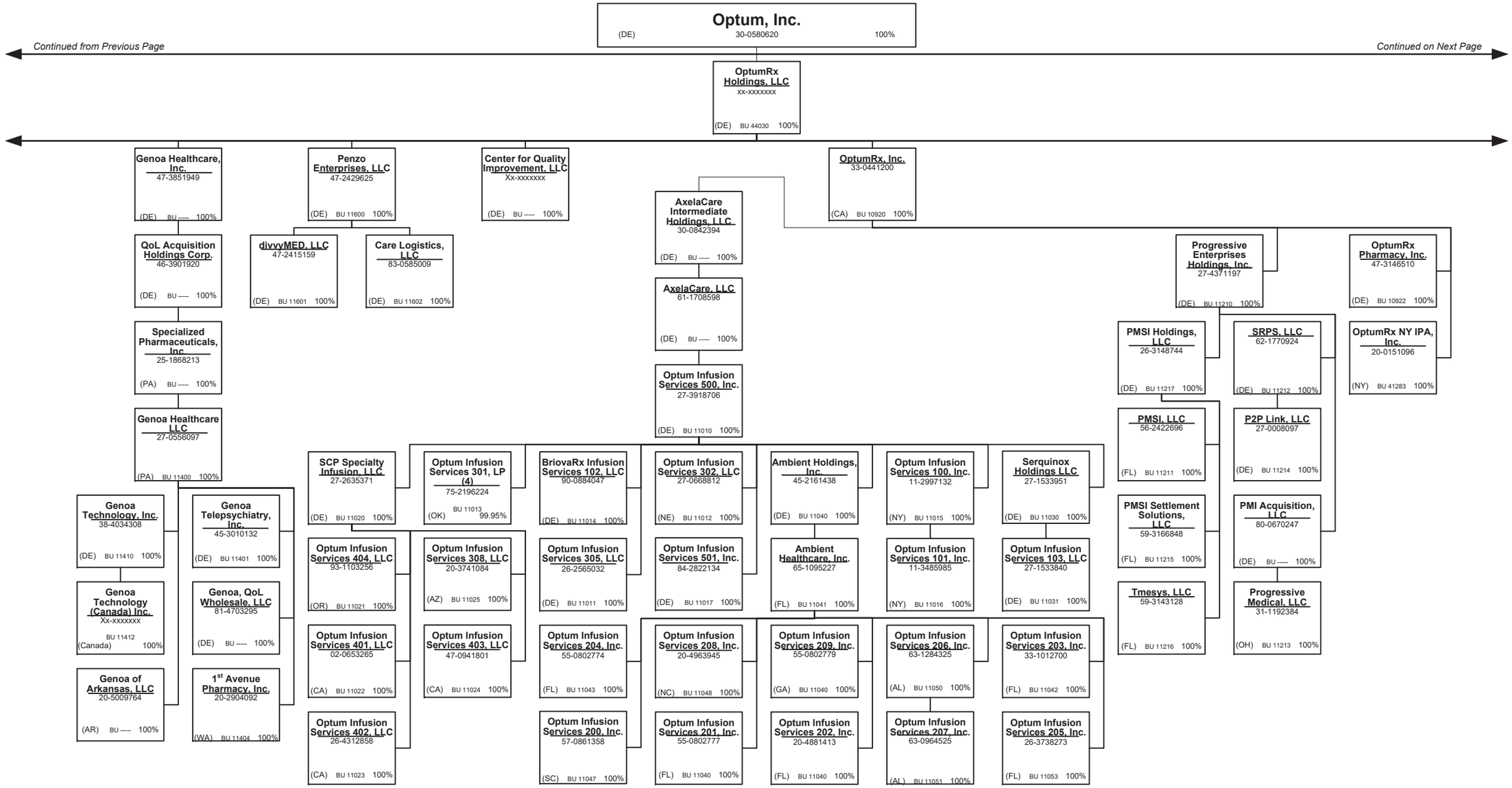

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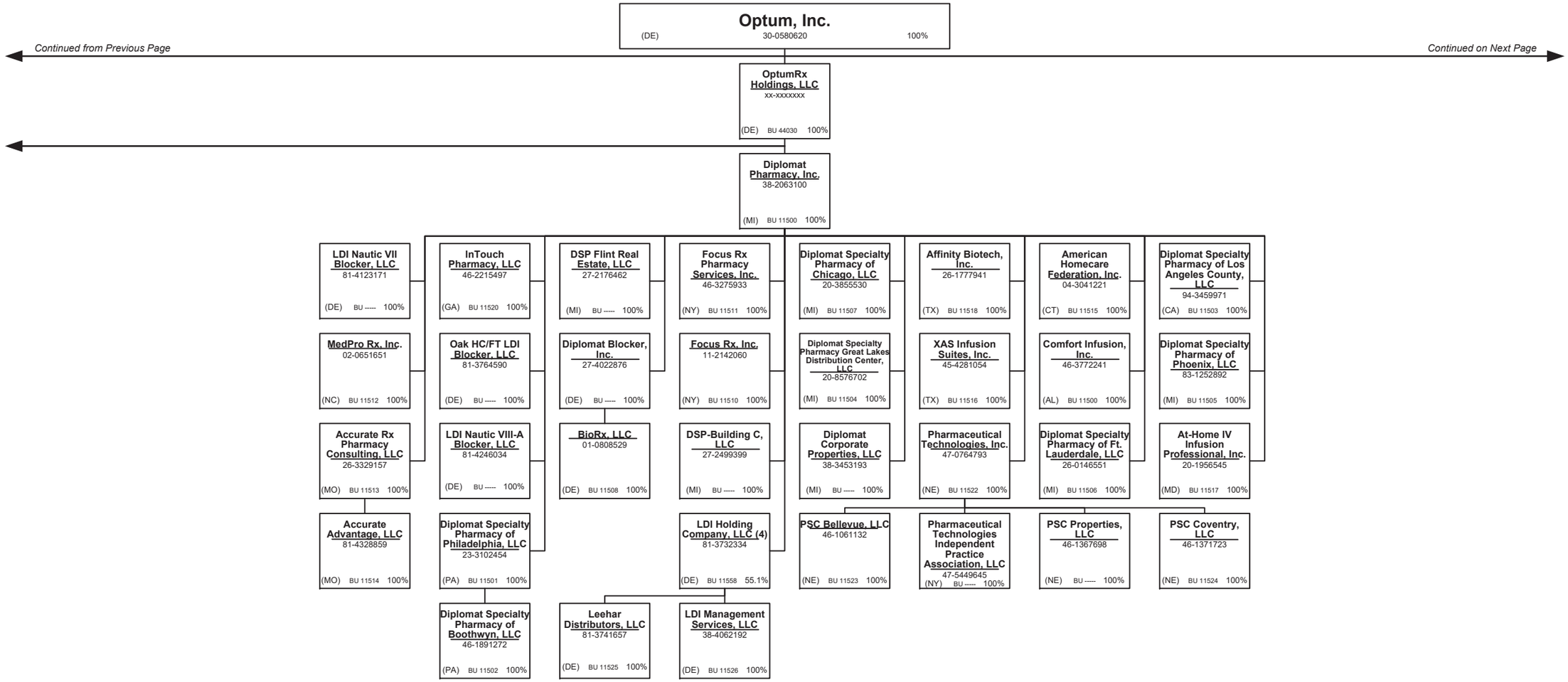

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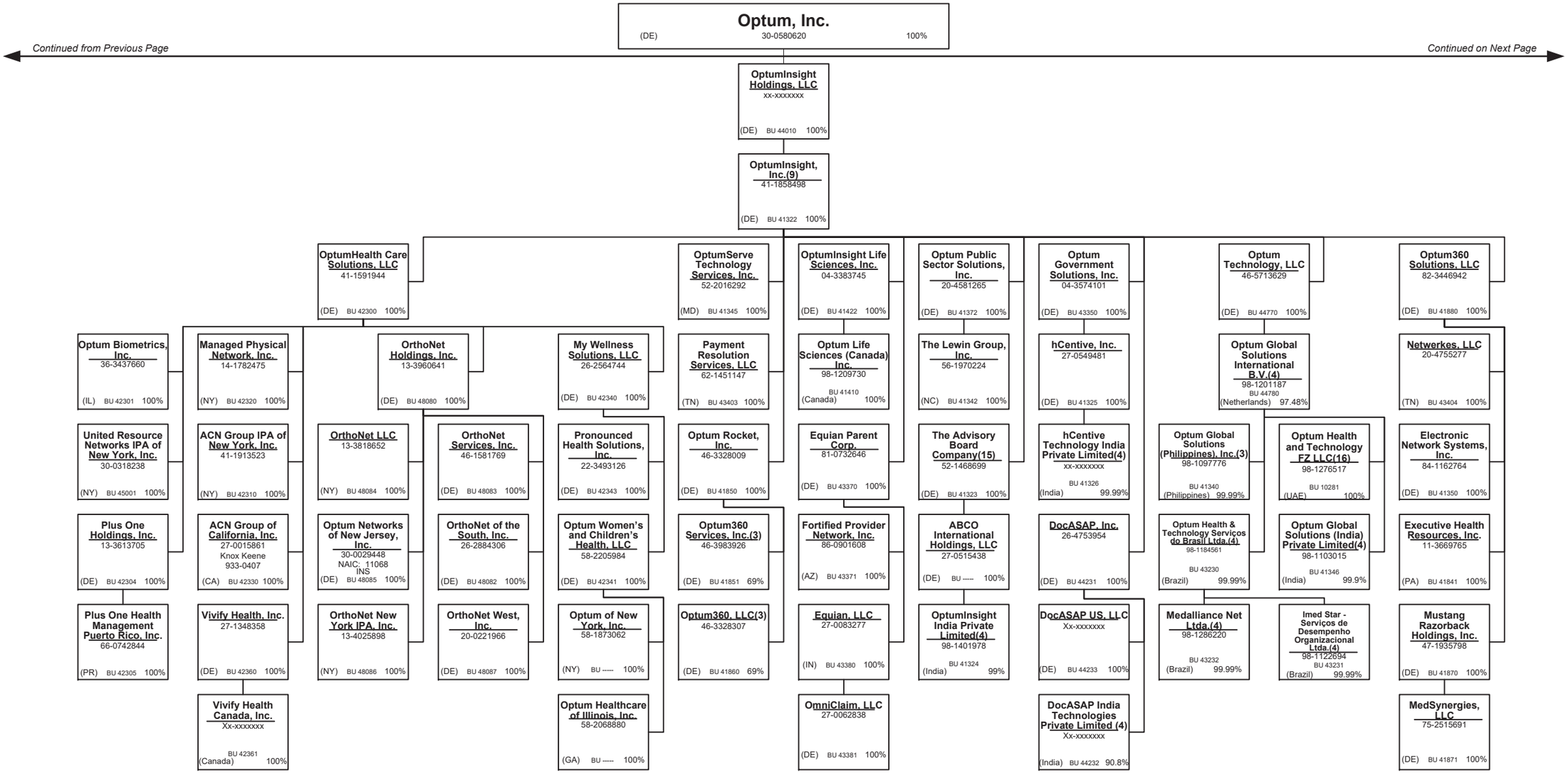
## PART 1 – ORGANIZATIONAL CHART



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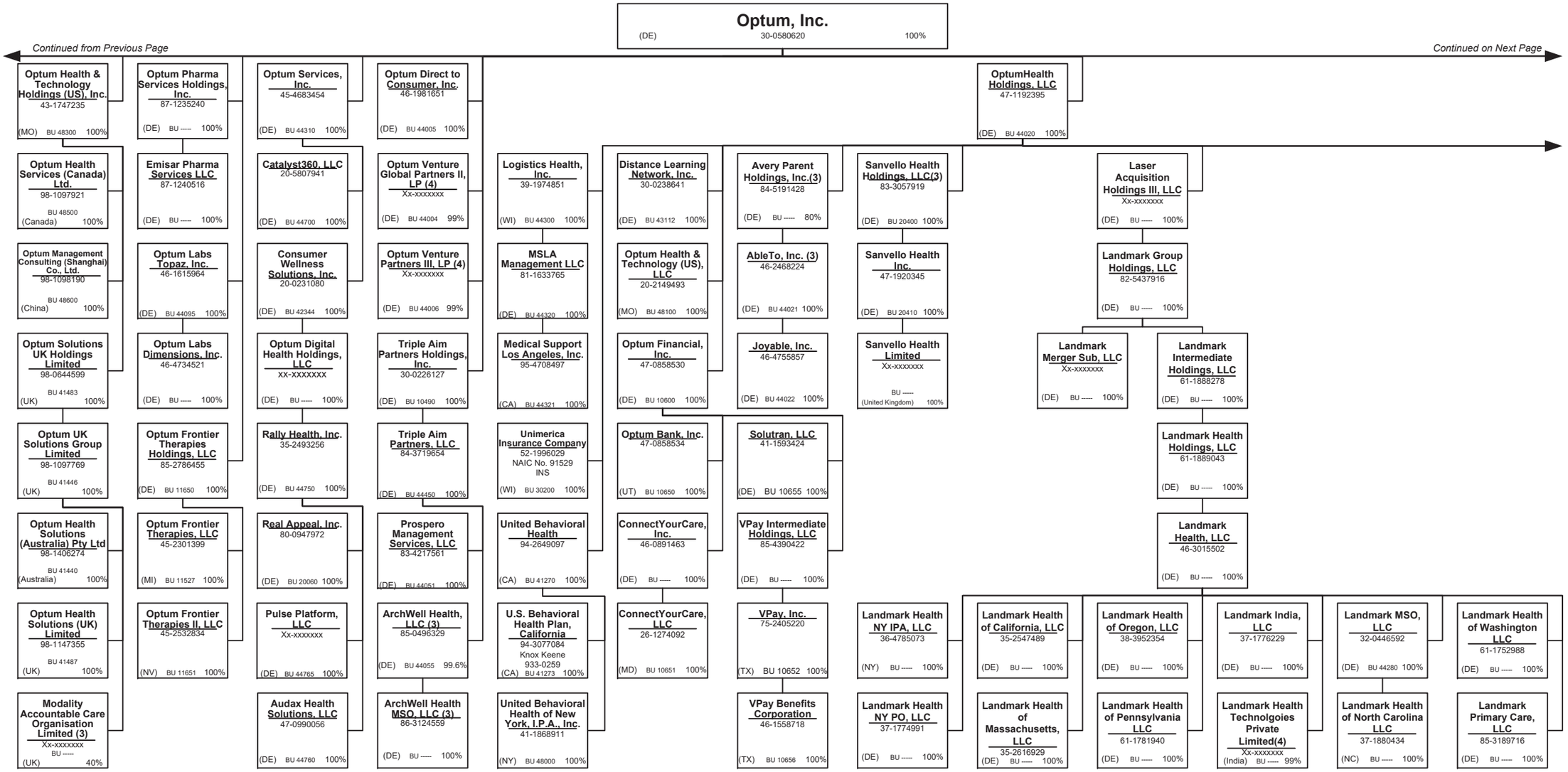

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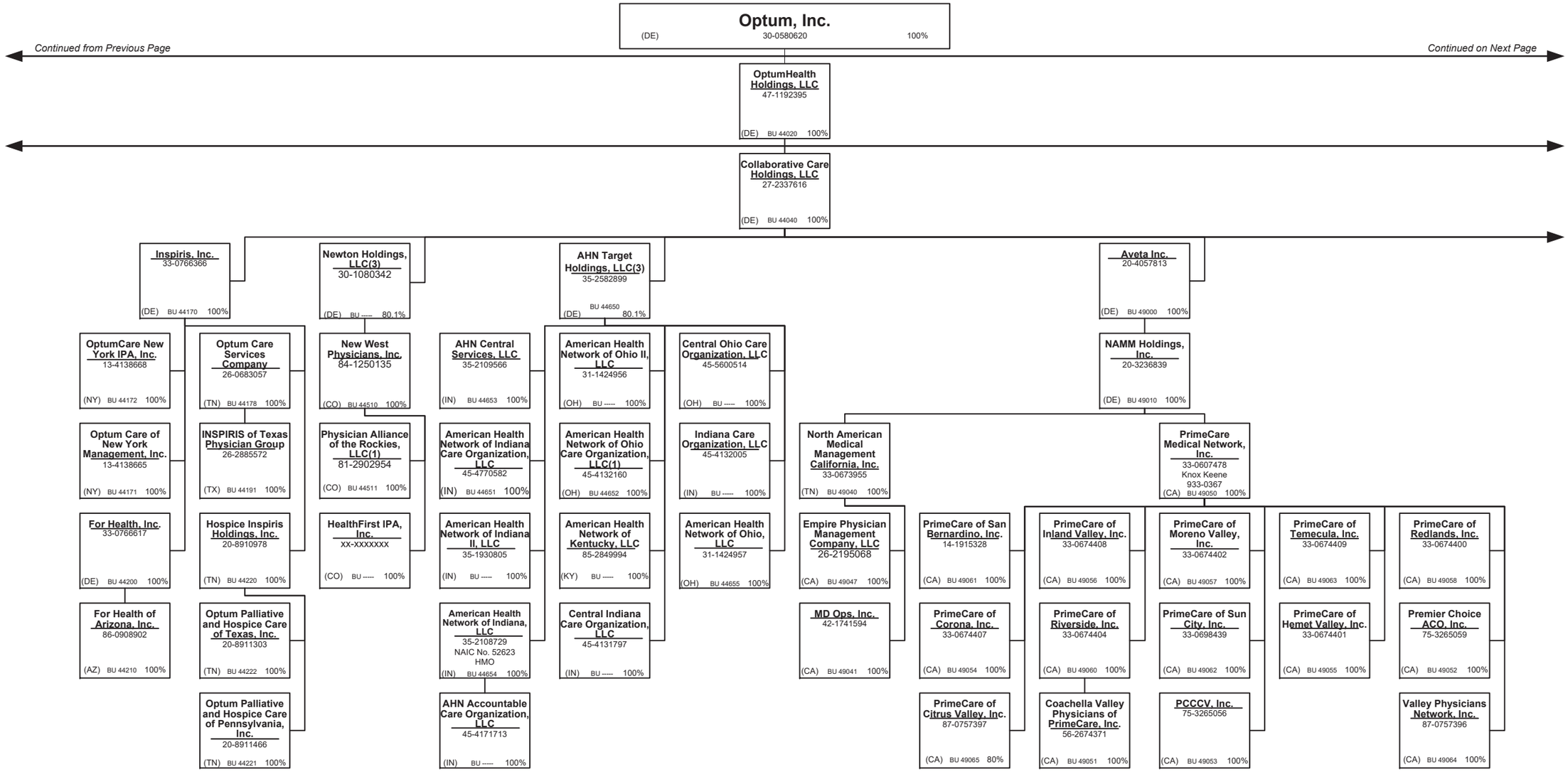

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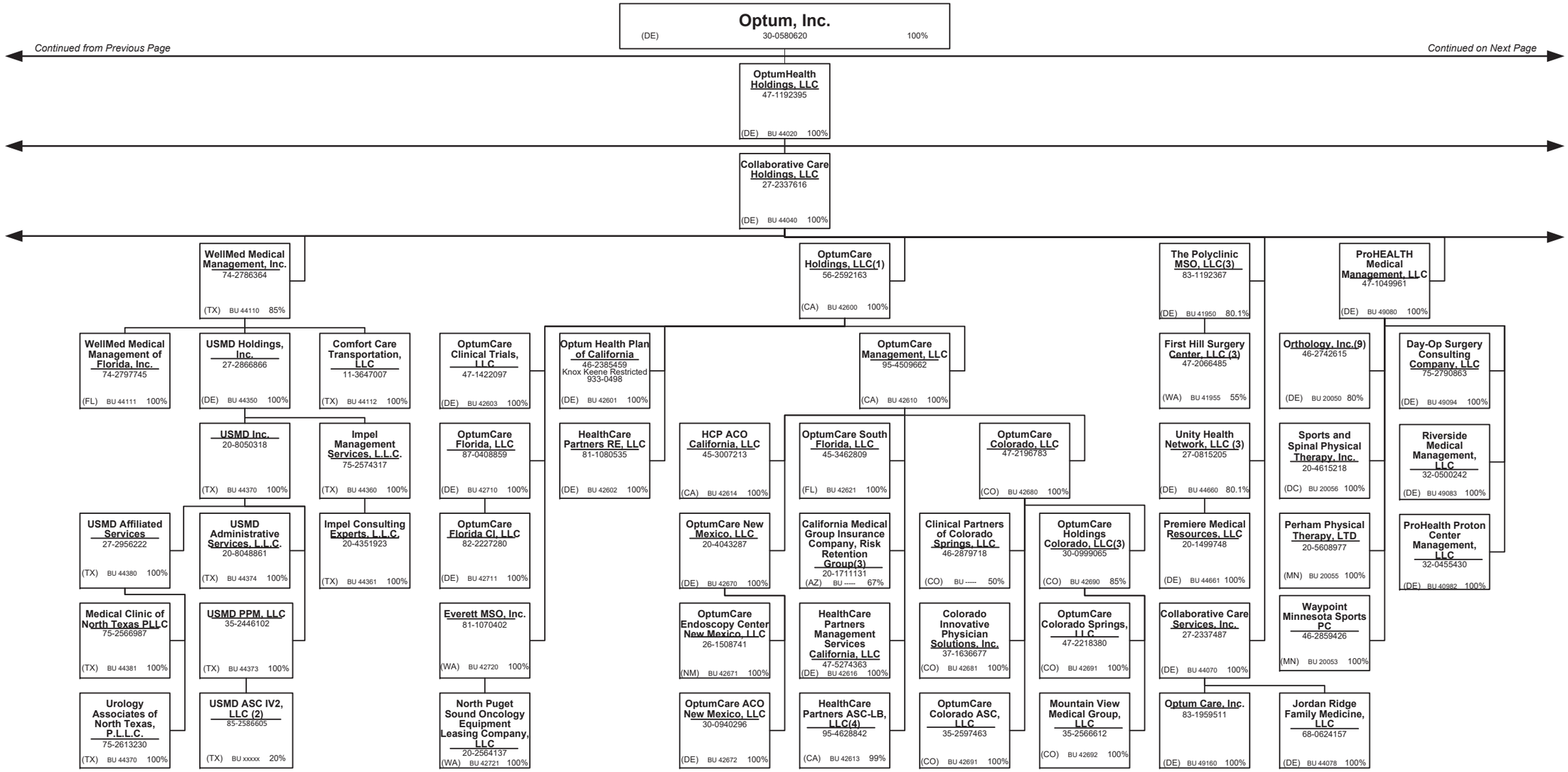
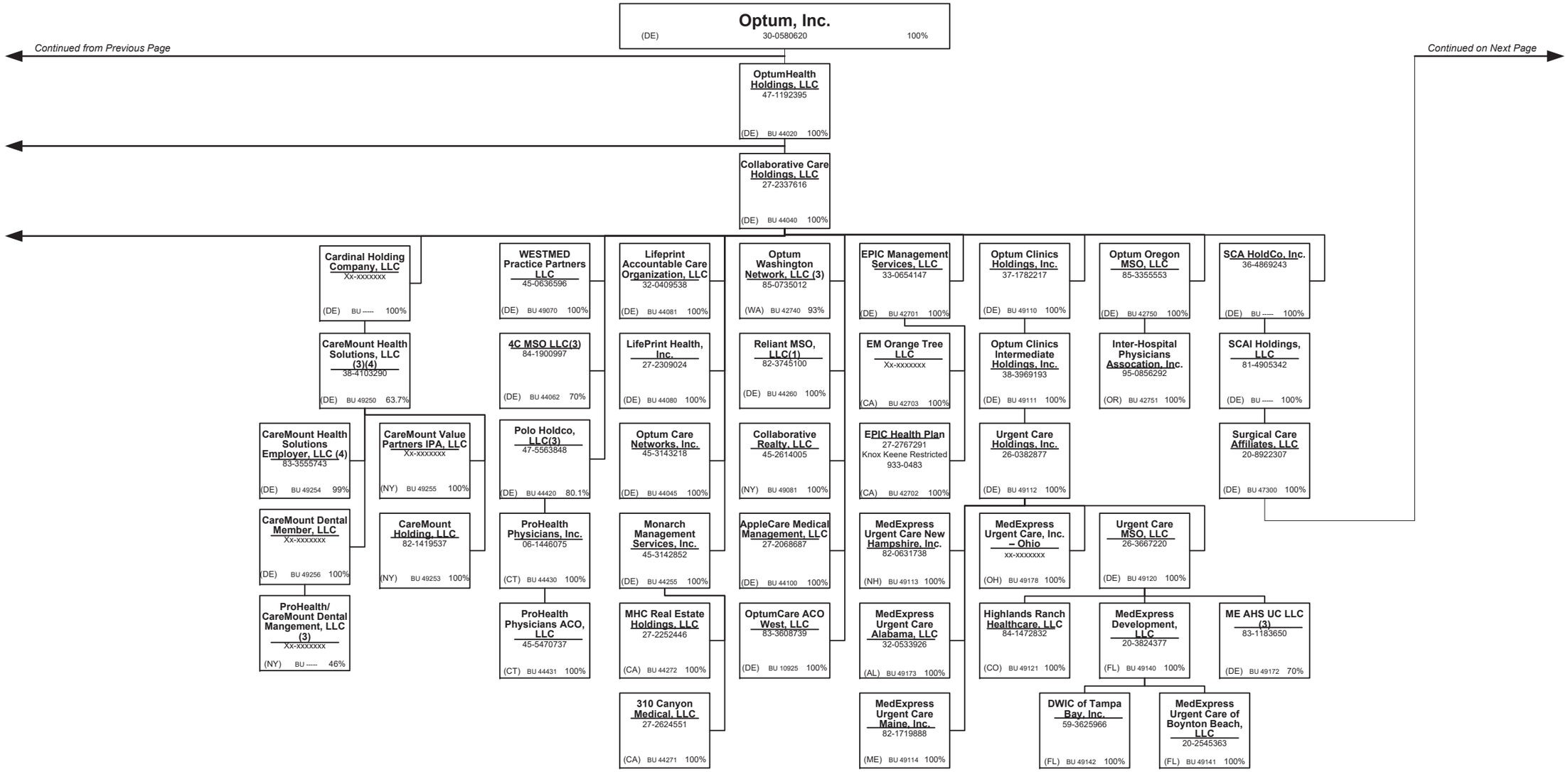


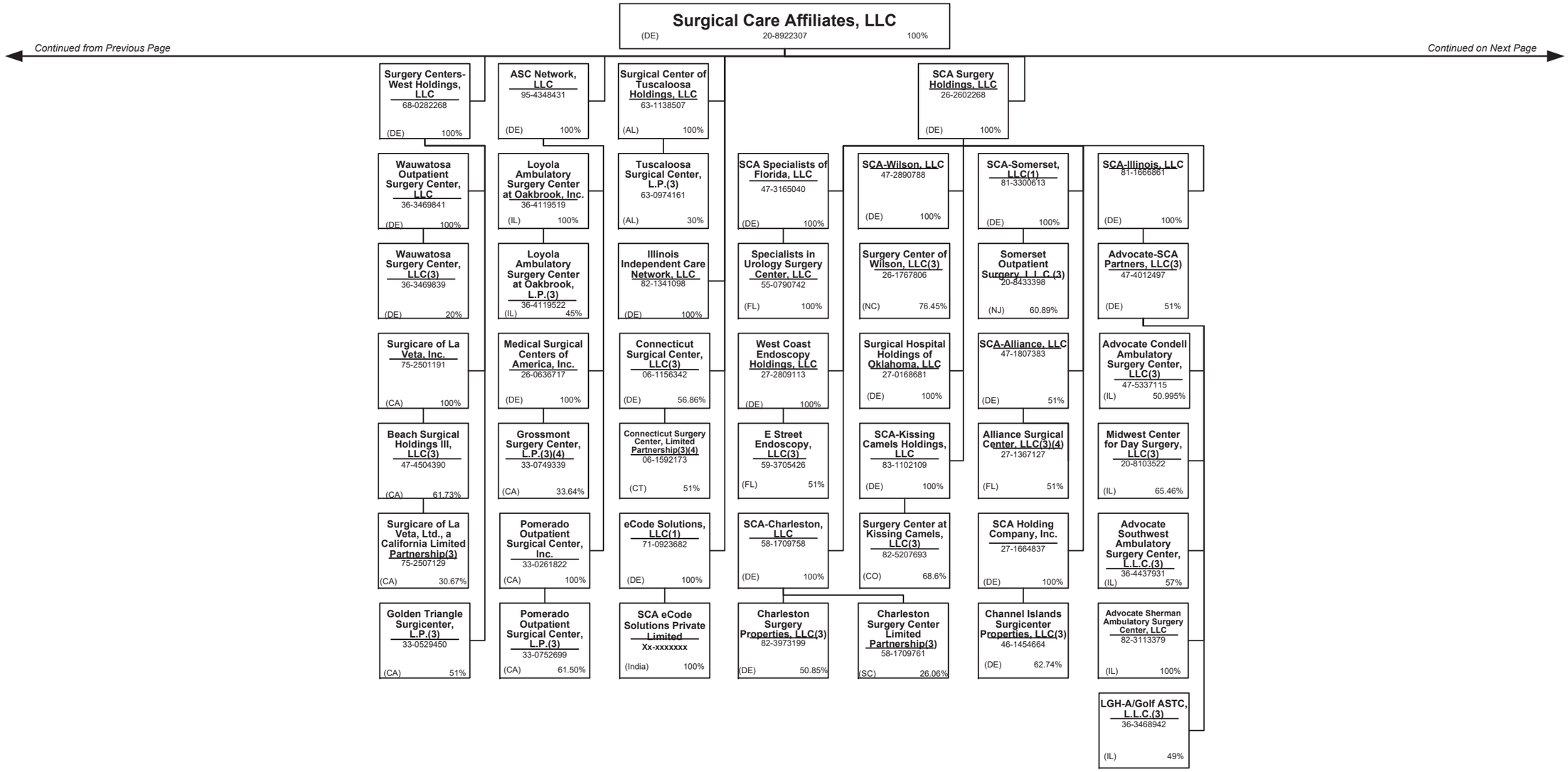
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



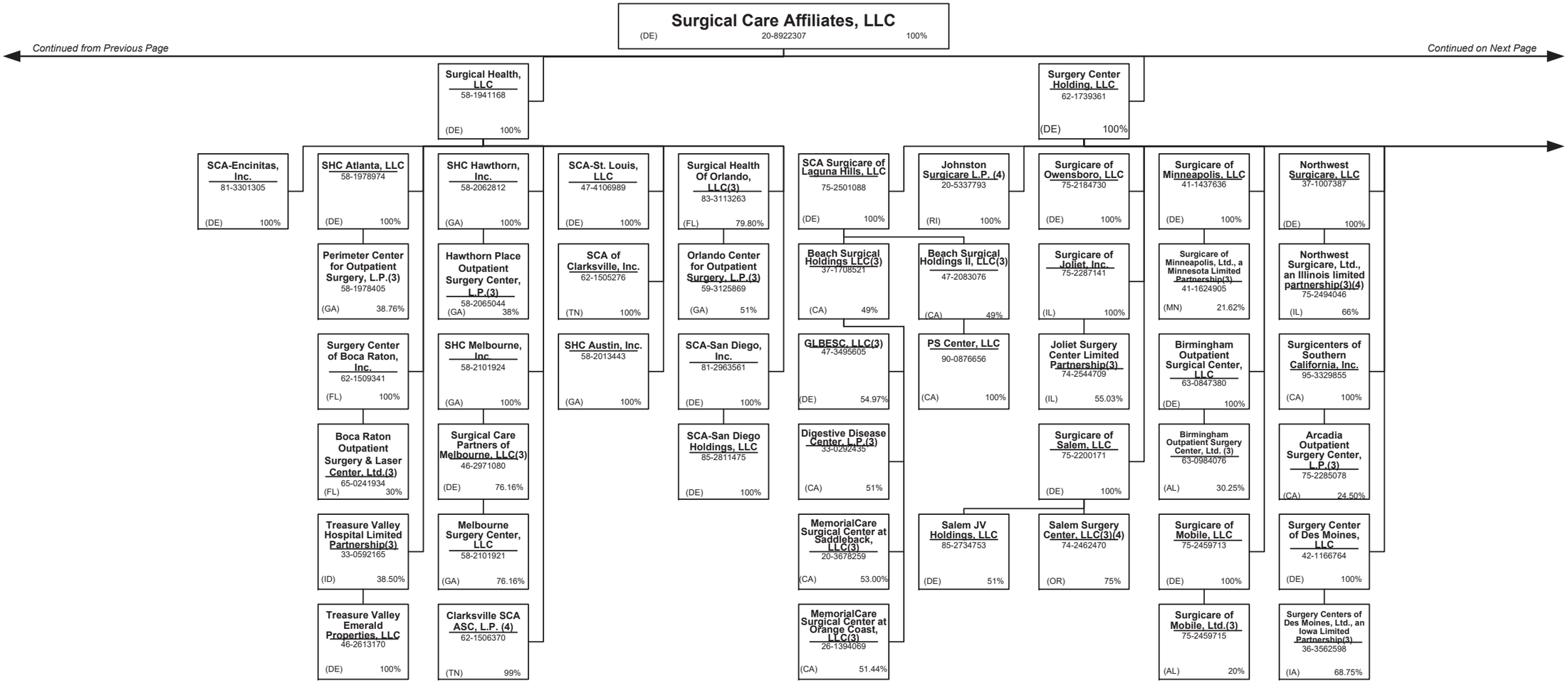
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



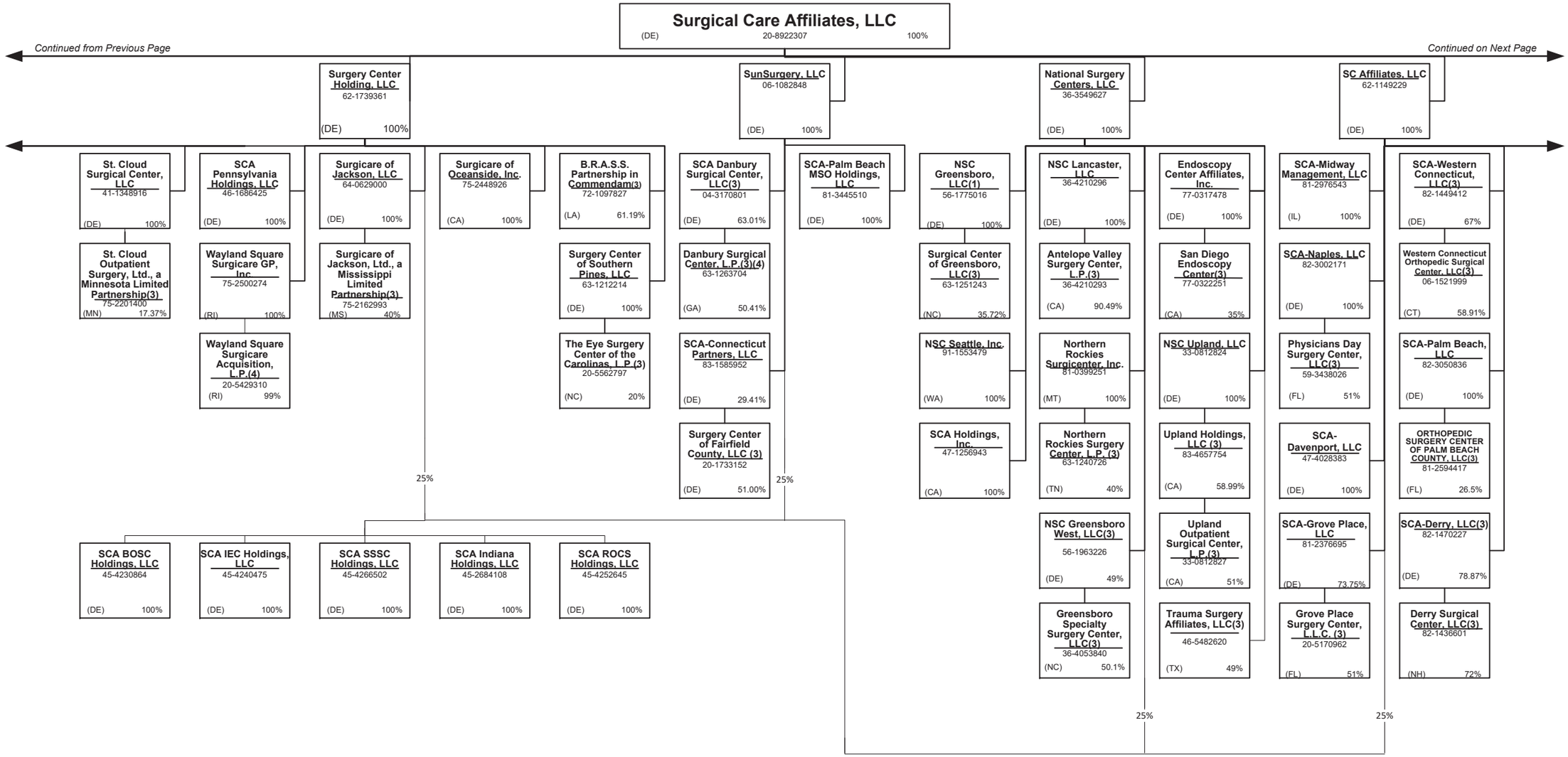
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



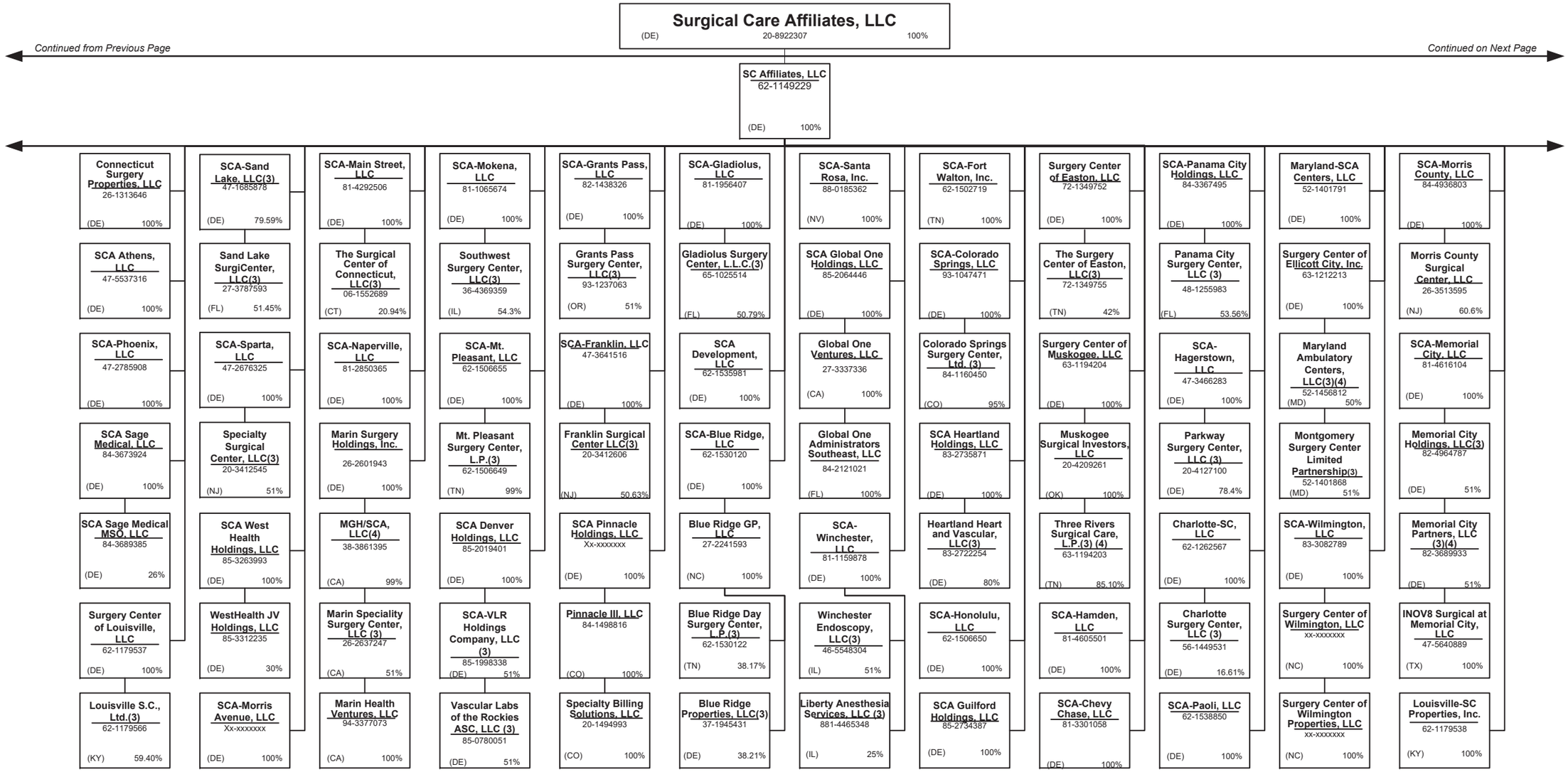
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



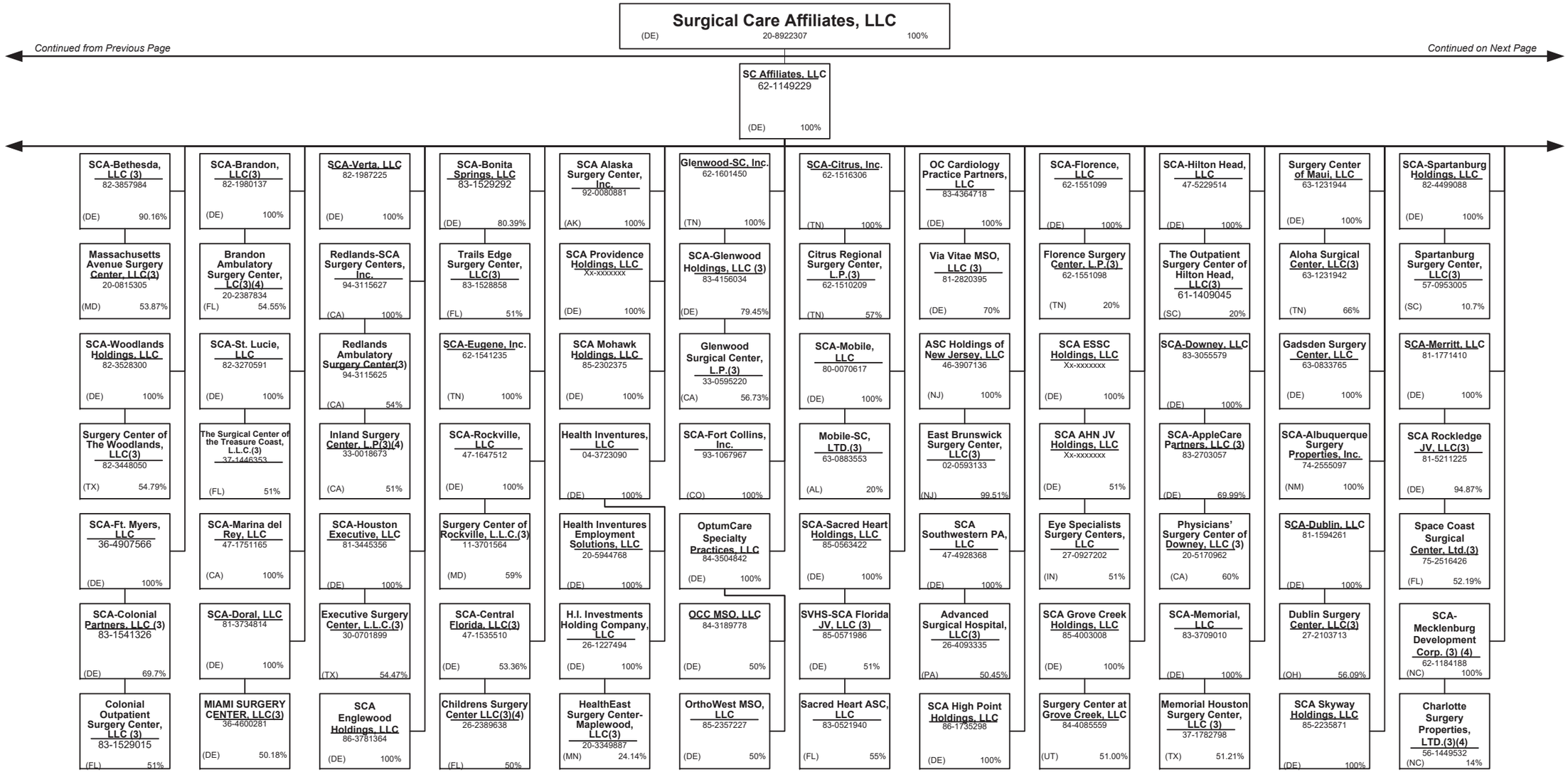
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



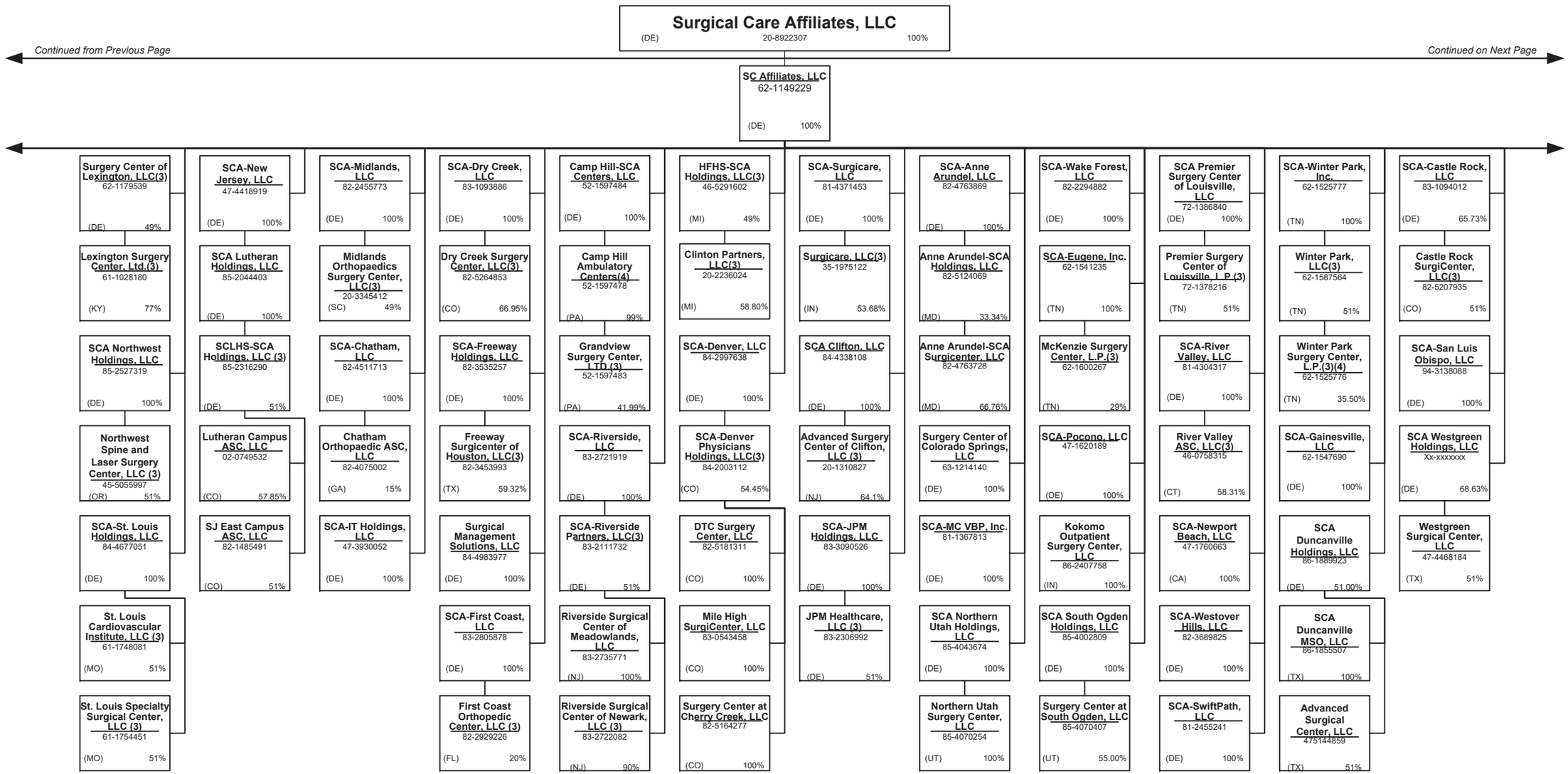
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



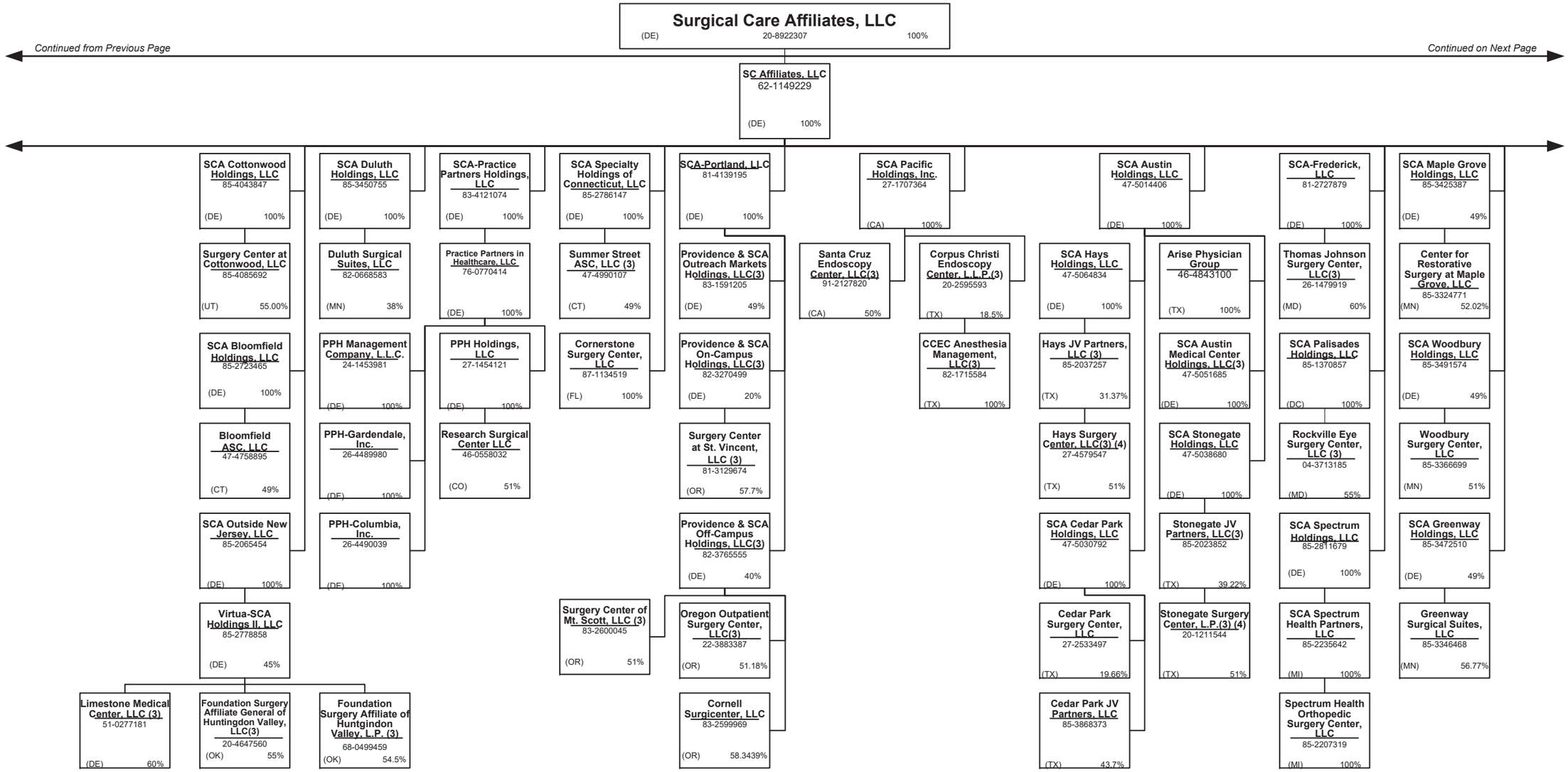
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



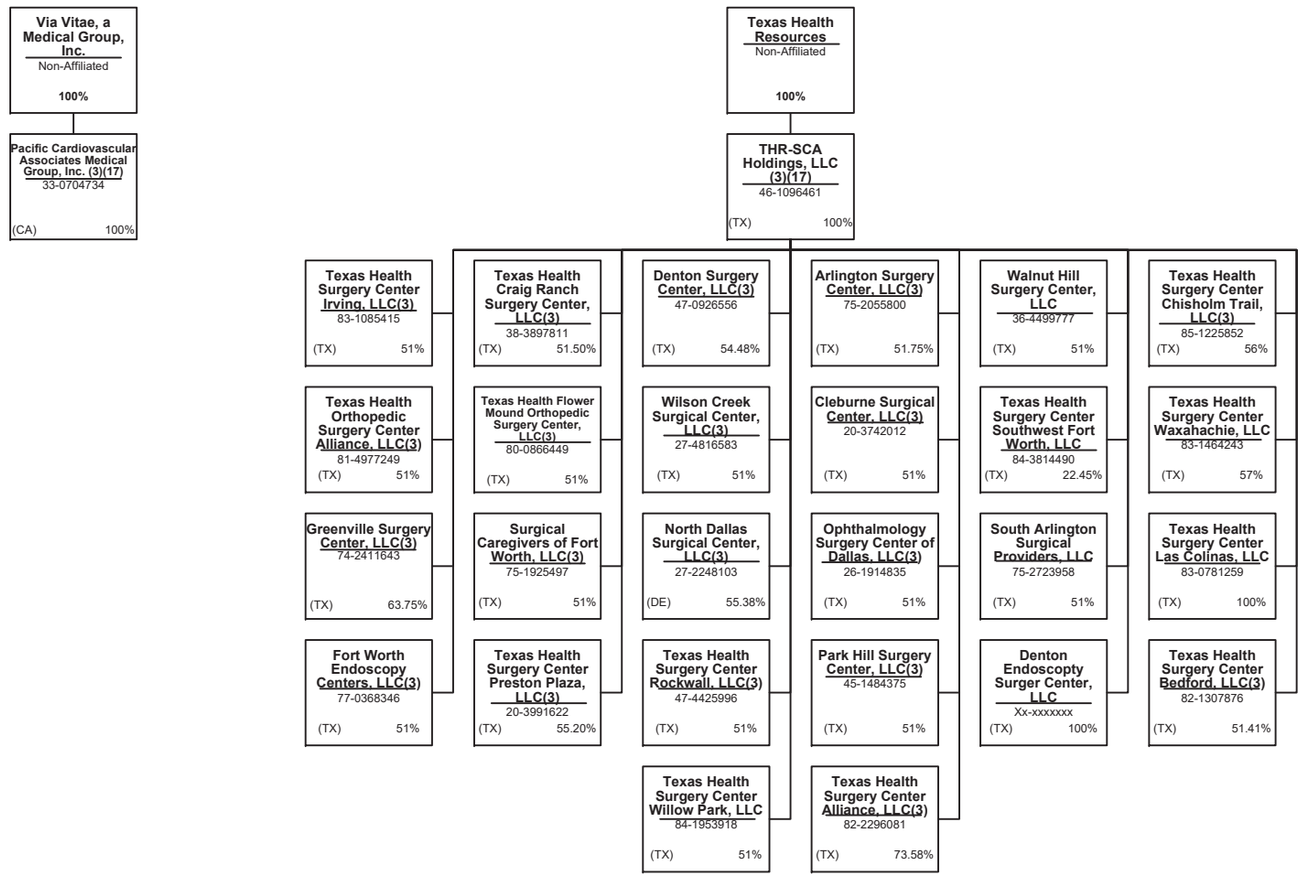
# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Physician Owned Entities

| Entity Name | Juris. | Federal Tax ID |
|---|---|---|
| 4C Medical Group, PLC | AZ | 45-2402948 |
| A.G. Dikengil, Inc. | NJ | 22-3149900 |
| AbleTo Behavioral Health Services of Michigan, P.C. | MI | -- |
| AbleTo Behavioral Health Services of New Jersey, P.C. | NJ | -- |
| AbleTo Behavioral Health Services, P.C. | CT | 47-5519672 |
| AbleTo Licensed Clinical Social Worker Services, P.C. | CA | -- |
| AppleCare Hospitalists Medical Group, Inc. | CA | 14-1890491 |
| AppleCare Medical ACO, LLC | CA | 45-2852872 |
| AppleCare Medical Group St. Francis, Inc. | CA | 33-0845269 |
| AppleCare Medical Group, Inc. | CA | 33-0898174 |
| ArchWell Health Professional Services Holding Co. | DE | 86-3278602 |
| ArchWell Health Professional Services of Alabama, LLC | AL | 86-3152173 |
| ArchWell Health Professional Services of Kansas, P.A. | KS | 86-3241870 |
| ArchWell Health Professional Services of North Carolina, P.C. | NC | 86-3222071 |
| ArchWell Health Professional Services of Oklahoma, LLC | OK | 86-3190019 |
| ARTA Western California, Inc. | CA | 33-0658815 |
| Aspectus, Inc. | MA | 04-3403101 |
| Beaver Medical Group, P.C. | CA | 33-0645967 |
| Better Health Value Network, LLC | WA | 47-4349079 |
| Bexar Imaging Center, LLC | TX | 22-3858211 |
| California Spring Holdings, PC | CA | 81-0881243 |
| CareMount Health Solutions ACO, LLC | NY | -- |
| CareMount Medical, P.C. | NY | 13-3544120 |
| Centers for Family Medicine, GP | CA | 33-0483510 |
| Connect Medical, P.C. | NY | 32-0551188 |
| David Moen, M.D. P.C. | NY | 81-5101448 |
| David R. Ferrell, M.D., P.C. | NV | 45-2380022 |
| Day-OP Center Of Long Island Inc. | NY | 11-2811353 |
| Durable Medical Equipment, Inc. | MA | 04-3106404 |
| Empire Physicians' Medical Group, Inc. | CA | 33-0181426 |
| Everett Physicians, Inc. P.S. | WA | 81-1625636 |
| First Hill Surgery Center, LLC | WA | 47-2066485 |
| Greater Phoenix Collaborative Care, P.C. | AZ | 27-2337725 |

| Entity Name | Juris. | Federal Tax ID |
|---|---|---|
| HealthCare Partners Affiliates Medical Group | CA | 95-4526112 |
| HealthCare Partners ASC-HB, LLC | CA | 26-4247365 |
| HealthCare Partners Associates Medical Group, P.C. | CA | 45-5273760 |
| HealthCare Partners Medical Group, P.C. | CA | 95-4340584 |
| Homecare Dimensions of Florida, Inc. | TX | 81-0884465 |
| Homecare Dimensions, Inc. | TX | 74-2758644 |
| IN Style OPTICAL, LLC | MA | 27-3296953 |
| Inland Faculty Medical Group, Inc. | CA | 33-0618077 |
| Inspiris Medical Services of New Jersey, P.C. | NJ | 45-2563134 |
| INSPIRIS of Michigan Medical Services, P.C. | MI | 27-1561674 |
| INSPIRIS of New York Medical Services, P.C. | NY | 13-4168739 |
| INSPIRIS of Pennsylvania Medical Services, P.C. | PA | 26-2895670 |
| Landmark Connect of California, PC | CA | 36-4886532 |
| Landmark Connect of Oregon, P.C. | OR | 82-4121823 |
| Landmark Connect of Pennsylvania, PC | PA | 82-4513634 |
| Landmark Connect of Washington, P.C. | WA | 82-4273042 |
| Landmark Medical of Arkansas, P.A. | AR | 85-0997438 |
| Landmark Medical of California, PC | CA | 47-4553619 |
| Landmark Medical of Connecticut, PC | CT | 83-2295301 |
| Landmark Medical of Florida, P.A. | FL | 85-0838149 |
| Landmark Medical of Kansas, P.A. | KS | 82-4633545 |
| Landmark Medical of Kentucky, PSC | KY | 82-4881602 |
| Landmark Medical of Louisiana, a Professional Corporation | LA | 92-4881732 |
| Landmark Medical of Massachusetts, PLLC | MA | 81-5364097 |
| Landmark Medical of Michigan, P.C. | MI | 86-3599871 |
| Landmark Medical of Mississippi, P.C. | MS | 82-5084178 |
| Landmark Medical of Missouri, P.C. | MO | 82-4857713 |
| Landmark Medical of New Hampshire, P.C. | NH | 85-1174070 |
| Landmark Medical of North Carolina, P.C. | NC | 82-4256752 |
| Landmark Medical of Ohio, Professional Corporation | OH | 82-4864947 |
| Landmark Medical of Oregon, PC | OR | 47-2926188 |
| Landmark Medical of Pennsylvania, PC | PA | 81-1605378 |
| Landmark Medical of Rhode Island, PC | RI | 84-2830065 |

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Physician Owned Entities

| Entity Name | Juris. | Federal Tax ID |
|---|---|---|
| Landmark Medical of Texas, PA | TX | 83-2296389 |
| Landmark Medical of Utah, PC | UT | 84-2660339 |
| Landmark Medical of Virginia, P.C. | VA | 85-0839774 |
| Landmark Medical of Washington, PC | WA | 47-3028655 |
| Landmark Medical, P.C. | NY | 47-1588943 |
| Level2 Medical Services, P.A. | DE | 84-5003916 |
| Level2 Medical Services, P.A. New Jersey | NJ | -- |
| Level2 Medical Services, P.C. Alaska | AK | 86-3756888 |
| Level2 Medical Services, P.C. California | CA | 84-5003916 |
| Level2 Medical Services, P.C. Utah | UT | 87-0989804 |
| March Vision Care Group, Incorporated | CA | 95-4874334 |
| March Vision Care IPA, Inc. | NY | 27-3115058 |
| March Vision Care of Texas, Inc. | TX | 45-4227915 |
| MAT-RX DEVELOPMENT, L.L.C. | TX | 43-1967820 |
| Mat-Rx Fort Worth GP, L.L.C. | TX | 35-2262695 |
| ME Urgent Care Nebraska, Inc. | NB * | 81-0936574 |
| MedExpress Employed Services, Inc. | DE | 81-1265129 |
| MedExpress Primary Care Arizona, P.C. | AZ | 81-4550969 |
| MedExpress Primary Care Arkansas, P.A. | AR | 84-4234388 |
| MedExpress Primary Care Kansas, P.A. | KS | 81-4605885 |
| MedExpress Primary Care Maryland, P.C. | MD | 82-3384324 |
| MedExpress Primary Care Massachusetts, P.C. | MA | 82-1096099 |
| MedExpress Primary Care Minnesota P.C. | MN | 81-4396738 |
| MedExpress Primary Care Oklahoma, P.C. | OK | 83-1077265 |
| MedExpress Primary Care South Carolina, P.C. | SC | 83-0764858 |
| MedExpress Primary Care Texas, P.A. | TX | 84-2500750 |
| MedExpress Primary Care Virginia, P.C. | VA | 82-3395792 |
| MedExpress Primary Care West Virginia, Inc. | WV | 82-4401181 |
| MedExpress Primary Care Wisconsin, S.C. | WI | 81-4563448 |
| MedExpress Urgent Care – New Jersey, P.C. | NJ | 45-5388778 |
| MedExpress Urgent Care - Northern New Jersey PC | NJ | 83-2089623 |
| MedExpress Urgent Care Arizona, P.C. | AZ | 81-4030280 |
| MedExpress Urgent Care Arkansas, P.A. | AR | 46-4348120 |

| Entity Name | Juris. | Federal Tax ID |
|---|---|---|
| MedExpress Urgent Care California, P.C. | CA | 82-0930142 |
| MedExpress Urgent Care Connecticut, P.C. | CT | 81-1956812 |
| MedExpress Urgent Care Idaho, P.C. | ID | 82-1135336 |
| MedExpress Urgent Care Illinois, P.C. | IL | 47-4308614 |
| MedExpress Urgent Care Iowa, P.C. | IA | 81-5353472 |
| MedExpress Urgent Care Kansas, P.A. | KS | 47-1919283 |
| MedExpress Urgent Care Minnesota P.C. | MN | 81-1125396 |
| MedExpress Urgent Care Missouri P.C. | MO | 47-3132625 |
| MedExpress Urgent Care North Carolina, P.C. | NC | 81-5138747 |
| MedExpress Urgent Care Oregon, P.C. | OR | 82-1919436 |
| MedExpress Urgent Care Rhode Island, P.C. | RI | 81-5362765 |
| MedExpress Urgent Care South Carolina, P.C. | SC | 81-5380706 |
| MedExpress Urgent Care Texas, P.A. | TX | 47-5147441 |
| MedExpress Urgent Care Washington, P.C. | WA | 82-2443118 |
| MedExpress Urgent Care Wisconsin, S.C. | WI | 81-4281678 |
| MedExpress Urgent Care, Inc. – West Virginia | WV | 26-4546400 |
| MedExpress Urgent Care, P.C. – Georgia | GA | 47-1804667 |
| MedExpress Urgent Care, P.C. – Indiana | IN | 90-0929572 |
| MedExpress Urgent Care, P.C. – Maryland | MD | 45-3461101 |
| MedExpress Urgent Care, P.C. – Massachusetts | MA | 47-1857908 |
| MedExpress Urgent Care, P.C. – Michigan | MI | 46-4793937 |
| MedExpress Urgent Care, P.C. – Oklahoma | OK | 47-1824365 |
| MedExpress Urgent Care, P.C. – Pennsylvania | PA | 26-3750502 |
| MedExpress Urgent Care, P.C. – Tennessee | TN | 45-4973138 |
| MedExpress Urgent Care, P.C. – Virginia | VA | 45-3123110 |
| MedExpress Urgent Care, P.S.C. - Kentucky | KY | 83-1565124 |
| MedExpress, Inc. – Delaware | DE | 45-5436856 |
| Memorial Healthcare IPA, GP | CA | 95-4688463 |
| MH Physician Three Holdco, a Medical Corporation | CA | 27-4691544 |
| MHCH, Inc. | CA | 80-0507474 |
| MHIPA Physician Two Holdco, a Medical Corporation | CA | 27-4691508 |
| Mobile Medical Services of New Jersey, PC | NJ | 81-2977678 |
| Mobile Medical Services, P.C. | NY | 30-0445773 |

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Physician Owned Entities

| Entity Name | Juris. | Federal Tax ID |
|---|---|---|
| Moen, M.D., P.C. | CA | 85-3287029 |
| Monarch Health Plan, Inc. | CA | 22-3935634 |
| Monarch HealthCare, A Medical Group, Inc. | CA | 33-0587660 |
| Monika Roots, M.D., P.C. | CA | 84-4667072 |
| Mosaic Management Services, Inc. | CA | 20-5892451 |
| NAMM Medical Group Holdings, Inc. | CA | 56-2627070 |
| NAMM MGH, Inc. | CA | 61-1627269 |
| naviHealth Coordinated Care SC, P.C. | MI | 85-0975337 |
| naviHealth Michigan HBPC, P.C. | MI | 84-3469040 |
| New York Licensed Clinical Social Work, P.C. | NY | 86-3891057 |
| Nifty After Fifty/Monarch, LLC | CA | 26-2995765 |
| Northwest Medical Group Alliance, LLC | WA | 91-1699944 |
| NPN IPA Washington, PLLC | WA | 61-1855159 |
| OHR Physician Group, P.C. | OR | 93-0979031 |
| Optum Clinic, P.A. | TX | 75-2778455 |
| Optum Medical Services of California, P.C. | CA | 30-0826311 |
| Optum Medical Services of Colorado, P.C. | CO | 45-5424191 |
| Optum Medical Services, P.C. | NC | 45-3866363 |
| OptumCare Portland, LLC | OR | 93-1306308 |
| Oregon Healthcare Resources, LLC | OR | 27-3674492 |
| Physician Partners Medical Group, Inc. | CA | 30-0516435 |
| Physicians Care Network, L.L.C. | WA | 91-1822767 |
| Polyclinic Holdings, P.C. | WA | 83-3042027 |
| Polyclinic Management Services Company, LLC | WA | 46-0508606 |
| Primary Care Associated Medical Group, Inc. | CA | 33-0527335 |
| Prime Community Care, Inc. | CA | 30-0516440 |
| PrimeCare Medical Group of Chino Valley, Inc. | CA | 33-0645768 |
| ProHEALTH Accountable Care Medical Group, PLLC | NY | 45-4469117 |
| ProHEALTH Ambulatory Surgery Center, Inc. | NY | 11-3447394 |
| ProHEALTH Care Associates of New Jersey LLP | NJ | 47-5656253 |
| ProHEALTH Care Associates, L.L.P. | NY | 11-3355604 |
| ProHEALTH Medical NY, P.C. | NY | 47-1388406 |
| ProHealth Physicians, P.C. | CT | 06-1469068 |

| Entity Name | Juris. | Federal Tax ID |
|---|---|---|
| ProHEALTH Urgent Care Medicine of New Jersey LLP | NJ | 47-5661535 |
| ProHEALTH Urgent Care Medicine, PLLC | NY | 46-1883579 |
| Prospero Health Partners Florida, Inc. | FL | 85-0775386 |
| Prospero Health Partners New York, P.C. | NY | 82-2400620 |
| Prospero Health Partners North Carolina, P.C. | NC | 84-4569314 |
| Prospero Health Partners, P.C. | MN | 84-3234753 |
| Prospero Medical Services New Jersey, P.C. | NJ | 84-3844362 |
| Psychiatry Services of New York, P.C. | NY | 85-0921665 |
| Redlands Family Practice Medical Group, Inc. | CA | 56-2627067 |
| Reliant Medical Group The Endoscopy Center, LLC | MA | 20-5251393 |
| Reliant Medical Group, Inc. | MA | 04-2472266 |
| Riverside Community Healthplan Medical Group, Inc. | CA | 33-0055097 |
| Riverside Electronic Healthcare Resources, Inc. | CA | 20-3420379 |
| Riverside Pediatric Group, P.C. | NJ | 22-3624559 |
| Robert B. McBeath, M.D. II, P.C. | NV | 86-0857176 |
| Robert B. McBeath, M.D. III, P.C. | NV | 46-2662506 |
| Robert B. McBeath, M.D., Professional Corporation | NV | 88-0310956 |
| San Bernardino Medical Group, Inc. | CA | -- |
| Sanvello Behavioral Health Services of Michigan, P.C. | DE | 85-1941832 |
| Sanvello Behavioral Health Services of New Jersey, P.C. | NJ | 85-0666386 |
| Sanvello Behavioral Health Services of North Carolina, P.C. | NC | 85-1959641 |
| Sanvello Behavioral Health Services of Texas, P.A. | TX | 84-3152209 |
| Sanvello Behavioral Health Services, P.A. | DE | 84-1754732 |
| Southern California Medical Practice Concepts, LLC | CA | 30-0743767 |
| Surgical Eye Experts, LLC | MA | 65-1321064 |
| Talbert Medical Group, P.C. | CA | 93-1172065 |
| TeamMD Physicians, P.C. | IA | 42-1446216 |
| The Everett Clinic, PLLC | WA | 91-0214500 |
| The Polyclinic, PLLC | WA | 91-0369070 |
| USMD Diagnostic Services, LLC | TX | 27-2803133 |
| USMD Hospital at Arlington, L.P. | TX | 73-1662763 |
| USMD Hospital at Fort Worth, L.P. | TX | 20-3571243 |
| USMD of Arlington GP, L.L.C. | TX | 73-1662757 |

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Physician Owned Entities

| Entity Name | Juris. | Federal Tax ID |
|---|---|---|
| Vitucci, LCSW, P.C. | IL | 85-1453387 |
| Waypoint Minnesota PC | MN | 46-2854394 |
| WellMed Florida Medicare ACO, LLC | TX | 84-2233329 |
| WellMed Greater Texas Medicare ACO, LLC | TX | 84-2178104 |
| WellMed Medical Group, P.A. | TX | 74-2574229 |
| WellMed Network of Florida, Inc. | TX | 35-2314192 |
| WellMed Networks - DFW, Inc. | TX | 41-2250215 |
| WellMed Networks, Inc. | TX | 74-2689447 |
| WellMed of Las Cruces, Inc. | TX | 92-0183013 |
| WellMed Tampa/Orlando Medicare ACO, LLC | TX | 84-2193803 |
| WellMed Texas Medicare ACO, LLC | TX | 84-2219968 |
| WND Medical, PLLC | TX | 45-2158334 |
| XLHome Michigan, P.C. | MI | 46-3537245 |
| XLHome Northeast, P.C. | NJ | 45-5530241 |
| XLHome Oklahoma, Inc. | OK | 46-2931689 |
| XLHome, P.C. | MD | 27-3543997 |

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

### Organizational Chart Footnotes

(1) Entity is owned in full or in part by a UnitedHealth Group Incorporated friendly physician.
(2) Control of the Foundation is based on sole membership, not the ownership of voting securities.
(3) The remaining percentage is owned either by a non-affiliated entity, outside investor(s), former company officer(s), or third party shareholder(s).
(4) The minority percentage is owned by one or more affiliated UnitedHealth Group Incorporated subsidiaries. Voting rights do vary.
(5) No information of the other shareholder(s) has been provided
(6) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
(7) Branch offices in Iraq and Uganda.
(8) H&W Indemnity (SPC), Ltd. is an exempted segregated portfolio company organized under the laws of the Cayman Islands and holds a Cayman insurance license.
(9) Registered as a foreign shareholder in Brazil.
(10) Registered in Nova Scotia and Newfoundland& Labrador.
(11) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
(12) Branch office located in the United States.
(13) Entity has a representative office in Beijing, China.
(14) Registered in the Dubai Silicon Oasis free zone.
(15) Registered branches in Australia and the UK.
(16) Registered in the Dubai Healthcare City free zone.
(17) Entity is not directly owned by the parent. However, the parent does have a viable economic interest as well as control over the entity through contractual agreements.
(18) Entity has a branch office in the United Kingdom.

# SCHEDULE Y

# PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 98-1308130 | | | | 1070715 B.C. Unlimited Liability Company | CAN | NIA | OptumRx Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2904092 | | | | 1st Avenue Pharmacy, Inc. | WA | NIA | Genoa Healthcare LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2624551 | | | | 310 Canyon Medical, LLC | CA | NIA | Monarch Management Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2402948 | | | | 4C Medical Group, PLC | AZ | NIA | Greater Phoenix Collaborative Care, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-1900997 | | | | 4C MSO LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 70.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2607738 | | | | 5995 Minnetonka, LLC | DE | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 22-3149900 | | | | A.G. Dikengil, Inc. | NJ | NIA | Riverside Pediatric Group, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-0515438 | | | | ABCO International Holdings, LLC | DE | NIA | The Advisory Board Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | AbleTo Behavioral Health Services of Michigan, P.C. | MI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | AbleTo Behavioral Health Services of New Jersey, P.C. | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-5519672 | | | | AbleTo Behavioral Health Services, P.C. | CT | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | AbleTo Licensed Clinical Social Worker Services, P.C. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-2468224 | | | | AbleTo, Inc. | DE | NIA | Avery Parent Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4328859 | | | | Accurate Advantage, LLC | MO | NIA | Accurate Rx Pharmacy Consulting, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3329157 | | | | Accurate Rx Pharmacy Consulting, LLC | MO | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1913523 | | | | ACN Group IPA of New York, Inc. | NY | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0015861 | | | | ACN Group of California, Inc. | CA | IA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1446128 | | | | Administradora Clínica La Colina S.A.S. | COL | NIA | CDC Holdings Colombia S.A.S. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1446128 | | | | Administradora Clínica La Colina S.A.S. | COL | NIA | Banmédica Internacional SpA | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1446125 | | | | Administradora Country S.A.S. | COL | NIA | Banmédica Internacional SpA | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1446125 | | | | Administradora Country S.A.S. | COL | NIA | CDC Holdings Colombia S.A.S. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1451601 | | | | Administradora Médica Centromed S.A. | CHL | NIA | Vida Integra S.p.A. | Ownership | 76.750 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1310827 | | | | Advanced Surgery Center of Clifton, LLC | NJ | NIA | SCA Clifton, LLC | Ownership | 64.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5144859 | | | | Advanced Surgical Center, LLC | TX | NIA | SCA Duncanville Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4093335 | | | | Advanced Surgical Hospital, LLC | PA | NIA | SCA Southwestern PA, LLC | Ownership | 50.452 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-2642393 | | | | Advantage Care Network, Inc. | DE | NIA | HealthScope Benefits, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2984578 | | | | Advisedby, LLC | DE | NIA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5337115 | | | | Advocate Condell Ambulatory Surgery Center, LLC | IL | NIA | Advocate-SCA Partners, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4437931 | | | | Advocate Southwest Ambulatory Surgery Center, L.L.C. | IL | NIA | Advocate-SCA Partners, LLC | Ownership | 58.500 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4012497 | | | | Advocate-SCA Partners, LLC | DE | NIA | SCA-Illinois, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1777941 | | | | Affinity Biotech, Inc. | TX | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4171713 | | | | AHN Accountable Care Organization, LLC | IN | NIA | American Health Network of Indiana, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2109566 | | | | AHN Central Services, LLC | IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2582899 | | | | AHN Target Holdings, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1447435 | | | | Aliansalud Entidad Promotora de Salud S.A. | COL | NIA | Banmédica S.A. | Ownership | 76.290 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1447435 | | | | Aliansalud Entidad Promotora de Salud S.A. | COL | NIA | Constructora Inmobiliaria Magapoq S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 82406 | 35-1665915 | | | | All Savers Insurance Company | IN | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 73130 | 35-1744596 | | | | All Savers Life Insurance Company of California | CA | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1367127 | | | | Alliance Surgical Center, LLC | FL | NIA | Endoscopy Center Affiliates, Inc. | Ownership | 3.500 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1367127 | | | | Alliance Surgical Center, LLC | FL | NIA | SCA-Alliance, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1231942 | | | | Aloha Surgical Center, LLC | TN | NIA | Surgery Center of Maui, LLC | Ownership | 66.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 65-1095227 | | | | Ambient Healthcare, Inc. | FL | NIA | Ambient Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2161438 | | | | Ambient Holdings, Inc. | DE | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4770582 | | | | American Health Network of Indiana Care Organization, LLC | IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-1930805 | | | | American Health Network of Indiana II, LLC | IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 52623 | 35-2108729 | | | | American Health Network of Indiana, LLC | IN | IA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2849994 | | | | American Health Network of Kentucky, LLC | KY | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 45-4132160 | | | | American Health Network of Ohio Care Organization, LLC | OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 31-1424956 | | | | American Health Network of Ohio II, LLC | OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 31-1424957 | | | | American Health Network of Ohio, LLC | OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 04-3041221 | | | | American Homecare Federation, Inc. | CT | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 54-1743136 | | | | AmeriChoice Corporation | DE | UDP | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95497 | 22-3368602 | | | | AmeriChoice of New Jersey, Inc. | NJ | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1108620 | | | | Amico Saúde Ltda. | BRA | NIA | Cemed Care – Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.931 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1108620 | | | | Amico Saúde Ltda. | BRA | NIA | Santa Helena Assistência Médica S.A. | Ownership | 99.069 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Amil Assistência Médica Internacional S.A. | BRA | NIA | Polar II Fundo de Investimento em Participações Multiestrategia | Ownership | 92.401 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Amil Assistência Médica Internacional S.A. | BRA | NIA | UHG Brasil Participações S.A. | Ownership | 7.599 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1138212 | | | | AMIL International S.á.r.l. | LUX | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1454564 | | | | Análisis Clínicos ML S.A.C. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Angiografia e Hemodinâmica Madre Theodora Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-5124069 | | | | Anne Arundel-SCA Holdings, LLC | MD | NIA | SCA-Anne Arundel, LLC | Ownership | 33.340 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 82-4763728 | | | | Anne Arundel-SCA Surgicenter, LLC | MD | NIA | Anne Arundel-SCA Holdings, LLC | Ownership | 66.760 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 36-4210293 | | | | Antelope Valley Surgery Center, L.P. | CA | NIA | NSC Lancaster, LLC | Ownership | 90.494 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3913051 | | | | Apothecary Holdings, Inc. | DE | NIA | OptumRx Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 14-1890491 | | | | AppleCare Hospitalists Medical Group, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-2852872 | | | | AppleCare Medical ACO, LLC | CA | NIA | AppleCare Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 33-0845269 | | | | AppleCare Medical Group St. Francis, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 33-0898174 | | | | AppleCare Medical Group, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-2068687 | | | | AppleCare Medical Management, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | APS – Assistência Personalizada à Saúde Ltda. | BRA | NIA | Santa Helena Assistência Médica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | APS – Assistência Personalizada à Saúde Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1399975 | | | | Aquitania Chilean Holding SpA | CHL | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2285078 | | | | Arcadia Outpatient Surgery Center, L.P. | CA | NIA | Surgicenters of Southern California, Inc. | Ownership | 24.500 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 86-3124559 | | | | ArchWell Health MSO, LLC | DE | NIA | ArchWell Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-3278602 | | | | ArchWell Health Professional Services Holding Co. | DE | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 86-3152173 | | | | ArchWell Health Professional Services of Alabama, LLC | AL | NIA | ArchWell Health Professional Services Holding Co. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 86-3241870 | | | | ArchWell Health Professional Services of Kansas, P.A. | KS | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 86-3222071 | | | | ArchWell Health Professional Services of North Carolina, P.C. | NC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 86-3190019 | | | | ArchWell Health Professional Services of Oklahoma, LLC | OK | NIA | ArchWell Health Professional Services Holding Co. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-0496329 | | | | ArchWell Health, LLC | DE | NIA | Triple Aim Partners, LLC | Ownership | 99.600 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-4843100 | | | | Arise Physician Group | TX | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-0813232 | | | | Arizona Physicians IPA, Inc. | AZ | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2055800 | | | | Arlington Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.754 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0658815 | | | | ARTA Western California, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-3907136 | | | | ASC Holdings of New Jersey, LLC | NJ | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4348431 | | | | ASC Network, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 04-3403101 | | | | Aspectus, Inc. | MA | NIA | Reliant Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | Associação Lusíadas Knowledge Center – Health Education and Research | PRT | NIA | CLISA – Clínica de Santo António, S.A. | Ownership | 25.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | | | | | Associação Lusíadas Knowledge Center – Health Education and Research | PRT | NIA | Lusíadas, S.A. | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Associação Lusíadas Knowledge Center – Health Education and Research | PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Associação Lusíadas Knowledge Center – Health Education and Research | PRT | NIA | Clínica Médico Cirúrgica de Santa Tecla, S.A. | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3878957 | | | | AssuranceRx, LLC | AL | NIA | Optum Pharmacy 705, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1956545 | | | | At-Home IV Infusion Professional, Inc. | MD | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0990056 | | | | Audax Health Solutions, LLC | DE | NIA | Rally Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-5191428 | | | | Avery Parent Holdings, Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 91.265 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4057813 | | | | Aveta Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0842394 | | | | AxelaCare Intermediate Holdings, LLC | DE | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1708598 | | | | AxelaCare, LLC | DE | NIA | AxelaCare Intermediate Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 72-1097827 | | | | B.R.A.S.S. Partnership in Commendam | LA | NIA | Surgery Center Holding, LLC | Ownership | 61.190 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1462787 | | | | Banmédica Colombia S.A.S. | COL | NIA | Banmédica Internacional SpA | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444151 | | | | Banmédica Internacional SpA | CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444151 | | | | Banmédica Internacional SpA | CHL | NIA | Banmédica S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444127 | | | | Banmédica S.A. | CHL | NIA | Bordeaux Holding SpA | Ownership | 99.390 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2083076 | | | | Beach Surgical Holdings II, LLC | CA | NIA | SCA Surgicare of Laguna Hills, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 47-4504390 | | | | Beach Surgical Holdings III, LLC | CA | NIA | Surgicare of La Veta, Inc. | Ownership | 61.730 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-1708521 | | | | Beach Surgical Holdings LLC | CA | NIA | SCA Surgicare of Laguna Hills, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 33-0645967 | | | | Beaver Medical Group, P.C. | CA | NIA | MH Physician Three Holdco, a Medical Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 88-0267857 | | | | Behavioral Healthcare Options, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 42-1485537 | | | | Benefit Administration for the Self Employed, L.L.C. | IA | NIA | HealthMarkets, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1134506 | | | | Benefitter Insurance Solutions, Inc. | DE | NIA | HealthMarkets Insurance Agency, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4349079 | | | | Better Health Value Network, LLC | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 22-3858211 | | | | Bexar Imaging Center, LLC | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 01-0808529 | | | | BioRx, LLC | DE | NIA | Diplomat Blocker, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-0984076 | | | | Birmingham Outpatient Surgery Center, Ltd. | AL | NIA | Birmingham Outpatient Surgical Center, LLC | Ownership | 30.250 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 63-0847380 | | | | Birmingham Outpatient Surgical Center, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4758895 | | | | Bloomfield ASC, LLC | CT | NIA | SCA Bloomfield Holdings, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 62-1530122 | | | | Blue Ridge Day Surgery Center, L.P. | TN | NIA | Blue Ridge GP, LLC | Ownership | 38.174 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 27-2241593 | | | | Blue Ridge GP, LLC | NC | NIA | SCA-Blue Ridge, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-1945431 | | | | Blue Ridge Properties, LLC | DE | NIA | Blue Ridge GP, LLC | Ownership | 38.208 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 65-0241934 | | | | Boca Raton Outpatient Surgery & Laser Center, LTD. | FL | NIA | Surgery Center of Boca Raton, Inc. | Ownership | 30.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 98-1396690 | | | | Bordeaux (Barbados) Holdings I, SRL | BRB | NIA | FrontierMEDEX US, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1396559 | | | | Bordeaux (Barbados) Holdings II, SRL | BRB | NIA | Bordeaux (Barbados) Holdings I, SRL | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1403634 | | | | Bordeaux (Barbados) Holdings III, S.r.l. | BRB | NIA | UHG Holdings UK IV Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1398490 | | | | Bordeaux Holding SpA | CHL | NIA | Aquitania Chilean Holding SpA | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3147824 | | | | Bordeaux International Holdings, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1390574 | | | | Bordeaux UK Holdings I Limited | GBR | NIA | Bordeaux International Holdings, Inc. | Ownership | 3.295 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1390574 | | | | Bordeaux UK Holdings I Limited | GBR | NIA | FrontierMEDEX US, Inc. | Ownership | 96.705 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1391914 | | | | Bordeaux UK Holdings II Limited | GBR | NIA | Bordeaux UK Holdings I Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1389224 | | | | Bordeaux UK Holdings III Limited | GBR | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1112673 | | | | Bosque Medical Center Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 83.045 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1112673 | | | | Bosque Medical Center Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 16.955 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2387834 | | | | Brandon Ambulatory Surgery Center, LC | FL | NIA | SC Affiliates, LLC | Ownership | 3.120 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2387834 | | | | Brandon Ambulatory Surgery Center, LC | FL | NIA | SCA-Brandon, LLC | Ownership | 54.546 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 90-0884047 | | | | BriovaRx Infusion Services 102, LLC | DE | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-3647935 | | | | BriovaRx of Florida, Inc. | DE | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 01-0516051 | | | | BriovaRx of Maine, Inc. | ME | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-3331130 | | | | BriovaRx of Massachusetts, LLC | MA | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4278378 | | | | Cabin Enterprises, LLC | DE | NIA | Cabin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4264867 | | | | Cabin Holdings, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1711131 | | | | California Medical Group Insurance Company, Risk Retention Group | AZ | NIA | OptumCare Management, LLC | Ownership | 67.010 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-0881243 | | | | California Spring Holdings, PC | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 52-1597478 | | | | Camp Hill Ambulatory Centers | PA | NIA | Endoscopy Center Affiliates, Inc. | Ownership | 1.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 52-1597478 | | | | Camp Hill Ambulatory Centers | PA | NIA | Camp Hill-SCA Centers, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 52-1597484 | | | | Camp Hill-SCA Centers, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-3955254 | | | | Capital City Medical Group, L.L.C. | LA | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Cardinal Holding Company, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8375685 | | | | Care Improvement Plus Group Management, LLC | MD | NIA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 12558 | 45-4976934 | | | | Care Improvement Plus of Texas Insurance Company | TX | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 12567 | 20-3888112 | | | | Care Improvement Plus South Central Insurance Company | AR | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 14041 | 27-5038136 | | | | Care Improvement Plus Wisconsin Insurance Company | WI | IA | XLHealth Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-0585009 | | | | Care Logistics, LLC | DE | NIA | Penzo Enterprises, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | CareMount Dental Member, LLC | DE | NIA | CareMount Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | CareMount Health Solutions ACO, LLC | NY | NIA | CareMount Medical, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-3555743 | | | | CareMount Health Solutions Employer, LLC | DE | NIA | CareMount Holding, LLC | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-3555743 | | | | CareMount Health Solutions Employer, LLC | DE | NIA | CareMount Health Solutions, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-4103290 | | | | CareMount Health Solutions, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 16.320 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-4103290 | | | | CareMount Health Solutions, LLC | DE | NIA | Cardinal Holding Company, LLC | Ownership | 63.680 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1419537 | | | | CareMount Holding, LLC | NY | NIA | CareMount Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-3544120 | | | | CareMount Medical, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | CareMount Value Partners IPA, LLC | NY | NIA | CareMount Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1328471 | | | | Casa de Saúde Santa Therezinha Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1328471 | | | | Casa de Saúde Santa Therezinha Ltda. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-5207935 | | | | Castle Rock SurgiCenter, LLC | CO | NIA | SCA-Castle Rock, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5807941 | | | | Catalyst360, LLC | DE | NIA | Optum Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Catamaran Finance (Ireland) Unlimited Company | IRL | NIA | Catamaran S.á.r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1069737 | | | | Catamaran S.á.r.l. | LUX | NIA | UnitedHealthcare International II S.á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1715584 | | | | CCEC Anesthesia Management, LLC | TX | NIA | Corpus Christi Endoscopy Center, L.L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | | | | | CDC Holdings Colombia S.A.S. | COL | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3868373 | | | | Cedar Park JV Partners, LLC | TX | NIA | SCA Cedar Park Holdings, LLC | Ownership | 43.700 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 27-2533497 | | | | Cedar Park Surgery Center, LLC | TX | NIA | SCA Cedar Park Holdings, LLC | Ownership | 19.660 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 98-1111491 | | | | Cemed Care – Empresa de Atendimento Clínico Geral Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3324771 | | | | Center for Restorative Surgery at Maple Grove, LLC | MN | NIA | SCA Maple Grove Holdings, LLC | Ownership | 52.023 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 33-0483510 | | | | Centers for Family Medicine, GP | CA | NIA | MH Physician Three Holdco, a Medical Corporation | Ownership | 99.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-4131797 | | | | Central Indiana Care Organization, LLC | IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-5600514 | | | | Central Ohio Care Organization, LLC | OH | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | CentrifyHealth, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | CentriHealth Corporation | CAN | NIA | CentrifyHealth, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | | | | | Centro de Entrenamiento Capacitación en Reanimación y Prevención Limitada | CHL | NIA | Servicios Integrados de Salud Ltda. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Centro de Entrenamiento Capacitación en Reanimación y Prevención Limitada | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1450490 | | | | Centro de Servicios Compartidos Banmédica SpA | CHL | NIA | Constructora Inmobiliaria Magapoq S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1450490 | | | | Centro de Servicios Compartidos Banmédica SpA | CHL | NIA | Banmédica S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Centro Médico Hospitalar Pitangueiras Ltda. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Centro Médico Hospitalar Pitangueiras Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1457197 | | | | Centro Odontológico Americano S.A.C. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 80.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1451635 | | | | Centromed Quilpué S.A. | CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 93.450 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Centros Médicos y Dentales Multimed Ltda. | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 0.010 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Centros Médicos y Dentales Multimed Ltda. | CHL | NIA | Omesa SpA | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 42765 | 42-1194107 | | | | Centurion Casualty Company | NE | IA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1454664 | | | | Channel Islands Surgicenter Properties, LLC | DE | NIA | SCA Holding Company, Inc. | Ownership | 62.743 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-1709761 | | | | Charleston Surgery Center Limited Partnership | SC | NIA | SCA-Charleston, LLC | Ownership | 26.056 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 82-3973199 | | | | Charleston Surgery Properties, LLC | DE | NIA | SCA-Charleston, LLC | Ownership | 50.852 | UnitedHealth Group Incorporated | | |
| | | 00000 | 56-1449531 | | | | Charlotte Surgery Center, LLC | NC | NIA | Charlotte-SC, LLC | Ownership | 16.609 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 56-1449532 | | | | Charlotte Surgery Properties, LTD. | NC | NIA | SC Affiliates, LLC | Ownership | 4.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 56-1449532 | | | | Charlotte Surgery Properties, LTD. | NC | NIA | SCA-Mecklenburg Development Corp. | Ownership | 14.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 62-1262567 | | | | Charlotte-SC, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-4075002 | | | | Chatham Orthopaedic ASC, LLC | GA | NIA | SCA-Chatham, LLC | Ownership | 15.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 26-2389638 | | | | Childrens Surgery Center LLC | FL | NIA | SC Affiliates, LLC | Ownership | 1.057 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2389638 | | | | Childrens Surgery Center LLC | FL | NIA | SCA-Central Florida, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1510209 | | | | Citrus Regional Surgery Center, L.P. | TN | NIA | SCA-Citrus, Inc. | Ownership | 57.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0204579 | | | | Claims Management Systems, Inc. | FL | NIA | Solstice Benefits, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3742012 | | | | Cleburne Surgical Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1459640 | | | | Clínica Alameda SpA | CHL | NIA | Saden S.A. | Ownership | 0.200 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1459640 | | | | Clínica Alameda SpA | CHL | NIA | Vida Integra S.p.A. | Ownership | 99.800 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443171 | | | | Clínica Bío Bío SpA | CHL | NIA | Inversiones Clínicas Santa María SpA | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443171 | | | | Clínica Bío Bío SpA | CHL | NIA | Banmédica S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1442191 | | | | Clínica Ciudad del Mar S.A. | CHL | NIA | Banmédica S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1442191 | | | | Clínica Ciudad del Mar S.A. | CHL | NIA | Inversiones Clínicas Santa María SpA | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1446132 | | | | Clínica Dávila y Servicios Médicos S.p.A. | CHL | NIA | Banmédica S.A. | Ownership | 99.998 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1446132 | | | | Clínica Dávila y Servicios Médicos S.p.A. | CHL | NIA | Clínica Santa María S.p.A. | Ownership | 0.002 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Clínica del Country S.A.S. | COL | NIA | Banmédica Internacional SpA | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Clínica del Country S.A.S. | COL | NIA | CDC Holdings Colombia S.A.S. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1350667 | | | | Clínica Médico Cirúrgica de Santa Tecla, S.A. | PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Clínica Portoazul S.A. | COL | NIA | Clínica del Country S.A.S. | Ownership | 27.700 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 98-1462343 | | | | Clínica San Felipe S.A. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 93.740 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1455936 | | | | Clínica Sánchez Ferrer S.A. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1442754 | | | | Clínica Santa María S.p.A. | CHL | NIA | Banmédica S.A. | Ownership | 99.510 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1442745 | | | | Clínica Vespucio S.A. | CHL | NIA | Inversiones Clínicas Santa María SpA | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1442745 | | | | Clínica Vespucio S.A. | CHL | NIA | Banmédica S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2879718 | | | | Clinical Partners of Colorado Springs, LLC | CO | NIA | OptumCare Colorado, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2236024 | | | | Clinton Partners, LLC | MI | NIA | HFHS-SCA Holdings, LLC | Ownership | 58.800 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 98-1350671 | | | | CLISA – Clínica de Santo António, S.A. | PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1337968 | | | | CMO – Centro Médico de Oftalmologia S/S Ltda. | BRA | NIA | Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 98-1337968 | | | | CMO – Centro Médico de Oftalmologia S/S Ltda. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1277015 | | | | CMS – Central de Manipulação e Serviços Farmacêuticos S.A. | BRA | NIA | COI – Clínicas Oncológicas Integradas S.A. | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1277015 | | | | CMS – Central de Manipulação e Serviços Farmacêuticos S.A. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 0.010 | UnitedHealth Group Incorporated | | |
| | | 00000 | 56-2674371 | | | | Coachella Valley Physicians of PrimeCare, Inc. | CA | NIA | PrimeCare of Riverside, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1193028 | | | | Coalition for Advanced Pharmacy Services, Inc. | DE | NIA | OptumRx Health Solutions, LLC | Ownership | 93.266 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1193028 | | | | Coalition for Advanced Pharmacy Services, Inc. | DE | NIA | Optum Hospice Pharmacy Services, LLC | Ownership | 1.277 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1193028 | | | | Coalition for Advanced Pharmacy Services, Inc. | DE | NIA | OptumRx Home Delivery of Ohio, LLC | Ownership | 0.116 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1193028 | | | | Coalition for Advanced Pharmacy Services, Inc. | DE | NIA | OptumRx PBM of Maryland, LLC | Ownership | 5.341 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444067 | | | | Cobranzas Banmédica SpA | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444067 | | | | Cobranzas Banmédica SpA | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1276040 | | | | COI – Clínicas Oncológicas Integradas S.A. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2337616 | | | | Collaborative Care Holdings, LLC | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2337487 | | | | Collaborative Care Services, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2614005 | | | | Collaborative Realty, LLC | NY | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Colmedica Medicina Prepagada S.A. | COL | NIA | Banmédica S.A. | Ownership | 76.270 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Colmedica Medicina Prepagada S.A. | COL | NIA | Constructora Inmobiliaria Magapoq S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1529015 | | | | Colonial Outpatient Surgery Center, LLC | FL | NIA | SCA-Colonial Partners, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-1636677 | | | | Colorado Innovative Physician Solutions, Inc. | CO | NIA | OptumCare Colorado, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-1160450 | | | | Colorado Springs Surgery Center, Ltd. | CO | NIA | SCA-Colorado Springs, LLC | Ownership | 95.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-3647007 | | | | Comfort Care Transportation, LLC | TX | NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3772241 | | | | Comfort Infusion, Inc. | AL | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0551188 | | | | Connect Medical, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 06-1592173 | | | | Connecticut Surgery Center, Limited Partnership | CT | NIA | Connecticut Surgical Center, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 06-1592173 | | | | Connecticut Surgery Center, Limited Partnership | CT | NIA | Surgical Care Affiliates, LLC | Ownership | 1.500 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1313646 | | | | Connecticut Surgery Properties, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 06-1156342 | | | | Connecticut Surgical Center, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 57.843 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-0891463 | | | | ConnectYourCare, Inc. | DE | NIA | Optum Financial, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1274092 | | | | ConnectYourCare, LLC | MD | NIA | ConnectYourCare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1448720 | | | | Constructora Inmobiliaria Magapoq S.A. | CHL | NIA | Banmédica S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1448720 | | | | Constructora Inmobiliaria Magapoq S.A. | CHL | NIA | Inmobiliaria Apoquindo 3600 Ltda. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-0231080 | | | | Consumer Wellness Solutions, Inc. | DE | NIA | Optum Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2599969 | | | | Cornell Surgicenter, LLC | OR | NIA | Providence & SCA Off-Campus Holdings, LLC | Ownership | 58.344 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 87-1134519 | | | | Cornerstone Surgery Center, LLC | FL | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2595593 | | | | Corpus Christi Endoscopy Center, L.L.P. | TX | NIA | SCA Pacific Holdings, Inc. | Ownership | 18.500 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | | | | | Country Scan Ltda. | COL | NIA | Patrimonio Autónomo Nueva Clínica | Ownership | 51.800 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-2176762 | | | | Curaspan Health Group, Inc. | DE | NIA | naviHealth, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-0080565 | | | | Cypress Care, Inc. | DE | NIA | Healthcare Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1263704 | | | | Danbury Surgical Center, L.P. | GA | NIA | SCA Danbury Surgical Center, LLC | Ownership | 50.419 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1263704 | | | | Danbury Surgical Center, L.P. | GA | NIA | SunSurgery, LLC | Ownership | 2.828 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-5101448 | | | | David Moen, M.D. P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-2380022 | | | | David R. Ferrell, M.D., P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 11-2811353 | | | | Day-OP Center Of Long Island Inc. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 75-2790863 | | | | Day-Op Surgery Consulting Company, LLC | DE | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1811176 | | | | DBP Services of New York IPA, Inc. | NY | NIA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1452809 | | | | Dental Benefit Providers of California, Inc. | CA | IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 52053 | 36-4008355 | | | | Dental Benefit Providers of Illinois, Inc. | IL | IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-2014834 | | | | Dental Benefit Providers, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Denton Endoscopy Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0926556 | | | | Denton Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 54.483 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1436601 | | | | Derry Surgical Center, LLC | NH | NIA | SCA-Derry, LLC | Ownership | 72.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1460401 | | | | Diagnóstico Ecotomográfico Centromed Ltda. | CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1460347 | | | | Diasnóstico por Imágenes Centromed Ltda. | CHL | NIA | Centromed Quilpué S.A. | Ownership | 6.660 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1460347 | | | | Diasnóstico por Imágenes Centromed Ltda. | CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 93.340 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0292435 | | | | Digestive Disease Center, L.P. | CA | NIA | Beach Surgical Holdings LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 98-1285762 | | | | Dilab Medicina Nuclear Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 95.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-4022876 | | | | Diplomat Blocker, Inc. | DE | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-3453193 | | | | Diplomat Corporate Properties, LLC | MI | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-2063100 | | | | Diplomat Pharmacy, Inc. | MI | NIA | OptumRx Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8576702 | | | | Diplomat Specialty Pharmacy Great Lakes Distribution Center, LLC | MI | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1891272 | | | | Diplomat Specialty Pharmacy of Boothwyn, LLC | PA | NIA | Diplomat Specialty Pharmacy of Philadelphia, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3855530 | | | | Diplomat Specialty Pharmacy of Chicago, LLC | MI | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-0146551 | | | | Diplomat Specialty Pharmacy of Ft. Lauderdale, LLC | MI | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 94-3459971 | | | | Diplomat Specialty Pharmacy of Los Angeles County, LLC | CA | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 23-3102454 | | | | Diplomat Specialty Pharmacy of Philadelphia, LLC | PA | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1252892 | | | | Diplomat Specialty Pharmacy of Phoenix, LLC | MI | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0238641 | | | | Distance Learning Network, Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2415159 | | | | divvyMED, LLC | DE | NIA | Penzo Enterprises, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | DocASAP India Technologies Private Limited | IND | NIA | DocASAP US, LLC | Ownership | 9.174 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | DocASAP India Technologies Private Limited | IND | NIA | DocASAP, Inc. | Ownership | 90.826 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | DocASAP US, LLC | DE | NIA | DocASAP, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4753954 | | | | DocASAP, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Doctor + S.A.C. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-5264853 | | | | Dry Creek Surgery Center, LLC | CO | NIA | SCA-Dry Creek, LLC | Ownership | 66.950 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2176462 | | | | DSP Flint Real Estate, LLC | MI | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2499399 | | | | DSP-Building C, LLC | MI | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-5181311 | | | | DTC Surgery Center, LLC | CO | NIA | SCA-Denver Physicians Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2103713 | | | | Dublin Surgery Center, LLC | OH | NIA | SCA-Dublin, LLC | Ownership | 56.096 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-0668583 | | | | Duluth Surgical Suites, LLC | MN | NIA | SCA Duluth Holdings, LLC | Ownership | 38.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | | | | | Duncan Printing Services LLC | SC | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 04-3106404 | | | | Durable Medical Equipment, Inc. | MA | NIA | Reliant Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 59-3625966 | | | | DWIC of Tampa Bay, Inc. | FL | NIA | MedExpress Development, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 59-3705426 | | | | E Street Endoscopy, LLC | FL | NIA | West Coast Endoscopy Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4660712 | | | | Ear Professionals International Corporation | DE | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 02-0593133 | | | | East Brunswick Surgery Center, LLC | NJ | NIA | ASC Holdings of New Jersey, LLC | Ownership | 99.510 | UnitedHealth Group Incorporated | | |
| | | 00000 | 71-0923682 | | | | eCode Solutions, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-1162764 | | | | Electronic Network Systems, Inc. | DE | NIA | Optum360 Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1339173 | | | | Elual Participações S.A. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 60.004 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 98-1339173 | | | | Elual Participações S.A. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 39.996 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | EM Orange Tree LLC | CA | NIA | EPIC Management Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 87-1240516 | | | | Emisar Pharma Services LLC | DE | NIA | Optum Pharma Services Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2195068 | | | | Empire Physician Management Company, LLC | CA | NIA | North American Medical Management California, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0181426 | | | | Empire Physicians' Medical Group, Inc. | CA | NIA | NAMM Medical Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 26-4682368 | | | | Employers' Health Choice PPO, Inc. | AR | NIA | HealthScope Benefits, Inc. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Empremédica S. A. | PER | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 77-0317478 | | | | Endoscopy Center Affiliates, Inc. | DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 89087 | 75-1617708 | | | | Enterprise Life Insurance Company | TX | IA | Freedom Life Insurance Company of America | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | EP Campus I, LLC | DE | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2767291 | | | | EPIC Health Plan | CA | NIA | EPIC Management Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0654147 | | | | EPIC Management Services, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-0732646 | | | | Equian Parent Corp. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0083277 | | | | Equian, LLC | IN | NIA | Equian Parent Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Esho – Empresa de Serviços Hospitalares S.A. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 99.701 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1122399 | | | | Etho – Empresa de Tecnologia Hospitalar Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 90.062 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1070402 | | | | Everett MSO, Inc. | WA | NIA | OptumCare Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1625636 | | | | Everett Physicians, Inc. P.S. | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 20-0087132 | | | | Excelsior Insurance Brokerage, Inc. | DE | NIA | HealthMarkets Insurance Agency, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-3669765 | | | | Executive Health Resources, Inc. | PA | NIA | Optum360 Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0701899 | | | | Executive Surgery Center, L.L.C. | TX | NIA | SCA-Houston Executive, LLC | Ownership | 54.466 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1259260 | | | | Exploration for Mine Clearance LLC | IRQ | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Eye Clinic Oftalmologia Clínico Cirúrgica e Diagnóstico Ltda. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0927202 | | | | Eye Specialists Surgery Centers LLC | IN | NIA | SCA AHN JV Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0223385 | | | | Family Health Care Services | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0257036 | | | | Family Home Hospice, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-2929226 | | | | First Coast Orthopedic Center, LLC | FL | NIA | SCA-First Coast, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 85-2966587 | | | | First Family Insurance, LLC | DE | NIA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2066485 | | | | First Hill Surgery Center, LLC | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 62-1551098 | | | | Florence Surgery Center, L.P. | TN | NIA | SCA-Florence, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 35-2456267 | | | | FMG Holdings, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 98.704 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2456267 | | | | FMG Holdings, LLC | DE | NIA | Hygeia Corporation | Ownership | 1.296 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-2142060 | | | | Focus Rx Inc. | NY | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3275933 | | | | Focus Rx Pharmacy Services Inc. | NY | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-0908902 | | | | For Health of Arizona, Inc. | AZ | NIA | For Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0766617 | | | | For Health, Inc. | DE | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 77-0368346 | | | | Fort Worth Endoscopy Centers, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-0901608 | | | | Fortified Provider Network, Inc. | AZ | NIA | Equian Parent Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Fortify Technologies Asia, LLC | PHL | NIA | Optum Labs, LLC | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0695411 | | | | Fortify Technologies, LLC | MN | NIA | Optum Labs, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4647560 | | | | Foundation Surgery Affiliate General of Huntingdon Valley, LLC | OK | NIA | Virtua-SCA Holdings II, LLC | Ownership | 55.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 68-0499459 | | | | Foundation Surgery Affiliate of Huntingdon Valley, L.P. | OK | NIA | Virtua-SCA Holdings II, LLC | Ownership | 54.450 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 20-3412606 | | | | Franklin Surgical Center LLC | NJ | NIA | SCA-Franklin, LLC | Ownership | 50.626 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 62324 | 61-1096685 | | | | Freedom Life Insurance Company of America | TX | IA | USHEALTH Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3453993 | | | | Freeway Surgicenter of Houston, LLC | TX | NIA | SCA-Freeway Holdings, LLC | Ownership | 59.322 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 98-1172769 | | | | Frontier Medex Tanzania Limited | TZA | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 68-0679514 | | | | FrontierMEDEX (RMS), Inc. | DE | NIA | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-5339512 | | | | FrontierMEDEX Government Services, LLC | DE | NIA | FMG Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1147103 | | | | FrontierMEDEX Kenya Limited | KEN | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1147103 | | | | FrontierMEDEX Kenya Limited | KEN | NIA | UnitedHealthcare International I B.V. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1101521 | | | | FrontierMEDEX Limited | IRQ | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-1219808 | | | | FrontierMEDEX US, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 75.387 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-1219808 | | | | FrontierMEDEX US, Inc. | DE | NIA | FMG Holdings, LLC | Ownership | 24.613 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-2230470 | | | | FrontierMEDEX, Inc. | MN | NIA | FrontierMEDEX US, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Fundación Banmédica | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-0833765 | | | | Gadsden Surgery Center, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0556097 | | | | Genoa Healthcare LLC | PA | NIA | Specialized Pharmaceuticals, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-3851949 | | | | Genoa Healthcare, Inc. | DE | NIA | OptumRx Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5009764 | | | | Genoa of Arkansas, LLC | AR | NIA | Genoa Healthcare LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Genoa Technology (Canada) Inc. | CAN | NIA | Genoa Technology, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-4034308 | | | | Genoa Technology, Inc. | DE | NIA | Genoa Healthcare LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-3010132 | | | | Genoa Telepsychiatry, Inc. | DE | NIA | Genoa Healthcare LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4703295 | | | | Genoa, QoL Wholesale, LLC | DE | NIA | Genoa Healthcare LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-0920164 | | | | gethealthinsurance.com Agency Inc. | IN | NIA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 65-1025514 | | | | Gladiolus Surgery Center, L.L.C. | FL | NIA | SCA-Gladiolus, LLC | Ownership | 50.789 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-3495605 | | | | GLBESC, LLC | DE | NIA | Beach Surgical Holdings LLC | Ownership | 54.969 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 33-0595220 | | | | Glenwood Surgical Center, L.P. | CA | NIA | SCA-Glenwood Holdings, LLC | Ownership | 56.730 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1601450 | | | | Glenwood-SC, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-2121021 | | | | Global One Administrators Southeast, LLC | FL | NIA | Global One Ventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-3337336 | | | | Global One Ventures, LLC | CA | NIA | SCA Global One Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3420886 | | | | Golden Outlook, Inc. | CA | NIA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-0855360 | | | | Golden Rule Financial Corporation | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 62286 | 37-6028756 | 3057283 | | | Golden Rule Insurance Company | IN | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0529450 | | | | Golden Triangle Surgicenter, L.P. | CA | NIA | Surgery Centers-West Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1597483 | | | | Grandview Surgery Center, LTD. | PA | NIA | Camp Hill Ambulatory Centers | Ownership | 41.993 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 93-1237063 | | | | Grants Pass Surgery Center, LLC | OR | NIA | SCA-Grants Pass, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Grapevine Finance LLC | DE | NIA | HealthMarkets, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2337725 | | | | Greater Phoenix Collaborative Care, P.C. | AZ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 36-4053840 | | | | Greensboro Specialty Surgery Center, LLC | NC | NIA | NSC Greensboro West, LLC | Ownership | 50.100 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 74-2411643 | | | | Greenville Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 63.750 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3346468 | | | | Greenway Surgical Suites, LLC | MN | NIA | SCA Greenway Holdings, LLC | Ownership | 56.766 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 33-0749339 | | | | Grossmont Surgery Center, L.P. | CA | NIA | SunSurgery, LLC | Ownership | 1.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 33-0749339 | | | | Grossmont Surgery Center, L.P. | CA | NIA | Medical Surgical Centers of America, Inc. | Ownership | 33.645 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 01-0619096 | | | | Grove Place Surgery Center, L.L.C. | FL | NIA | SCA-Grove Place, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1227494 | | | | H.I. Investments Holding Company, LLC | DE | NIA | Health Inventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-0213198 | | | | H&W Indemnity (SPC), Ltd. | CYM | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 79480 | 35-1279304 | | | | Harken Health Insurance Company | WI | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2065044 | | | | Hawthorn Place Outpatient Surgery Center, L.P. | GA | NIA | SHC Hawthorn, Inc. | Ownership | 38.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 85-2037257 | | | | Hays JV Partners, LLC | TX | NIA | SCA Hays Holdings, LLC | Ownership | 31.373 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 27-4579547 | | | | Hays Surgery Center, LLC | TX | NIA | SCA Hays Holdings, LLC | Ownership | 9.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-4579547 | | | | Hays Surgery Center, LLC | TX | NIA | Hays JV Partners, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | HCentive Technology India Private Limited | IND | NIA | UnitedHealth International, Inc. | Ownership | 0.010 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | HCentive Technology India Private Limited | IND | NIA | hCentive, Inc. | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0549481 | | | | hCentive, Inc. | DE | NIA | Optum Government Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-3007213 | | | | HCP ACO California, LLC | CA | NIA | OptumCare Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

# PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | | | | | HDI Seguros de Vida S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | HDI Seguros de Vida S.A. | CHL | NIA | Banmédica Internacional SpA | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0673883 | | | | Health Care-ONE Insurance Agency, Inc. | CA | NIA | USHEALTH Advisors, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5944768 | | | | Health Inventures Employment Solutions, LLC | DE | NIA | Health Inventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 04-3723090 | | | | Health Inventures, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96342 | 88-0201035 | | | | Health Plan of Nevada, Inc. | NV | IA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4526112 | | | | HealthCare Partners Affiliates Medical Group | CA | NIA | HealthCare Partners Associates Medical Group, P.C. | Ownership | 95.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 26-4247365 | | | | HealthCare Partners ASC-HB, LLC | CA | NIA | Talbert Medical Group, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 95-4628842 | | | | HealthCare Partners ASC-LB, LLC | CA | NIA | OptumCare Management, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4628842 | | | | HealthCare Partners ASC-LB, LLC | CA | NIA | HealthCare Partners Associates Medical Group, P.C. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-5273760 | | | | HealthCare Partners Associates Medical Group, P.C. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-5274363 | | | | HealthCare Partners Management Services California, LLC | DE | NIA | OptumCare Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4340584 | | | | HealthCare Partners Medical Group, P.C. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-1080535 | | | | HealthCare Partners RE, LLC | DE | NIA | OptumCare Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 77-0693060 | | | | Healthcare Solutions, Inc. | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3349887 | | | | HealthEast Surgery Center-Maplewood, LLC | MN | NIA | H.I. Investments Holding Company, LLC | Ownership | 25.139 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | | | | | HealthFirst IPA, Inc. | CO | NIA | New West Physicians, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2570595 | | | | HealthMarkets Group, Inc. | DE | NIA | HealthMarkets, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0277771 | | | | HealthMarkets Insurance Agency, Inc. | DE | NIA | HealthMarkets Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1131431 | | | | HealthMarkets Services, Inc. | DE | NIA | HealthMarkets Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2044750 | 4346649 | | | HealthMarkets, Inc. | DE | NIA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | HealthMarkets, LLC | DE | NIA | HealthMarkets, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2329503 | | | | Healthplex America, LLC | FL | NIA | Marlin Holding Company LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2548158 | | | | Healthplex Dental Services, Inc. | FL | IA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-3554436 | | | | Healthplex I.P.A., Inc. | NY | NIA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 11172 | 11-3469326 | | | | Healthplex Insurance Company | NY | IA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8999255 | | | | Healthplex of CT, Inc. | CT | NIA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5644765 | | | | Healthplex of DC, Inc. | DC | NIA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5644779 | | | | Healthplex of MD, Inc. | MD | NIA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0572262 | | | | Healthplex of ME, Inc. | ME | NIA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2633905 | | | | Healthplex of NC, Inc. | NC | NIA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 12830 | 20-5343824 | | | | Healthplex of NJ, Inc. | NJ | IA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-0705334 | | | | Healthplex of TX, Inc. | TX | NIA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-2714365 | | | | Healthplex, Inc. | NY | NIA | Healthplex America, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 71-0847266 | | | | HealthScope Benefits, Inc. | DE | NIA | HealthSCOPE Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1409110 | | | | HealthSCOPE Holdings, Inc. | DE | NIA | UMR, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2722254 | | | | Heartland Heart and Vascular, LLC | DE | NIA | SCA Heartland Holdings, LLC | Ownership | 80.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Help Service S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Help Service S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443161 | | | | Help SpA | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443161 | | | | Help SpA | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Hemonefro – Hemodiálise e Nefrologia Ltda | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 32.420 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 46-5291602 | | | | HFHS-SCA Holdings, LLC | MI | NIA | SC Affiliates, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 84-1472832 | | | | Highlands Ranch Healthcare, LLC | CO | NIA | Urgent Care MSO, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1452260 | | | | Home Medical S.A. | CHL | NIA | Saden S.A. | Ownership | 0.002 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1452260 | | | | Home Medical S.A. | CHL | NIA | Help SpA | Ownership | 99.998 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-0884465 | | | | Homecare Dimensions of Florida, Inc. | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 74-2758644 | | | | Homecare Dimensions, Inc. | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | Honodav SpA | CHL | NIA | Servicios Integrados de Salud Ltda. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | | | | | Honodav SpA | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8910978 | | | | Hospice Inspiris Holdings, Inc. | TN | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1286038 | | | | Hospitais Associados de Pernambuco Ltda. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1286038 | | | | Hospitais Associados de Pernambuco Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Hospital Alvorada de Taguatinga Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 7.841 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Hospital Alvorada de Taguatinga Ltda. | BRA | NIA | Bosque Medical Center Ltda. | Ownership | 7.205 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Hospital Alvorada de Taguatinga Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 84.954 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1389272 | | | | Hospital Ana Costa S.A. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 51.786 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1389272 | | | | Hospital Ana Costa S.A. | BRA | NIA | Plano de Saúde Ana Costa Ltda. | Ownership | 48.168 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1310065 | | | | Hospital de Clínicas de Jacarepaguá Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1310065 | | | | Hospital de Clínicas de Jacarepaguá Ltda. | BRA | NIA | Cemed Care – Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1332673 | | | | Hospital Santa Helena S.A. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 50.563 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1332673 | | | | Hospital Santa Helena S.A. | BRA | NIA | Elual Participações S.A. | Ownership | 49.437 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2912304 | | | | Humedica, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4331825 | | | | Hygeia Corporation | DE | NIA | UnitedHealth International, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1106075 | | | | Hygeia Corporation (Ontario) | CAN | NIA | FrontierMEDEX US, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-4865867 | | | | IHD Holdings, LLC | DE | NIA | naviHealth, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1341098 | | | | Illinois Independent Care Network, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1122694 | | | | Imed Star – Serviços de Desempenho Organizacional Ltda. | BRA | NIA | UHG Brasil Participações S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1122694 | | | | Imed Star – Serviços de Desempenho Organizacional Ltda. | BRA | NIA | Optum Health & Technology Serviços do Brasil Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4351923 | | | | Impel Consulting Experts, L.L.C. | TX | NIA | Impel Management Services, L.L.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2574317 | | | | Impel Management Services, L.L.C. | TX | NIA | USMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-3296953 | | | | IN Style OPTICAL, LLC | MA | NIA | Reliant Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-4132005 | | | | Indiana Care Organization, LLC | IN | NIA | AHN Target Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0618077 | | | | Inland Faculty Medical Group, Inc. | CA | NIA | NAMM Medical Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 33-0018673 | | | | Inland Surgery Center, L.P. | CA | NIA | SC Affiliates, LLC | Ownership | 5.275 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0018673 | | | | Inland Surgery Center, L.P. | CA | NIA | Redlands Ambulatory Surgery Center | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Inmobiliaria Apoquindo 3001 S.A. | CHL | NIA | Cobranzas Banmédica SpA | Ownership | 0.001 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Inmobiliaria Apoquindo 3001 S.A. | CHL | NIA | Inmobiliaria Apoquindo S.A. | Ownership | 99.999 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1458683 | | | | Inmobiliaria Apoquindo 3600 Ltda. | CHL | NIA | Inmobiliaria Apoquindo 3001 S.A. | Ownership | 0.300 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1458683 | | | | Inmobiliaria Apoquindo 3600 Ltda. | CHL | NIA | Banmédica S.A. | Ownership | 99.700 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443174 | | | | Inmobiliaria Apoquindo S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443174 | | | | Inmobiliaria Apoquindo S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1458682 | | | | Inmobiliaria Clínica Santa María S.A. | CHL | NIA | Banmédica S.A. | Ownership | 99.510 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1454653 | | | | Inmobiliaria e Inversiones Alameda S.A. | CHL | NIA | Banmédica S.A. | Ownership | 99.980 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1454653 | | | | Inmobiliaria e Inversiones Alameda S.A. | CHL | NIA | Saden S.A. | Ownership | 0.020 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1460922 | | | | Inmobiliaria Viñamed Ltda. | CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5640889 | | | | INOV8 Surgical at Memorial City, LLC | TX | NIA | Memorial City Partners, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0482274 | | | | inPharmative, Inc. | NV | NIA | OptumRx Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2563134 | | | | Inspiris Medical Services of New Jersey, P.C. | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-1561674 | | | | INSPIRIS of Michigan Medical Services, P.C. | MI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 13-4168739 | | | | INSPIRIS of New York Medical Services, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 26-2895670 | | | | INSPIRIS of Pennsylvania Medical Services, P.C. | PA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 26-2885572 | | | | INSPIRIS of Texas Physician Group | TX | NIA | Optum Care Services Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 33-0766366 | | | | Inspiris, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Instituto do Radium de Cammpinas Ltda | BRA | NIA | COI – Clínicas Oncológicas Integradas S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Instituto do Radium de Cammpinas Ltda | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-0856292 | | | | Inter-Hospital Physicians Association, Inc. | OR | NIA | Optum Oregon MSO, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 11173 | 22-2321226 | | | | International Healthcare Services, Inc. | NJ | IA | Healthplex, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2215497 | | | | InTouch Pharmacy LLC | GA | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1452273 | | | | Inversiones Clínicas Santa María SpA | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1448096 | | | | Isapre Banmédica S.A. | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1448096 | | | | Isapre Banmédica S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5337793 | | | | Johnston Surgicare, L.P. | RI | NIA | Surgery Center Holding, LLC | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-2544709 | | | | Joliet Surgery Center Limited Partnership | IL | NIA | Surgicare of Joliet, Inc. | Ownership | 55.031 | UnitedHealth Group Incorporated | | |
| | | 00000 | 68-0624157 | | | | Jordan Ridge Family Medicine, LLC | DE | NIA | Collaborative Care Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-4755857 | | | | Joyable, Inc. | DE | NIA | AbleTo, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2306992 | | | | JPM Healthcare LLC | DE | NIA | SCA-JPM Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-2407758 | | | | Kokomo Outpatient Surgery Center, LLC | IN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1456202 | | | | Laboratorio ROE S.A. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Laboratorios Médicos Amed Quilpué S.A. | CHL | NIA | Servicios Médicos Amed Quilpué S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Laboratorios Médicos Amed Quilpué S.A. | CHL | NIA | Centromed Quilpué S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4886532 | | | | Landmark Connect of California, PC | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4121823 | | | | Landmark Connect of Oregon, P.C. | OR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4513634 | | | | Landmark Connect of Pennsylvania, PC | PA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4273042 | | | | Landmark Connect of Washington, P.C. | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-5437916 | | | | Landmark Group Holdings, LLC | DE | NIA | Laser Acquisition Holdings III, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1889043 | | | | Landmark Health Holdings, LLC | DE | NIA | Landmark Intermediate Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4785073 | | | | Landmark Health NY IPA, LLC | NY | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-1774991 | | | | Landmark Health NY PO, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2547489 | | | | Landmark Health of California, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2616929 | | | | Landmark Health of Massachusetts, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-1880434 | | | | Landmark Health of North Carolina, LLC | NC | NIA | Landmark MSO, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-3952354 | | | | Landmark Health of Oregon, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1781940 | | | | Landmark Health of Pennsylvania, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1752988 | | | | Landmark Health of Washington, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Landmark Health Technologies Private Limited | IND | NIA | Landmark India, LLC | Ownership | 0.010 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Landmark Health Technologies Private Limited | IND | NIA | Landmark Health, LLC | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3015502 | | | | Landmark Health, LLC | DE | NIA | Landmark Health Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-1776229 | | | | Landmark India, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1888278 | | | | Landmark Intermediate Holdings, LLC | DE | NIA | Landmark Group Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0997438 | | | | Landmark Medical of Arkansas, P.A. | AR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-4553619 | | | | Landmark Medical of California, PC | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-2295301 | | | | Landmark Medical of Connecticut, PC | CT | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-0838149 | | | | Landmark Medical of Florida, P.A. | FL | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4633545 | | | | Landmark Medical of Kansas, P.A. | KS | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4881602 | | | | Landmark Medical of Kentucky, PSC | KY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 92-4881732 | | | | Landmark Medical of Louisiana, a Professional Corporation | LA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-5364097 | | | | Landmark Medical of Massachusetts, PLLC | MA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 86-3599871 | | | | Landmark Medical of Michigan, P.C. | MI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-5084178 | | | | Landmark Medical of Mississippi, P.C. | MS | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4857713 | | | | Landmark Medical of Missouri, P.C. | MO | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-1174070 | | | | Landmark Medical of New Hampshire, P.C. | NH | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 82-4256752 | | | | Landmark Medical of North Carolina, P.C. | NC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4864947 | | | | Landmark Medical of Ohio, Professional Corporation | OH | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-2926188 | | | | Landmark Medical of Oregon, PC | OR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-1605378 | | | | Landmark Medical of Pennsylvania, PC | PA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-2830065 | | | | Landmark Medical of Rhode Island, PC | RI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-2296389 | | | | Landmark Medical of Texas, PA | TX | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-2660339 | | | | Landmark Medical of Utah, PC | UT | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-0839774 | | | | Landmark Medical of Virginia, P.C. | VA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-3028655 | | | | Landmark Medical of Washington, PC | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-1588943 | | | | Landmark Medical, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | Landmark Merger Sub, LLC | DE | NIA | Landmark Health Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0446592 | | | | Landmark MSO, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3189716 | | | | Landmark Primary Care, LLC | DE | NIA | Landmark Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Laser Acquisition Holdings III, LLC | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3732334 | | | | LDI Holding Company, LLC | DE | NIA | LDI Nautic VIII-A Blocker, LLC | Ownership | 9.400 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3732334 | | | | LDI Holding Company, LLC | DE | NIA | LDI Nautic VII Blocker, LLC | Ownership | 17.600 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3732334 | | | | LDI Holding Company, LLC | DE | NIA | Oak HC/FT LDI Blocker, LLC | Ownership | 17.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3732334 | | | | LDI Holding Company, LLC | DE | NIA | Diplomat Pharmacy, Inc. | Ownership | 55.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-4062192 | | | | LDI Management Services, LLC | DE | NIA | LDI Holding Company, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4123171 | | | | LDI Nautic VII Blocker, LLC | DE | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4246034 | | | | LDI Nautic VIII-A Blocker, LLC | DE | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3741657 | | | | Leehar Distributors, LLC | DE | NIA | LDI Holding Company, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0543788 | | | | Level2 Health Holdings, Inc. | DE | NIA | UnitedHealth Group Ventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3161933 | | | | Level2 Health IPA, LLC | DE | NIA | Level2 Health Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3130872 | | | | Level2 Health Management, LLC | DE | NIA | Level2 Health Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-5003916 | | | | Level2 Medical Services, P.A. | DE | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 86-3756888 | | | | Level2 Medical Services, P.C. Alaska | AK | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | Level2 Medical Services, P.C. California | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 61-1028180 | | | | Lexington Surgery Center, Ltd. | KY | NIA | Surgery Center of Lexington, LLC | Ownership | 77.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 36-3468942 | | | | LGH-A/Golf ASTC, L.L.C. | IL | NIA | Advocate-SCA Partners, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 81-4465348 | | | | Liberty Anesthesia Services, LLC | IL | NIA | SCA-Winchester, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 32-0409538 | | | | Lifeprint Accountable Care Organization, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2309024 | | | | LifePrint Health, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2305878 | | | | LifeWell. Ltd. Co. | GA | NIA | HealthScope Benefits, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 51-0277181 | | | | Limestone Medical Center, LLC | DE | NIA | Virtua-SCA Holdings II, LLC | Ownership | 60.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 39-1974851 | | | | Logistics Health, Inc. | WI | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1337963 | | | | Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda. | BRA | NIA | Hospital Alvorada de Taguatinga Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1337963 | | | | Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1179566 | | | | Louisville S.C., Ltd. | KY | NIA | Surgery Center of Louisville, LLC | Ownership | 59.400 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1179538 | | | | Louisville-SC Properties, Inc. | KY | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4119519 | | | | Loyola Ambulatory Surgery Center at Oakbrook, Inc. | IL | NIA | ASC Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4119522 | | | | Loyola Ambulatory Surgery Center at Oakbrook, L.P. | IL | NIA | Loyola Ambulatory Surgery Center at Oakbrook, Inc. | Ownership | 45.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 98-1137620 | | | | Lusíadas - Parcerias Cascais, S.A. | PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1139095 | | | | Lusíadas A.C.E. | PRT | NIA | Clínica Médico Cirúrgica de Santa Tecla, S.A. | Ownership | 5.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1139095 | | | | Lusíadas A.C.E. | PRT | NIA | Lusíadas - Parcerias Cascais, S.A. | Ownership | 10.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1139095 | | | | Lusíadas A.C.E. | PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 55.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1139095 | | | | Lusíadas A.C.E. | PRT | NIA | Lusíadas, S.A. | Ownership | 20.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 98-1139095 | | | | Lusíadas A.C.E. | PRT | NIA | CLISA – Clínica de Santo António, S.A. | Ownership | 10.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1139089 | | | | Lusíadas, S.A. | PRT | NIA | Lusíadas, SGPS, S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1138570 | | | | Lusíadas, SGPS, S.A. | PRT | NIA | AMIL International S.á.r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 02-0749532 | | | | Lutheran Campus ASC, LLC | CO | NIA | SCLHS-SCA Holdings, LLC | Ownership | 57.850 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 60321 | 52-1803283 | | | | MAMSI Life and Health Insurance Company | MD | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 52014 | 65-0303864 | | | | Managed Care of North America, Inc. | FL | IA | MCNA Health Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 14-1782475 | | | | Managed Physical Network, Inc. | NY | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2880404 | | | | March Holdings, Inc. | CA | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4874334 | | | | March Vision Care Group, Incorporated | CA | NIA | California Spring Holdings, PC | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-3115058 | | | | March Vision Care IPA, Inc. | NY | NIA | March Vision Care Group, Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-4227915 | | | | March Vision Care of Texas, Inc. | TX | NIA | March Vision Care Group, Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 20-3042852 | | | | March Vision Care, Inc. | CA | NIA | March Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 94-3377073 | | | | Marin Health Ventures, LLC | CA | NIA | Marin Specialty Surgery Center, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2637247 | | | | Marin Specialty Surgery Center, LLC | CA | NIA | MGH/SCA, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2601943 | | | | Marin Surgery Holdings, Inc. | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2924503 | | | | Marlin Holding Company LLC | DE | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1456812 | | | | Maryland Ambulatory Centers, LLC | MD | NIA | Surgery Center of Ellicott City, Inc. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1456812 | | | | Maryland Ambulatory Centers, LLC | MD | NIA | Maryland-SCA Centers, LLC | Ownership | 44.118 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1401791 | | | | Maryland-SCA Centers, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Massachusetts Assurance Company, Ltd. PIC | CYM | NIA | H&W Indemnity (SPC), Ltd. | Ownership | 99.992 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Massachusetts Assurance Company, Ltd. PIC | CYM | NIA | Collaborative Care Holdings, LLC | Ownership | 0.008 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-0815305 | | | | Massachusetts Avenue Surgery Center, LLC | MD | NIA | SCA-Bethesda, LLC | Ownership | 53.867 | UnitedHealth Group Incorporated | | |
| | | 00000 | 43-1967820 | | | | MAT-RX DEVELOPMENT, L.L.C. | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 35-2262695 | | | | Mat-Rx Fort Worth GP, L.L.C. | TX | NIA | MAT-RX DEVELOPMENT, L.L.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 62-1600267 | | | | McKenzie Surgery Center, L.P. | TN | NIA | SCA-Eugene, Inc. | Ownership | 29.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 45-2542951 | | | | MCNA Health Care Holdings, LLC | FL | NIA | Marlin Holding Company LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 14063 | 52-2459969 | | | | MCNA Insurance Company | TX | IA | MCNA Health Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-3641822 | | | | MCNA Systems Corp. | FL | NIA | MCNA Health Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 42-1741594 | | | | MD Ops, Inc. | CA | NIA | North American Medical Management California, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96310 | 52-1169135 | | | | MD-Individual Practice Association, Inc. | MD | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1183650 | | | | ME AHS UC LLC | DE | NIA | Urgent Care MSO, LLC | Ownership | 70.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-0936574 | | | | ME Urgent Care Nebraska, Inc. | NE | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 98-1286220 | | | | Medalliance Net Ltda. | BRA | NIA | Optum Health & Technology Serviços do Brasil Ltda. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1286220 | | | | Medalliance Net Ltda. | BRA | NIA | UHG Brasil Participações S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-2178531 | | | | MEDEX Insurance Services, Inc. | MD | NIA | FrontierMEDEX, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3824377 | | | | MedExpress Development, LLC | FL | NIA | Urgent Care MSO, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1265129 | | | | MedExpress Employed Services, Inc. | DE | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-4550969 | | | | MedExpress Primary Care Arizona, P.C. | AZ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-4234388 | | | | MedExpress Primary Care Arkansas, P.A. | AR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-4605885 | | | | MedExpress Primary Care Kansas, P.A. | KS | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-3384324 | | | | MedExpress Primary Care Maryland, P.C. | MD | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-1096099 | | | | MedExpress Primary Care Massachusetts, P.C. | MA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-4396738 | | | | MedExpress Primary Care Minnesota P.C. | MN | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-1077265 | | | | MedExpress Primary Care Oklahoma, P.C. | OK | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-0764858 | | | | MedExpress Primary Care South Carolina, P.C. | SC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-2500750 | | | | MedExpress Primary Care Texas, P.A. | TX | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-3395792 | | | | MedExpress Primary Care Virginia, P.C. | VA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-4401181 | | | | MedExpress Primary Care West Virginia, Inc. | WV | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-4563448 | | | | MedExpress Primary Care Wisconsin, S.C. | WI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-2089623 | | | | MedExpress Urgent Care - Northern New Jersey PC | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |

# SCHEDULE Y

# PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 45-5388778 | | | | MedExpress Urgent Care – New Jersey, P.C. | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 32-0533926 | | | | MedExpress Urgent Care Alabama, LLC | AL | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4030280 | | | | MedExpress Urgent Care Arizona, P.C. | AZ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-4348120 | | | | MedExpress Urgent Care Arkansas, P.A. | AR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-0930142 | | | | MedExpress Urgent Care California, P.C. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-1956812 | | | | MedExpress Urgent Care Connecticut, P.C. | CT | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-1135336 | | | | MedExpress Urgent Care Idaho, P.C. | ID | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-4308614 | | | | MedExpress Urgent Care Illinois, P.C. | IL | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-5353472 | | | | MedExpress Urgent Care Iowa, P.C. | IA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-1919283 | | | | MedExpress Urgent Care Kansas, P.A. | KS | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-1719888 | | | | MedExpress Urgent Care Maine, Inc. | ME | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1125396 | | | | MedExpress Urgent Care Minnesota P.C. | MN | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-3132625 | | | | MedExpress Urgent Care Missouri P.C. | MO | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-0631738 | | | | MedExpress Urgent Care New Hampshire, Inc. | NH | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-5138747 | | | | MedExpress Urgent Care North Carolina, P.C. | NC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 20-2545363 | | | | MedExpress Urgent Care of Boynton Beach, LLC | FL | NIA | MedExpress Development, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1919436 | | | | MedExpress Urgent Care Oregon, P.C. | OR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-5362765 | | | | MedExpress Urgent Care Rhode Island, P.C. | RI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-5380706 | | | | MedExpress Urgent Care South Carolina, P.C. | SC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-5147441 | | | | MedExpress Urgent Care Texas, P.A. | TX | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-2443118 | | | | MedExpress Urgent Care Washington, P.C. | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 81-4281678 | | | | MedExpress Urgent Care Wisconsin, S.C. | WI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-1474287 | | | | MedExpress Urgent Care, Inc. - Ohio | OH | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4546400 | | | | MedExpress Urgent Care, Inc. – West Virginia | WV | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-1804667 | | | | MedExpress Urgent Care, P.C. – Georgia | GA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 90-0929572 | | | | MedExpress Urgent Care, P.C. – Indiana | IN | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-3461101 | | | | MedExpress Urgent Care, P.C. – Maryland | MD | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-1857908 | | | | MedExpress Urgent Care, P.C. – Massachusetts | MA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-4793937 | | | | MedExpress Urgent Care, P.C. – Michigan | MI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-1824365 | | | | MedExpress Urgent Care, P.C. – Oklahoma | OK | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 26-3750502 | | | | MedExpress Urgent Care, P.C. – Pennsylvania | PA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-4973138 | | | | MedExpress Urgent Care, P.C. – Tennessee | TN | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-3123110 | | | | MedExpress Urgent Care, P.C. – Virginia | VA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-1565124 | | | | MedExpress Urgent Care, P.S.C. - Kentucky | KY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-5436856 | | | | MedExpress, Inc. – Delaware | DE | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| 0707 | UnitedHealth Group Incorporated | 12756 | 20-3391186 | | | | Medica Health Plans of Florida, Inc. | FL | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 12155 | 01-0788576 | | | | Medica HealthCare Plans, Inc. | FL | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2566987 | | | | Medical Clinic of North Texas PLLC | TX | NIA | USMD Affiliated Services | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Medical Hilfe S.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Medical Hilfe S.A. | CHL | NIA | Home Medical S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4708497 | | | | Medical Support Los Angeles, Inc. | CA | NIA | MSLA Management LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-0636717 | | | | Medical Surgical Centers of America, Inc. | DE | NIA | ASC Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0037402 | | | | Medical Transportation Services, LLC | FL | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 02-0651651 | | | | MedPro Rx, Inc. | NC | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2515691 | | | | MedSynergies, LLC | DE | NIA | Mustang Razorback Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2101921 | | | | Melbourne Surgery Center, LLC | GA | NIA | Surgical Care Partners of Melbourne, LLC | Ownership | 76.164 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-4964787 | | | | Memorial City Holdings, LLC | DE | NIA | SCA-Memorial City, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3689933 | | | | Memorial City Partners, LLC | DE | NIA | SCA-Memorial City, LLC | Ownership | 11.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3689933 | | | | Memorial City Partners, LLC | DE | NIA | Memorial City Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4688463 | | | | Memorial Healthcare IPA, GP | CA | NIA | MH Physician Three Holdco, a Medical Corporation | Ownership | 99.000 | UnitedHealth Group Incorporated | | 1 |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 37-1782795 | | | | Memorial Houston Surgery Center, LLC | TX | NIA | SCA-Memorial, LLC | Ownership | 51.209 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1394069 | | | | MemorialCare Surgical Center at Orange Coast, LLC | CA | NIA | Beach Surgical Holdings LLC | Ownership | 51.441 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 20-3678259 | | | | MemorialCare Surgical Center at Saddleback, LLC | CA | NIA | Beach Surgical Holdings LLC | Ownership | 52.999 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 27-0203906 | | | | Mesquite Liberty, LLC | NV | NIA | Sierra Health Services, Inc. | Ownership | 80.357 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-3861395 | | | | MGH/SCA, LLC | CA | NIA | SC Affiliates, LLC | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-3861395 | | | | MGH/SCA, LLC | CA | NIA | Marin Surgery Holdings, Inc. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-4691544 | | | | MH Physician Three Holdco, a Medical Corporation | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-2252446 | | | | MHC Real Estate Holdings, LLC | CA | NIA | Monarch Management Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 80-0507474 | | | | MHCH, Inc. | CA | NIA | Monarch HealthCare, A Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-4691508 | | | | MHIPA Physician Two Holdco, a Medical Corporation | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 36-4600281 | | | | Miami Surgery Center, LLC | DE | NIA | SCA-Doral, LLC | Ownership | 50.185 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 66087 | 62-0724538 | | | | Mid-West National Life Insurance Company of Tennessee | TX | IA | HealthMarkets, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3345412 | | | | Midlands Orthopaedics Surgery Center, LLC | SC | NIA | SCA-Midlands, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 20-8103522 | | | | Midwest Center for Day Surgery, LLC | IL | NIA | Advocate-SCA Partners, LLC | Ownership | 65.457 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-0543458 | | | | Mile High SurgiCenter, LLC | CO | NIA | SCA-Denver Physicians Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2977678 | | | | Mobile Medical Services of New Jersey, PC | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 30-0445773 | | | | Mobile Medical Services, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 63-0883553 | | | | Mobile-SC, LTD. | AL | NIA | SCA-Mobile, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | | | | | Modality Accountable Care Organisation Limited | GBR | NIA | Optum UK Solutions Group Limited | Ownership | 50.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 85-3287029 | | | | Moen, M.D., P.C. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 22-3935634 | | | | Monarch Health Plan, Inc. | CA | NIA | Monarch HealthCare, A Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 33-0587660 | | | | Monarch HealthCare, A Medical Group, Inc. | CA | NIA | MH Physician Three Holdco, a Medical Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-3142852 | | | | Monarch Management Services, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-4887072 | | | | Monika Roots, M.D., P.C. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 52-1401868 | | | | Montgomery Surgery Center Limited Partnership | MD | NIA | Maryland Ambulatory Centers, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3513595 | | | | Morris County Surgical Center, LLC | NJ | NIA | SCA-Morris County, LLC | Ownership | 60.599 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5892451 | | | | Mosaic Management Services, Inc. | CA | NIA | Talbert Medical Group, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 35-2566612 | | | | Mountain View Medical Group, LLC | CO | NIA | OptumCare Holdings Colorado, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1633765 | | | | MSLA Management LLC | DE | NIA | Logistics Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1506649 | | | | Mt. Pleasant Surgery Center, L.P. | TN | NIA | SCA-Mt. Pleasant, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1285432 | | | | Multiangio Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 93.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4209261 | | | | Muskogee Surgical Investors, LLC | OK | NIA | Surgery Center of Muskogee, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1935798 | | | | Mustang Razorback Holdings, Inc. | DE | NIA | Optum360 Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2564744 | | | | My Wellness Solutions, LLC | DE | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3236839 | | | | NAMM Holdings, Inc. | DE | NIA | Aveta Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 56-2627070 | | | | NAMM Medical Group Holdings, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 61-1627269 | | | | NAMM MGH, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| 0707 | UnitedHealth Group Incorporated | 98205 | 73-1187572 | | | | National Foundation Life Insurance Company | TX | IA | Enterprise Life Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95251 | 76-0196559 | | | | National Pacific Dental, Inc. | TX | IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-3549627 | | | | National Surgery Centers, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-3735192 | | | | Navigator Health, Inc. | DE | NIA | naviHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-2283691 | | | | Naviguard, Inc. | DE | NIA | UnitedHealth Group Ventures, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3482655 | | | | naviHealth Care at Home, LLC | DE | NIA | naviHealth, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0975337 | | | | naviHealth Coordinated Care SC, P.C. | MI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-5020906 | | | | naviHealth Coordinated Care, LLC | DE | NIA | naviHealth, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 35-2431677 | | | | naviHealth Holdings, LLC | DE | NIA | Nomad Buyer, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3469040 | | | | naviHealth Michigan HBPC, P.C. | MI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 61-1669841 | | | | naviHealth SM Holdings, Inc. | DE | NIA | naviHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4719151 | | | | naviHealth, Inc. | DE | NIA | naviHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95123 | 65-0996107 | | | | Neighborhood Health Partnership, Inc. | FL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4755277 | | | | Netwerkes, LLC | TN | NIA | Optum360 Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95758 | 88-0228572 | | | | Nevada Pacific Dental | NV | IA | Dental Benefit Providers, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 72-1267232 | | | | New Orleans Regional Physician Hospital Organization, L.L.C. | LA | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-1250135 | | | | New West Physicians, Inc. | CO | NIA | Newton Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-1080342 | | | | Newton Holdings, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2995765 | | | | Nifty After Fifty/Monarch, LLC | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-1111251 | | | | Nomad Buyer, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0673955 | | | | North American Medical Management California, Inc. | TN | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2248103 | | | | North Dallas Surgical Center, LLC | DE | NIA | Surgical Care Affiliates, LLC | Influence | 55.377 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2564137 | | | | North Puget Sound Oncology Equipment Leasing Company, LLC | WA | NIA | Everett MSO, Inc. | Ownership | 71.250 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0245121 | | | | Northern Nevada Health Network, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1240726 | | | | Northern Rockies Surgery Center, L.P. | TN | NIA | Northern Rockies Surgicenter, Inc. | Ownership | 40.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 81-0399251 | | | | Northern Rockies Surgicenter, Inc. | MT | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4070254 | | | | Northern Utah Surgery Center, LLC | UT | NIA | SCA Northern Utah Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 91-1699944 | | | | Northwest Medical Group Alliance, LLC | WA | NIA | The Polyclinic, PLLC | Ownership | 21.480 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-5055997 | | | | Northwest Spine and Laser Surgery Center LLC | OR | NIA | SCA Northwest Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 37-1007387 | | | | Northwest Surgicare, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2494046 | | | | Northwest Surgicare, Ltd., an Illinois Limited Partnership | IL | NIA | Northwest Surgicare, LLC | Ownership | 66.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2494046 | | | | Northwest Surgicare, Ltd., an Illinois Limited Partnership | IL | NIA | Endoscopy Center Affiliates, Inc. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1855159 | | | | NPN IPA Washington, PLLC | WA | NIA | Everett Physicians, Inc. P.S. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 56-1963226 | | | | NSC Greensboro West, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 56-1775016 | | | | NSC Greensboro, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4210296 | | | | NSC Lancaster, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 91-1553479 | | | | NSC Seattle, Inc. | WA | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0812824 | | | | NSC Upland, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3764590 | | | | Oak HC/FT LDI Blocker, LLC | DE | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-4364718 | | | | OC Cardiology Practice Partners, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3189778 | | | | OCC MSO, LLC | DE | NIA | OptumCare Specialty Practices, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 93-0979031 | | | | OHR Physician Group, P.C. | OR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 98-1454609 | | | | Omesa SpA | CHL | NIA | Vida Integra S.p.A. | Ownership | 99.996 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1454609 | | | | Omesa SpA | CHL | NIA | Saden S.A. | Ownership | 0.004 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0062838 | | | | OmniClaim, LLC | DE | NIA | Equian, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1456252 | | | | Oncocare S.A.C. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 80.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1914835 | | | | Ophthalmology Surgery Center of Dallas, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96940 | 52-1518174 | | | | Optimum Choice, Inc. | MD | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0858534 | 3202702 | | | Optum Bank, Inc. | UT | NIA | Optum Financial, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-3437660 | | | | Optum Biometrics, Inc. | IL | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-3143218 | | | | Optum Care Networks, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-4138665 | | | | Optum Care of New York Management, Inc. | NY | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-0683057 | | | | Optum Care Services Company | TN | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1959511 | | | | Optum Care, Inc. | DE | NIA | Collaborative Care Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2778455 | | | | Optum Clinic, P.A. | TX | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 37-1782217 | | | | Optum Clinics Holdings, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 38-3969193 | | | | Optum Clinics Intermediate Holdings, Inc. | DE | NIA | Optum Clinics Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3253658 | | | | Optum Compounding Services, LLC | AZ | NIA | Optum Pharmacy 801, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Optum Digital Health Holdings, LLC | DE | NIA | Consumer Wellness Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1981651 | | | | Optum Direct To Consumer, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1325466 | | | | Optum Finance (Ireland) Unlimited Company | IRL | NIA | UnitedHealthcare International VIII S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0858530 | | | | Optum Financial, Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2786455 | | | | Optum Frontier Therapies Holdings, LLC | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2532834 | | | | Optum Frontier Therapies II, LLC | NV | NIA | Optum Frontier Therapies Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2301399 | | | | Optum Frontier Therapies, LLC | MI | NIA | Optum Frontier Therapies Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1103015 | | | | Optum Global Solutions (India) Private Limited | IND | NIA | UnitedHealth International, Inc. | Ownership | 0.001 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1103015 | | | | Optum Global Solutions (India) Private Limited | IND | NIA | Optum Global Solutions International B.V. | Ownership | 99.999 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1097776 | | | | Optum Global Solutions (Philippines), Inc. | PHL | NIA | Optum Global Solutions International B.V. | Ownership | 99.991 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Optum Global Solutions Colombia S.A.S. | COL | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1201187 | | | | Optum Global Solutions International B.V. | NLD | NIA | OptumHealth International B.V. | Ownership | 2.520 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1201187 | | | | Optum Global Solutions International B.V. | NLD | NIA | Optum Technology, LLC | Ownership | 97.480 | UnitedHealth Group Incorporated | | |
| | | 00000 | 04-3574101 | | | | Optum Government Solutions, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Optum Health & Technology (Hong Kong) Limited | HKG | NIA | OptumHealth International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1095799 | | | | Optum Health & Technology (India) Private Limited | IND | NIA | United Behavioral Health | Ownership | 0.001 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1095799 | | | | Optum Health & Technology (India) Private Limited | IND | NIA | OptumHealth International B.V. | Ownership | 99.999 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1097886 | | | | Optum Health & Technology (Singapore) Pte. Ltd. | SGP | NIA | OptumHealth International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2149493 | | | | Optum Health & Technology (US), LLC | MO | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 43-1747235 | | | | Optum Health & Technology Holdings (US), Inc. | MO | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1184561 | | | | Optum Health & Technology Serviços do Brasil Ltda. | BRA | NIA | OptumInsight, Inc. | Ownership | 0.002 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1184561 | | | | Optum Health & Technology Serviços do Brasil Ltda. | BRA | NIA | Optum Global Solutions International B.V. | Ownership | 99.998 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1276517 | | | | Optum Health and Technology FZ-LLC | ARE | NIA | Optum Global Solutions International B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2385459 | | | | Optum Health Plan of California | DE | NIA | OptumCare Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1097921 | | | | Optum Health Services (Canada) Ltd. | CAN | NIA | Optum Health & Technology Holdings (US), Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1406274 | | | | Optum Health Solutions (Australia) Pty Ltd | AUS | NIA | Optum UK Solutions Group Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1147355 | | | | Optum Health Solutions (UK) Limited | GBR | NIA | Optum UK Solutions Group Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2068880 | | | | Optum Healthcare of Illinois, Inc. | GA | NIA | Optum Women's and Children's Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-0212381 | | | | Optum Hospice Pharmacy Services, LLC | DE | NIA | OptumRx Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-2997132 | | | | Optum Infusion Services 100, Inc. | NY | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-3485985 | | | | Optum Infusion Services 101, Inc. | NY | NIA | Optum Infusion Services 100, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1533840 | | | | Optum Infusion Services 103, LLC | DE | NIA | Serquinox Holdings LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 57-0861358 | | | | Optum Infusion Services 200, Inc. | SC | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 55-0802777 | | | | Optum Infusion Services 201, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4881413 | | | | Optum Infusion Services 202, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-1012700 | | | | Optum Infusion Services 203, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 55-0802774 | | | | Optum Infusion Services 204, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3738273 | | | | Optum Infusion Services 205, Inc. | FL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1284325 | | | | Optum Infusion Services 206, Inc. | AL | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-0964525 | | | | Optum Infusion Services 207, Inc. | AL | NIA | Optum Infusion Services 206, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4963945 | | | | Optum Infusion Services 208, Inc. | NC | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 55-0802779 | | | | Optum Infusion Services 209, Inc. | GA | NIA | Ambient Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2196224 | | | | Optum Infusion Services 301, LP | OK | NIA | Optum Infusion Services 500, Inc. | Ownership | 99.950 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2196224 | | | | Optum Infusion Services 301, LP | OK | NIA | Optum Infusion Services 305, LLC | Ownership | 0.050 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0668812 | | | | Optum Infusion Services 302, LLC | NE | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2565032 | | | | Optum Infusion Services 305, LLC | DE | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3741084 | | | | Optum Infusion Services 308, LLC | AZ | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 02-0653265 | | | | Optum Infusion Services 401, LLC | CA | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4312858 | | | | Optum Infusion Services 402, LLC | CA | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0941801 | | | | Optum Infusion Services 403, LLC | CA | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 93-1103256 | | | | Optum Infusion Services 404, LLC | OR | NIA | SCP Specialty Infusion, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-3918706 | | | | Optum Infusion Services 500, Inc. | DE | NIA | AxelaCare, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-2822134 | | | | Optum Infusion Services 501, Inc. | DE | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 69647 | 31-0628424 | | | | Optum Insurance of Ohio, Inc. | OH | IA | OptumRx PBM of Maryland, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-4734521 | | | | Optum Labs Dimensions, Inc. | DE | NIA | Optum Labs Topaz, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1615964 | | | | Optum Labs Topaz, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Optum Labs, Inc. | PHL | NIA | Optum Labs, LLC | Ownership | 99.990 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1934238 | | | | Optum Labs, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1209730 | | | | Optum Life Sciences (Canada) Inc. | CAN | NIA | OptumInsight Life Sciences, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1098190 | | | | Optum Management Consulting (Shanghai) Co., Ltd. | CHN | NIA | Optum Health & Technology Holdings (US), Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0826311 | | | | Optum Medical Services of California, P.C. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-5424191 | | | | Optum Medical Services of Colorado, P.C. | CO | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-3866363 | | | | Optum Medical Services, P.C. | NC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| 0707 | UnitedHealth Group Incorporated | 11068 | 30-0029448 | | | | Optum Networks of New Jersey, Inc. | DE | IA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-1873062 | | | | Optum of New York, Inc. | NY | NIA | Optum Women's and Children's Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1097761 | | | | Optum Operations (Ireland) Unlimited Company | IRL | NIA | UnitedHealthcare International VII S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3355553 | | | | Optum Oregon MSO, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8911466 | | | | Optum Palliative and Hospice Care of Pennsylvania, Inc. | TN | NIA | Hospice Inspiris Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8911303 | | | | Optum Palliative and Hospice Care of Texas, Inc. | TN | NIA | Hospice Inspiris Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-3629080 | | | | Optum Perks LLC | DE | NIA | OptumRx Health Solutions, LLC | Ownership | 42.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-3629080 | | | | Optum Perks LLC | DE | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 58.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 87-1235240 | | | | Optum Pharma Services Holdings, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4435924 | | | | Optum Pharmacy 601, LLC | FL | NIA | Apothecary Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-4030553 | | | | Optum Pharmacy 700, LLC | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-2827343 | | | | Optum Pharmacy 701, LLC | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2731176 | | | | Optum Pharmacy 702, LLC | IN | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-2719823 | | | | Optum Pharmacy 704, Inc. | TX | NIA | BriovaRx of Florida, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 55-0824381 | | | | Optum Pharmacy 705, LLC | AL | NIA | BriovaRx of Maine, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-3103518 | | | | Optum Pharmacy 706, Inc. | NY | NIA | Salveo Specialty Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4515146 | | | | Optum Pharmacy 707, Inc. | CA | NIA | Salveo Specialty Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2869469 | | | | Optum Pharmacy 800, Inc. | AZ | NIA | Apothecary Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-4318552 | | | | Optum Pharmacy 801, Inc. | AZ | NIA | Apothecary Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4241458 | | | | Optum Pharmacy 803, Inc. | AZ | NIA | Apothecary Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-0583808 | | | | Optum Pharmacy 805, Inc. | AZ | NIA | Apothecary Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0121303 | | | | Optum Pharmacy 806, Inc. | CA | NIA | Apothecary Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4581265 | | | | Optum Public Sector Solutions, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3328009 | | | | Optum Rocket, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-0543382 | | | | Optum Senior Services, LLC | AL | NIA | Optum Hospice Pharmacy Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1307821 | | | | Optum Services (Ireland) Limited | IRL | NIA | Optum Operations (Ireland) Unlimited Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 66-0870003 | | | | Optum Services (Puerto Rico) LLC | PRI | NIA | UnitedHealthcare International III B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 45-4683454 | | | | Optum Services, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1284698 | | | | Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda. | BRA | NIA | Cemed Care – Empresa de Atendimento Clínico Geral Ltda. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1284698 | | | | Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-0644599 | | | | Optum Solutions UK Holdings Limited | GBR | NIA | Optum Health & Technology Holdings (US), Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-5713629 | | | | Optum Technology, LLC | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1097769 | | | | Optum UK Solutions Group Limited | GBR | NIA | Optum Solutions UK Holdings Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Optum Venture Global Partners II, LP | DE | NIA | Optum, Inc. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Optum Venture Partners III, LP | DE | NIA | Optum, Inc. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0735012 | | | | Optum Washington Network, LLC | WA | NIA | Collaborative Care Holdings, LLC | Ownership | 93.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2205984 | | | | Optum Women's and Children's Health, LLC | DE | NIA | My Wellness Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0580620 | 3119994 | | | Optum, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3983926 | | | | Optum360 Services, Inc. | DE | NIA | Optum Rocket, Inc. | Ownership | 69.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3446942 | | | | Optum360 Solutions, LLC | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3328307 | | | | Optum360, LLC | DE | NIA | Optum Rocket, Inc. | Ownership | 69.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0940296 | | | | OptumCare ACO New Mexico, LLC | DE | NIA | OptumCare New Mexico, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-3608739 | | | | OptumCare ACO West, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1422097 | | | | OptumCare Clinical Trials, LLC | DE | NIA | OptumCare Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2597463 | | | | OptumCare Colorado ASC, LLC | CO | NIA | OptumCare Colorado, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2218380 | | | | OptumCare Colorado Springs, LLC | CO | NIA | OptumCare Holdings Colorado, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2196783 | | | | OptumCare Colorado, LLC | CO | NIA | OptumCare Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1508741 | | | | OptumCare Endoscopy Center New Mexico, LLC | NM | NIA | OptumCare New Mexico, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-2227280 | | | | OptumCare Florida CI, LLC | DE | NIA | OptumCare Florida, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 87-0408859 | | | | OptumCare Florida, LLC | DE | NIA | OptumCare Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0999065 | | | | OptumCare Holdings Colorado, LLC | CO | NIA | OptumCare Colorado, LLC | Ownership | 85.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 56-2592163 | | | | OptumCare Holdings, LLC | CA | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-4509662 | | | | OptumCare Management, LLC | CA | NIA | OptumCare Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4043287 | | | | OptumCare New Mexico, LLC | DE | NIA | OptumCare Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-4138668 | | | | OptumCare New York IPA, Inc. | NY | NIA | Inspiris, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 93-1306308 | | | | OptumCare Portland, LLC | OR | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-3462809 | | | | OptumCare South Florida, LLC | FL | NIA | OptumCare Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3504842 | | | | OptumCare Specialty Practices, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1591944 | | | | OptumHealth Care Solutions, LLC | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1192395 | | | | OptumHealth Holdings, LLC | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1106868 | | | | OptumHealth International B.V. | NLD | NIA | Catamaran S.á.r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | OptumInsight Holdings, LLC | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1401978 | | | | OptumInsight India Private Limited | IND | NIA | The Advisory Board Company | Ownership | 0.935 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1401978 | | | | OptumInsight India Private Limited | IND | NIA | ABCO International Holdings, LLC | Ownership | 99.065 | UnitedHealth Group Incorporated | | |
| | | 00000 | 04-3383745 | | | | OptumInsight Life Sciences, Inc. | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1858498 | | | | OptumInsight, Inc. | DE | NIA | OptumInsight Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2578509 | | | | OptumRx Administrative Services, LLC | TX | NIA | OptumRx Holdings I, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 31-1728846 | | | | OptumRx Discount Card Services, LLC | DE | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4734235 | | | | OptumRx Group Holdings, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-0666840 | | | | OptumRx Health Solutions, LLC | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 80-0870454 | | | | OptumRx Holdings I, LLC | DE | NIA | OptumRx Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | OptumRx Holdings, LLC | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 34-1472211 | | | | OptumRx Home Delivery of Ohio, LLC | OH | NIA | OptumRx Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-0218027 | | | | OptumRx IPA III, Inc. | NY | NIA | OptumRx PBM of Maryland, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-0151096 | | | | OptumRx NY IPA, Inc. | NY | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-3419292 | | | | OptumRx of Pennsylvania, LLC | DE | NIA | OptumRx Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-2581812 | | | | OptumRx PBM of Illinois, Inc. | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0361447 | | | | OptumRx PBM of Maryland, LLC | NV | NIA | OptumRx Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 03-0592263 | | | | OptumRx PBM of Pennsylvania, LLC | PA | NIA | OptumRx of Pennsylvania, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-3693753 | | | | OptumRx PBM of Wisconsin, LLC | WI | NIA | OptumRx PBM of Illinois, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 16-1767416 | | | | OptumRx PD of Pennsylvania, LLC | PA | NIA | OptumRx of Pennsylvania, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0373347 | | | | OptumRx Pharmacy of Nevada, Inc. | NV | NIA | OptumRx Health Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-3146510 | | | | OptumRx Pharmacy, Inc. | DE | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0441200 | | | | OptumRx, Inc. | CA | NIA | OptumRx Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-2016292 | | | | OptumServe Technology Services, Inc. | MD | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-3674492 | | | | Oregon Healthcare Resources, LLC | OR | NIA | OHR Physician Group, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 22-3883387 | | | | Oregon Outpatient Surgery Center, LLC | OR | NIA | Providence & SCA Off-Campus Holdings, LLC | Ownership | 51.180 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 59-3125869 | | | | Orlando Center for Outpatient Surgery, L.P. | GA | NIA | Surgical Health Of Orlando, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 59-3125869 | | | | Orlando Center for Outpatient Surgery, L.P. | GA | NIA | Surgical Health, LLC | Ownership | 10.864 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2742615 | | | | Orthology Inc. | DE | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-3960641 | | | | OrthoNet Holdings, Inc. | DE | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-3818652 | | | | OrthoNet LLC | NY | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-4025898 | | | | OrthoNet New York IPA, Inc. | NY | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2884306 | | | | OrthoNet of the South, Inc. | DE | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1581769 | | | | OrthoNet Services, Inc. | DE | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-0221966 | | | | OrthoNet West, Inc. | DE | NIA | OrthoNet Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2594417 | | | | Orthopedic Surgery Center of Palm Beach County, LLC | FL | NIA | SCA-Palm Beach, LLC | Ownership | 26.500 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 85-2357227 | | | | OrthoWest MSO, LLC | DE | NIA | OptumCare Specialty Practices, LLC | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1921007 | | | | Ovations, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 06-1587795 | | | | Oxford Benefit Management, Inc. | CT | NIA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 78026 | 22-2797560 | | | | Oxford Health Insurance, Inc. | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96798 | 06-1181201 | | | | Oxford Health Plans (CT), Inc. | CT | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95506 | 22-2745725 | | | | Oxford Health Plans (NJ), Inc. | NJ | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95479 | 06-1181200 | | | | Oxford Health Plans (NY), Inc. | NY | IA | Oxford Health Plans LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-2443751 | | | | Oxford Health Plans LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0008097 | | | | P2P Link, LLC | DE | NIA | SRPS, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2857077 | | | | Pacific Casualty Company, Inc. | HI | NIA | USHEALTH Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 70785 | 35-1137395 | | | | PacifiCare Life and Health Insurance Company | IN | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 84506 | 95-2829463 | | | | PacifiCare Life Assurance Company | CO | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95617 | 94-3267522 | | | | PacifiCare of Arizona, Inc. | AZ | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95434 | 84-1011378 | | | | PacifiCare of Colorado, Inc. | CO | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95685 | 86-0875231 | | | | PacifiCare of Nevada, Inc. | UT | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444613 | | | | Pacífico S.A. Entidad Prestadora de Salud | PER | NIA | Empremédica S. A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 48-1255983 | | | | Panama City Surgery Center, LLC | FL | NIA | SCA-Panama City Holdings, LLC | Ownership | 53.558 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-1484375 | | | | Park Hill Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4127100 | | | | Parkway Surgery Center, LLC | DE | NIA | SCA-Hagerstown, LLC | Ownership | 78.400 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Patrimonio Autónomo Nueva Clínica | COL | NIA | Clínica del Country S.A.S. | Ownership | 65.180 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Patrimonio Autónomo Nueva Clínica | COL | NIA | Promotora Country S.A. | Ownership | 0.230 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Patrimonio Autónomo Nueva Clínica | COL | NIA | Banmédica Internacional SpA | Ownership | 9.670 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1451147 | | | | Payment Resolution Services, LLC | TN | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-3265056 | | | | PCCCV, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2429625 | | | | Penzo Enterprises, LLC | DE | NIA | OptumRx Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 13607 | 20-5662149 | | | | Peoples Health, Inc. | LA | IA | New Orleans Regional Physician Hospital Organization, L.L.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5608977 | | | | Perham Physical Therapy, LTD | MN | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-1978405 | | | | Perimeter Center for Outpatient Surgery, L.P. | GA | NIA | SHC Atlanta, LLC | Ownership | 38.757 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 47-5449645 | | | | Pharmaceutical Technologies Independent Practice Association, LLC | NY | NIA | Pharmaceutical Technologies, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0764793 | | | | Pharmaceutical Technologies, Inc. | NE | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

# PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 35-2288416 | | | | PHC Subsidiary Holdings, LLC | TX | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2902954 | | | | Physician Alliance of the Rockies, LLC | CO | NIA | New West Physicians, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0516435 | | | | Physician Partners Medical Group, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 91-1822767 | | | | Physicians Care Network, L.L.C. | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 59-3438026 | | | | Physicians Day Surgery Center, LLC | FL | NIA | SCA-Naples, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 11494 | 04-3677255 | | | | Physicians Health Choice of Texas, LLC | TX | IA | PHC Subsidiary Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1162824 | | | | Physicians Health Plan of Maryland, Inc. | MD | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5170962 | | | | Physicians' Surgery Center of Downey, LLC | CA | NIA | SCA-AppleCare Partners, LLC | Ownership | 59.998 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-1498816 | | | | Pinnacle III, LLC | CO | NIA | SCA Pinnacle Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1388873 | | | | Plano de Saúde Ana Costa Ltda. | BRA | NIA | Santos Administração e Participações S.A. | Ownership | 25.140 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1388873 | | | | Plano de Saúde Ana Costa Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 74.860 | UnitedHealth Group Incorporated | | |
| | | 00000 | 66-0742844 | | | | Plus One Health Management Puerto Rico, Inc. | PRI | NIA | Plus One Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 13-3613705 | | | | Plus One Holdings, Inc. | DE | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 80-0670247 | | | | PMI Acquisition, LLC | DE | NIA | Progressive Enterprises Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3148744 | | | | PMSI Holdings, LLC | DE | NIA | Progressive Enterprises Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 59-3166848 | | | | PMSI Settlement Solutions, LLC | FL | NIA | PMSI Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 56-2422696 | | | | PMSI, LLC | FL | NIA | PMSI Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1083164 | | | | Polar II Fundo de Investimento em Participações Multiestrategia | BRA | NIA | UnitedHealthcare International IV S.á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5563848 | | | | Polo Holdco, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-3042027 | | | | Polyclinic Holdings, P.C. | WA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-0508606 | | | | Polyclinic Management Services Company, LLC | WA | NIA | The Polyclinic, PLLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-5415205 | | | | POMCO Network, Inc. | NY | NIA | POMCO, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 15-0581348 | | | | POMCO, Inc. | NY | NIA | UMR, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0261822 | | | | Pomerado Outpatient Surgical Center, Inc. | CA | NIA | ASC Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0752699 | | | | Pomerado Outpatient Surgical Center, L.P. | CA | NIA | Pomerado Outpatient Surgical Center, Inc. | Ownership | 61.500 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0844501 | | | | Post-Acute Care Center for Research, LLC | DE | NIA | naviHealth, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1454121 | | | | PPH Holdings, LLC | DE | NIA | Practice Partners in Healthcare, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 24-1453981 | | | | PPH Management Company, L.L.C. | DE | NIA | Practice Partners in Healthcare, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4490039 | | | | PPH-Columbia, Inc. | DE | NIA | Practice Partners in Healthcare, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4489980 | | | | PPH-Gardendale, Inc. | DE | NIA | Practice Partners in Healthcare, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 76-0770414 | | | | Practice Partners in Healthcare, LLC | DE | NIA | SCA-Practice Partners Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 65-0683927 | | | | Preferred Care Partners Holding, Corp. | FL | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1845018 | | | | Preferred Care Partners Medical Group, Inc. | FL | NIA | Preferred Care Partners Holding, Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 11176 | 65-0885893 | | | | Preferred Care Partners, Inc. | FL | IA | Preferred Care Partners Holding, Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-3265059 | | | | Premier Choice ACO, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 72-1378216 | | | | Premier Surgery Center of Louisville, L.P. | TN | NIA | SCA Premier Surgery Center of Louisville, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1499748 | | | | Premiere Medical Resources, LLC | DE | NIA | Unity Health Network, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0527335 | | | | Primary Care Associated Medical Group, Inc. | CA | NIA | NAMM Medical Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 30-0516440 | | | | Prime Community Care, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 88-0253112 | | | | Prime Health, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0645768 | | | | PrimeCare Medical Group of Chino Valley, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 33-0607478 | | | | PrimeCare Medical Network, Inc. | CA | NIA | NAMM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 87-0757397 | | | | PrimeCare of Citrus Valley, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 80.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0674407 | | | | PrimeCare of Corona, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0674401 | | | | PrimeCare of Hemet Valley, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0674408 | | | | PrimeCare of Inland Valley, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0674402 | | | | PrimeCare of Moreno Valley, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0674400 | | | | PrimeCare of Redlands, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0674404 | | | | PrimeCare of Riverside, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 14-1915328 | | | | PrimeCare of San Bernardino, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0698439 | | | | PrimeCare of Sun City, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0674409 | | | | PrimeCare of Temecula, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 14-1873402 | | | | Procura Management, Inc. | DE | NIA | Healthcare Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-4371197 | | | | Progressive Enterprises Holdings, Inc. | DE | NIA | OptumRx, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 31-1192384 | | | | Progressive Medical, LLC | OH | NIA | PMI Acquisition, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4469117 | | | | ProHEALTH Accountable Care Medical Group, PLLC | NY | NIA | ProHEALTH Care Associates, L.L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 11-3447394 | | | | ProHEALTH Ambulatory Surgery Center, Inc. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-5656253 | | | | ProHEALTH Care Associates of New Jersey LLP | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 11-3355604 | | | | ProHEALTH Care Associates, L.L.P. | NY | NIA | ProHEALTH Medical NY, P.C. | Ownership | 51.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-1049961 | | | | ProHEALTH Medical Management, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1388406 | | | | ProHEALTH Medical NY, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-5470737 | | | | ProHealth Physicians ACO, LLC | CT | NIA | ProHealth Physicians, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 06-1446075 | | | | ProHealth Physicians, Inc. | CT | NIA | Polo Holdco, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 06-1469068 | | | | ProHealth Physicians, P.C. | CT | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 32-0455430 | | | | ProHealth Proton Center Management, LLC | DE | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5661535 | | | | ProHEALTH Urgent Care Medicine of New Jersey LLP | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-1883579 | | | | ProHEALTH Urgent Care Medicine, PLLC | NY | NIA | ProHEALTH Care Associates, L.L.P. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | ProHealth/CareMount Dental Management, LLC | NY | NIA | CareMount Dental Member, LLC | Ownership | 46.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | | | | | Promotora Country S.A. | COL | NIA | Banmédica S.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 22-3493126 | | | | Pronounced Health Solutions, Inc. | DE | NIA | My Wellness Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444638 | | | | Prosemedic S.A.C. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 80.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0775386 | | | | Prospero Health Partners Florida, Inc. | FL | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-2400620 | | | | Prospero Health Partners New York, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-4569314 | | | | Prospero Health Partners North Carolina, P.C. | NC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-3234753 | | | | Prospero Health Partners, P.C. | MN | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-4217561 | | | | Prospero Management Services, LLC | DE | NIA | Triple Aim Partners, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3844362 | | | | Prospero Medical Services New Jersey, P.C. | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-3765555 | | | | Providence & SCA Off-Campus Holdings, LLC | DE | NIA | SCA-Portland, LLC | Ownership | 40.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 82-3270499 | | | | Providence & SCA On-Campus Holdings, LLC | DE | NIA | SCA-Portland, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 83-1591205 | | | | Providence & SCA Outreach Markets Holdings, LLC | DE | NIA | SCA-Portland, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 90-0876656 | | | | PS Center, LLC | CA | NIA | Beach Surgical Holdings II, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 46-1061132 | | | | PSC Bellevue, LLC | NE | NIA | Pharmaceutical Technologies, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1371723 | | | | PSC Coventry, LLC | NE | NIA | Pharmaceutical Technologies, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1367698 | | | | PSC Properties, LLC | NE | NIA | Pharmaceutical Technologies, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0921665 | | | | Psychiatry Services of New York, P.C. | NY | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | Pulse Platform, LLC | DE | NIA | Rally Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3901920 | | | | QoL Acquisition Holdings Corp. | DE | NIA | Genoa Healthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1804707 | | | | R Cubed, Inc. | TN | NIA | naviHealth SM Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2493256 | | | | Rally Health, Inc. | DE | NIA | Optum Digital Health Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 80-0947972 | | | | Real Appeal, Inc. | DE | NIA | Rally Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 94-3115625 | | | | Redlands Ambulatory Surgery Center | CA | NIA | Redlands-SCA Surgery Centers, Inc. | Ownership | 54.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 56-2627067 | | | | Redlands Family Practice Medical Group, Inc. | CA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 94-3115627 | | | | Redlands-SCA Surgery Centers, Inc. | CA | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5251393 | | | | Reliant Medical Group The Endoscopy Center, LLC | MA | NIA | Reliant Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 04-2472266 | | | | Reliant Medical Group, Inc. | MA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-3745100 | | | | Reliant MSO, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-0558032 | | | | Research Surgical Center LLC | CO | NIA | PPH Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 45-2515438 | | | | RightCare Solutions, Inc. | DE | NIA | naviHealth, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-0758315 | | | | River Valley ASC, LLC | CT | NIA | SCA-River Valley, LLC | Ownership | 58.312 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0055097 | | | | Riverside Community Healthplan Medical Group, Inc. | CA | NIA | NAMM Medical Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | Riverside Corporate Wellness, LLC | WI | NIA | United HealthCare Services, Inc. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3420379 | | | | Riverside Electronic Healthcare Resources, Inc. | CA | NIA | Riverside Community Healthplan Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 32-0500242 | | | | Riverside Medical Management, LLC | DE | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 22-3624559 | | | | Riverside Pediatric Group, P.C. | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-2735771 | | | | Riverside Surgical Center of Meadowlands, LLC | NJ | NIA | SCA-Riverside Partners, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2722082 | | | | Riverside Surgical Center of Newark, LLC | NJ | NIA | SCA-Riverside Partners, LLC | Ownership | 90.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-0857176 | | | | Robert B. McBeath, M.D. II, P.C. | NV | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-2662506 | | | | Robert B. McBeath, M.D. III, P.C. | NV | NIA | Robert B. McBeath, M.D. II, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 88-0310956 | | | | Robert B. McBeath, M.D., Professional Corporation | NV | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 04-3713185 | | | | Rockville Eye Surgery Center, LLC | MD | NIA | SCA Palisades Holdings, LLC | Ownership | 55.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95482 | 84-0614905 | | | | Rocky Mountain Health Maintenance Organization, Incorporated | CO | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-1224718 | | | | Rocky Mountain HealthCare Options, Inc. | CO | NIA | Rocky Mountain Health Maintenance Organization, Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-0521940 | | | | Sacred Heart ASC, LLC | FL | NIA | SVHS-SCA Florida JV, LLC | Ownership | 55.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Saden S.A. | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 0.077 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Saden S.A. | CHL | NIA | Banmédica S.A. | Ownership | 99.923 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2734753 | | | | Salem JV Holdings, LLC | DE | NIA | Surgicare of Salem, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-2462470 | | | | Salem Surgery Center, LLC | OR | NIA | Surgicare of Salem, LLC | Ownership | 75.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-2462470 | | | | Salem Surgery Center, LLC | OR | NIA | SC Affiliates, LLC | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2219585 | | | | Salveo Specialty Pharmacy, Inc. | DE | NIA | OptumRx Administrative Services, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | San Bernardino Medical Group, Inc. | CA | NIA | NAMM Medical Group Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 77-0322251 | | | | San Diego Endoscopy Center | CA | NIA | Endoscopy Center Affiliates, Inc. | Ownership | 35.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 27-3787593 | | | | Sand Lake SurgiCenter, LLC | FL | NIA | SCA-Sand Lake, LLC | Ownership | 51.455 | UnitedHealth Group Incorporated | | |
| | | 00000 | 91-2127820 | | | | Santa Cruz Endoscopy Center, LLC | CA | NIA | SCA Pacific Holdings, Inc. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Santa Helena Assistência Médica S.A. | BRA | NIA | Elual Participações S.A. | Ownership | 22.292 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Santa Helena Assistência Médica S.A. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 77.708 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Santos Administração e Participações S.A. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-1941832 | | | | Sanvello Behavioral Health Services of Michigan, P.C. | DE | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-0666386 | | | | Sanvello Behavioral Health Services of New Jersey, P.C. | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-1959641 | | | | Sanvello Behavioral Health Services of North Carolina, P.C. | NC | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-3152209 | | | | Sanvello Behavioral Health Services of Texas, P.A. | TX | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-1754732 | | | | Sanvello Behavioral Health Services, P.A. | DE | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-3057919 | | | | Sanvello Health Holdings, LLC | DE | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1920345 | | | | Sanvello Health Inc. | DE | NIA | Sanvello Health Holdings, LLC | Ownership | 14.750 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1920345 | | | | Sanvello Health Inc. | DE | NIA | OptumHealth Holdings, LLC | Ownership | 14.210 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Sanvello Health Limited | GBR | NIA | Sanvello Health Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1149229 | | | | SC Affiliates, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-1452226 | | | | SCA AHN JV Holdings, LLC | DE | NIA | SCA ESSC Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 92-0080881 | | | | SCA Alaska Surgery Center, Inc. | AK | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5537316 | | | | SCA Athens, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | 00000 | 47-5014406 | | | | SCA Austin Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5051685 | | | | SCA Austin Medical Center Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2723465 | | | | SCA Bloomfield Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4230864 | | | | SCA BOSC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5030792 | | | | SCA Cedar Park Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-4338108 | | | | SCA Clifton, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4043847 | | | | SCA Cottonwood Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 04-3170801 | | | | SCA Danbury Surgical Center, LLC | DE | NIA | SunSurgery, LLC | Ownership | 63.013 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2019401 | | | | SCA Denver Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1535981 | | | | SCA Development, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3450755 | | | | SCA Duluth Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-1889923 | | | | SCA Duncanville Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-1855507 | | | | SCA Duncanville MSO, LLC | TX | NIA | SCA Duncanville Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | SCA eCode Solutions Private Limited | IND | NIA | eCode Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-3781364 | | | | SCA Englewood Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-1452517 | | | | SCA ESSC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2064446 | | | | SCA Global One Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3472510 | | | | SCA Greenway Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 85-4003008 | | | | SCA Grove Creek Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2734387 | | | | SCA Guilford Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5064834 | | | | SCA Hays Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2735871 | | | | SCA Heartland Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-1735298 | | | | SCA High Point Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4869243 | | | | SCA HoldCo, Inc. | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1664837 | | | | SCA Holding Company, Inc. | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1256943 | | | | SCA Holdings, Inc. | CA | NIA | National Surgery Centers, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4240475 | | | | SCA IEC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2684108 | | | | SCA Indiana Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2044403 | | | | SCA Lutheran Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3425387 | | | | SCA Maple Grove Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 85-2302375 | | | | SCA Mohawk Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4043674 | | | | SCA Northern Utah Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2527319 | | | | SCA Northwest Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1505276 | | | | SCA of Clarksville, Inc. | TN | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2065454 | | | | SCA Outside New Jersey, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1707364 | | | | SCA Pacific Holdings, Inc. | CA | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-1370857 | | | | SCA Palisades Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1686425 | | | | SCA Pennsylvania Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0817999 | | | | SCA Pinnacle Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 72-1386840 | | | | SCA Premier Surgery Center of Louisville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | SCA Providence Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-5211225 | | | | SCA Rockledge JV, LLC | DE | NIA | SCA-Merritt, LLC | Ownership | 94.874 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-5211225 | | | | SCA Rockledge JV, LLC | DE | NIA | Endoscopy Center Affiliates, Inc. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4252645 | | | | SCA ROCS Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 45-4252645 | | | | SCA ROCS Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4252645 | | | | SCA ROCS Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4252645 | | | | SCA ROCS Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3689385 | | | | SCA Sage Medical MSO, LLC | DE | NIA | SCA Sage Medical, LLC | Ownership | 26.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 84-3673924 | | | | SCA Sage Medical, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2811475 | | | | SCA San Diego Holdings, LLC | DE | NIA | SCA-San Diego, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2235871 | | | | SCA Skyway Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4002809 | | | | SCA South Ogden Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4928368 | | | | SCA Southwestern PA, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-3165040 | | | | SCA Specialists of Florida, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2786147 | | | | SCA Specialty Holdings of Connecticut, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2235642 | | | | SCA Spectrum Health Partners, LLC | MI | NIA | SCA Spectrum Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2811679 | | | | SCA Spectrum Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-4266502 | | | | SCA SSSC Holdings, LLC | DE | NIA | National Surgery Centers, LLC | Ownership | 25.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5038680 | | | | SCA Stonegate Holdings, LLC | DE | NIA | SCA Austin Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2602268 | | | | SCA Surgery Holdings, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2501088 | | | | SCA Surgicare of Laguna Hills, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3263993 | | | | SCA West Health Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4150186 | | | | SCA Westgreen Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 68.628 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3491574 | | | | SCA Woodbury Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 74-2555097 | | | | SCA-Albuquerque Surgery Properties, Inc. | NM | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1807383 | | | | SCA-Alliance, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-4763869 | | | | SCA-Anne Arundel, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2703057 | | | | SCA-AppleCare Partners, LLC | DE | NIA | SCA-Downey, LLC | Ownership | 69.999 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3857984 | | | | SCA-Bethesda, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 90.161 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1530120 | | | | SCA-Blue Ridge, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1529292 | | | | SCA-Bonita Springs, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 80.392 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1980137 | | | | SCA-Brandon, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1094012 | | | | SCA-Castle Rock, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 68.736 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1535510 | | | | SCA-Central Florida, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 53.364 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-1709758 | | | | SCA-Charleston, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-4511713 | | | | SCA-Chatham, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3301058 | | | | SCA-Chevy Chase, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1516306 | | | | SCA-Citrus, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1541326 | | | | SCA-Colonial Partners, LLC | DE | NIA | SCA-Ft. Myers, LLC | Ownership | 69.698 | UnitedHealth Group Incorporated | | |
| | | 00000 | 93-1047471 | | | | SCA-Colorado Springs, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1585952 | | | | SCA-Connecticut Partners, LLC | DE | NIA | SunSurgery, LLC | Ownership | 29.410 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 47-4028383 | | | | SCA-Davenport, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-2003112 | | | | SCA-Denver Physicians Holdings, LLC | CO | NIA | SCA-Denver, LLC | Ownership | 54.425 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-2997638 | | | | SCA-Denver, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1470227 | | | | SCA-Derry, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 78.873 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3734814 | | | | SCA-Doral, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-3055579 | | | | SCA-Downey, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1093886 | | | | SCA-Dry Creek, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1594261 | | | | SCA-Dublin, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3301305 | | | | SCA-Encinitas, Inc. | DE | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1541235 | | | | SCA-Eugene, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2805878 | | | | SCA-First Coast, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1551099 | | | | SCA-Florence, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 93-1067967 | | | | SCA-Fort Collins, Inc. | CO | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1502719 | | | | SCA-Fort Walton, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 47-3641516 | | | | SCA-Franklin, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2727879 | | | | SCA-Frederick, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3535257 | | | | SCA-Freeway Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4907566 | | | | SCA-Ft. Myers, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1547690 | | | | SCA-Gainesville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1956407 | | | | SCA-Gladiolus, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-4156034 | | | | SCA-Glenwood Holdings, LLC | DE | NIA | Glenwood-SC, Inc. | Ownership | 79.447 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1438326 | | | | SCA-Grants Pass, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2376695 | | | | SCA-Grove Place, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 73.754 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-3466283 | | | | SCA-Hagerstown, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4605501 | | | | SCA-Hamden, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-5229514 | | | | SCA-Hilton Head, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1506650 | | | | SCA-Honolulu, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3445356 | | | | SCA-Houston Executive, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1666861 | | | | SCA-Illinois, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-3930052 | | | | SCA-IT Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-3090526 | | | | SCA-JPM Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1102109 | | | | SCA-Kissing Camels Holdings, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4292506 | | | | SCA-Main Street, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1751165 | | | | SCA-Marina del Rey, LLC | CA | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1367813 | | | | SCA-MC VBP, Inc. | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1184188 | | | | SCA-Mecklenburg Development Corp. | NC | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4616104 | | | | SCA-Memorial City, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-3709010 | | | | SCA-Memorial, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1771410 | | | | SCA-Merritt, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-2455773 | | | | SCA-Midlands, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2976543 | | | | SCA-Midway Management, LLC | IL | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 80-0070617 | | | | SCA-Mobile, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1065674 | | | | SCA-Mokena, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | SCA-Morris Avenue, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-4936803 | | | | SCA-Morris County, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1506655 | | | | SCA-Mt. Pleasant, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2850365 | | | | SCA-Naperville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3002171 | | | | SCA-Naples, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4418919 | | | | SCA-New Jersey, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1760663 | | | | SCA-Newport Beach, LLC | CA | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3445510 | | | | SCA-Palm Beach MSO Holdings, LLC | DE | NIA | SunSurgery, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3050836 | | | | SCA-Palm Beach, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3367495 | | | | SCA-Panama City Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1538850 | | | | SCA-Paoli, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2785908 | | | | SCA-Phoenix, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1620189 | | | | SCA-Pocono, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4139195 | | | | SCA-Portland, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-4121074 | | | | SCA-Practice Partners Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4304317 | | | | SCA-River Valley, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2111732 | | | | SCA-Riverside Partners, LLC | DE | NIA | SCA-Riverside, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2721919 | | | | SCA-Riverside, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1647512 | | | | SCA-Rockville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0563422 | | | | SCA-Sacred Heart Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2963561 | | | | SCA-San Diego, Inc. | DE | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 94-3138088 | | | | SCA-San Luis Obispo, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-1685878 | | | | SCA-Sand Lake, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 79.594 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0185362 | | | | SCA-Santa Rosa, Inc. | NV | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3300613 | | | | SCA-Somerset, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 22-3117714 | | | | SCA-South Jersey, LLC | DE | NIA | Virtua-SCA Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 47-2676325 | | | | SCA-Sparta, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-4499088 | | | | SCA-Spartanburg Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-4677051 | | | | SCA-St. Louis Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4106989 | | | | SCA-St. Louis, LLC | DE | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3270591 | | | | SCA-St. Lucie, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4371453 | | | | SCA-Surgicare, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2455241 | | | | SCA-SwiftPath, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1987225 | | | | SCA-Verta, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-1998338 | | | | SCA-VLR Holdings Company, LLC | DE | NIA | SCA Denver Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-2294882 | | | | SCA-Wake Forest, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1449412 | | | | SCA-Western Connecticut, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 67.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3689825 | | | | SCA-Westover Hills, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-3082789 | | | | SCA-Wilmington, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-2890788 | | | | SCA-Wilson, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-1159878 | | | | SCA-Winchester, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1525777 | | | | SCA-Winter Park, Inc. | TN | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3528300 | | | | SCA-Woodlands Holdings, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4905342 | | | | SCAI Holdings, LLC | DE | NIA | SCA HoldCo, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Scanner Centromed S.A. | CHL | NIA | Administradora Médica Centromed S.A. | Ownership | 84.500 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2316290 | | | | SCLHS-SCA Holdings, LLC | DE | NIA | SCA Lutheran Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2635371 | | | | SCP Specialty Infusion, LLC | DE | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1202716 | | | | Seisa Serviços Integrados de Saúde Ltda. | BRA | NIA | Amil Assistência Médica Internacional S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-0739432 | | | | Senior Benefits, L.L.C. | AZ | NIA | USHEALTH Advisors, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1533951 | | | | Serquinox Holdings LLC | DE | NIA | Optum Infusion Services 500, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios de Entrenamiento en Competencias Clínicas Ltda. | CHL | NIA | Sociedad de Inversiones Santa María SpA | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios de Entrenamiento en Competencias Clínicas Ltda. | CHL | NIA | Clínica Santa María S.p.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Integrados de Salud Ltda. | CHL | NIA | Clínica Santa María S.p.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Integrados de Salud Ltda. | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Amed Quilpué S.A. | CHL | NIA | Laboratorios Médicos Amed Quilpué S.A. | Ownership | 0.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Amed Quilpué S.A. | CHL | NIA | Centromed Quilpué S.A. | Ownership | 99.900 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Bío Bío Ltda. | CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Bío Bío Ltda. | CHL | NIA | Clínica Bío Bío SpA | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Ciudad del Mar Ltda. | CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Ciudad del Mar Ltda. | CHL | NIA | Clínica Ciudad del Mar S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Santa María Ltda. | CHL | NIA | Sociedad de Inversiones Santa María SpA | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Santa María Ltda. | CHL | NIA | Clínica Santa María S.p.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Vespucio Ltda. | CHL | NIA | Saden S.A. | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Servicios Médicos Vespucio Ltda. | CHL | NIA | Clínica Vespucio S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-1978974 | | | | SHC Atlanta, LLC | DE | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2013443 | | | | SHC Austin, Inc. | GA | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2062812 | | | | SHC Hawthorn, Inc. | GA | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-2101924 | | | | SHC Melbourne, Inc. | GA | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3922528 | | | | Sierra Dental Plan, Inc. | CA | NIA | MCNA Health Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 71420 | 94-0734860 | | | | Sierra Health and Life Insurance Company, Inc. | NV | IA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0200415 | | | | Sierra Health Services, Inc. | NV | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0254322 | | | | Sierra Health-Care Options, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0385705 | | | | Sierra Home Medical Products, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 88-0264562 | | | | Sierra Nevada Administrators, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | | | | | Sistema de Administración Hospitalaria S.A.C. | PER | NIA | Pacífico S.A. Entidad Prestadora de Salud | Ownership | 99.870 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1485491 | | | | SJ East Campus ASC, LLC | CO | NIA | SCLHS-SCA Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1004228 | | | | Small Business Insurance Advisors, Inc. | TX | NIA | USHEALTH Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Sobam – Centro Médico Hospitalar S.A. | BRA | NIA | Santa Helena Assistência Médica S.A. | Ownership | 98.088 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Sobam – Centro Médico Hospitalar S.A. | BRA | NIA | CMO – Centro Médico de Oftalmologia S/S Ltda. | Ownership | 1.912 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1453235 | | | | Sociedad de Inversiones Santa María SpA | CHL | NIA | Clínica Santa María S.p.A. | Ownership | 99.743 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1453235 | | | | Sociedad de Inversiones Santa María SpA | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 0.257 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1462570 | | | | Sociedad Editorial para la Ciencia Ltda. | COL | NIA | Constructora Inmobiliaria Magapoq S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1462570 | | | | Sociedad Editorial para la Ciencia Ltda. | COL | NIA | Banmédica S.A. | Ownership | 76.290 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-0729622 | | | | Solstice Administration Services, Inc. | FL | NIA | Solstice Benefits, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0760846 | | | | Solstice Administrators of Alabama, Inc. | AL | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2657270 | | | | Solstice Administrators of Arizona, Inc. | AZ | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0392448 | | | | Solstice Administrators of Missouri, Inc. | MO | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0756426 | | | | Solstice Administrators of North Carolina, Inc. | NC | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4743087 | | | | Solstice Administrators of South Carolina, Inc. | SC | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 90-0880348 | | | | Solstice Administrators of Texas, Inc. | TX | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4839323 | | | | Solstice Administrators, Inc. | CA | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-4815388 | | | | Solstice Benefit Services, Inc. | FL | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 12341 | 14-1917982 | | | | Solstice Benefits, Inc. | FL | IA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 13971 | 27-1395245 | | | | Solstice Health Insurance Company | NY | IA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 16610 | 30-1200533 | | | | Solstice Healthplans of Arizona, Inc. | AZ | IA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2709791 | | | | Solstice Healthplans of Colorado, Inc. | CO | IA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1042329 | | | | Solstice Healthplans of New Jersey, Inc. | NJ | NIA | Solstice Benefits, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 16878 | 30-1190514 | | | | Solstice Healthplans of Ohio, Inc. | OH | IA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0583241 | | | | Solstice Healthplans of Tennessee, Inc. | TN | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 16892 | 36-4935990 | | | | Solstice Healthplans of Texas, Inc. | TX | IA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3786700 | | | | Solstice Healthplans, Inc. | FL | IA | Solstice Benefits, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 16790 | 26-2699505 | | | | Solstice of Illinois, Inc. | IL | IA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 32-0545152 | | | | Solstice of Minnesota, Inc. | MN | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1891960 | | | | Solstice of New York, Inc. | NY | NIA | Solstice Administration Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1593424 | | | | Solutran, LLC | DE | NIA | Optum Financial, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8433398 | | | | Somerset Outpatient Surgery, L.L.C. | NJ | NIA | SCA-Somerset, LLC | Ownership | 60.888 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2723958 | | | | South Arlington Surgical Providers, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0743767 | | | | Southern California Medical Practice Concepts, LLC | CA | NIA | Riverside Electronic Healthcare Resources, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 88-0201420 | | | | Southwest Medical Associates, Inc. | NV | NIA | Sierra Health Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-2609888 | | | | Southwest Michigan Health Network Inc. | MI | NIA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4369359 | | | | Southwest Surgery Center, LLC | IL | NIA | SCA-Mokena, LLC | Ownership | 54.299 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2516426 | | | | Space Coast Surgical Center, Ltd. | FL | NIA | SCA Rockledge JV, LLC | Ownership | 52.191 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2516426 | | | | Space Coast Surgical Center, Ltd. | FL | NIA | Endoscopy Center Affiliates, Inc. | Ownership | 0.889 | UnitedHealth Group Incorporated | | |
| | | 00000 | 57-0953005 | | | | Spartanburg Surgery Center, LLC | SC | NIA | SCA-Spartanburg Holdings, LLC | Ownership | 10.700 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 55-0790742 | | | | Specialists in Urology Surgery Center, LLC | FL | NIA | SCA Specialists of Florida, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 25-1868213 | | | | Specialized Pharmaceuticals, Inc. | PA | NIA | QoL Acquisition Holdings Corp. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1921983 | | | | Specialty Benefits, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1494993 | | | | Specialty Billing Solutions, LLC | CO | NIA | Pinnacle III, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3412545 | | | | Specialty Surgical Center, LLC | NJ | NIA | SCA-Sparta, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 71-0886811 | | | | Spectera of New York, IPA, Inc. | NY | NIA | Spectera, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1260282 | | | | Spectera, Inc. | MD | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2207319 | | | | Spectrum Health Orthopedic Surgery Center, LLC | MI | NIA | SCA Spectrum Health Partners, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 20-4615218 | | | | Sports and Spinal Physical Therapy, Inc. | DC | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1770924 | | | | SRPS, LLC | DE | NIA | Progressive Enterprises Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2201400 | | | | St. Cloud Outpatient Surgery, Ltd., a Minnesota Limited Partnership | MN | NIA | St. Cloud Surgical Center, LLC | Ownership | 17.371 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 41-1348916 | | | | St. Cloud Surgical Center, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1748081 | | | | St. Louis Cardiovascular Institute, LLC | MO | NIA | SCA-St. Louis Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1754451 | | | | St. Louis Specialty Surgical Center, LLC | MO | NIA | SCA-St. Louis Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2023852 | | | | Stonegate JV Partners, LLC | TX | NIA | SCA Stonegate Holdings, LLC | Ownership | 39.216 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 20-1211544 | | | | Stonegate Surgery Center, L.P. | TX | NIA | Stonegate JV Partners, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1211544 | | | | Stonegate Surgery Center, L.P. | TX | NIA | SCA Stonegate Holdings, LLC | Ownership | 12.335 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4990107 | | | | Summer Street ASC, LLC | CT | NIA | SCA Specialty Holdings of Connecticut, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 06-1082848 | | | | SunSurgery, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-5164277 | | | | Surgery Center at Cherry Creek, LLC | CO | NIA | SCA-Denver Physicians Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4085692 | | | | Surgery Center at Cottonwood, LLC | UT | NIA | SCA Cottonwood Holdings, LLC | Ownership | 55.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4085559 | | | | Surgery Center at Grove Creek, LLC | UT | NIA | SCA Grove Creek Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-5207693 | | | | Surgery Center at Kissing Camels, LLC | CO | NIA | SCA-Kissing Camels Holdings, LLC | Ownership | 68.600 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4070407 | | | | Surgery Center at South Ogden, LLC | UT | NIA | SCA South Ogden Holdings, LLC | Ownership | 55.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-3129674 | | | | Surgery Center at St. Vincent, LLC | OR | NIA | Providence & SCA On-Campus Holdings, LLC | Ownership | 57.700 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 62-1739361 | | | | Surgery Center Holding, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1509341 | | | | Surgery Center of Boca Raton, Inc. | FL | NIA | Surgical Health, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1214140 | | | | Surgery Center of Colorado Springs, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 42-1166764 | | | | Surgery Center of Des Moines, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 72-1349752 | | | | Surgery Center of Easton, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1212213 | | | | Surgery Center of Ellicott City, Inc. | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-1733152 | | | | Surgery Center of Fairfield County, LLC | DE | NIA | SCA-Connecticut Partners, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 62-1179539 | | | | Surgery Center of Lexington, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 62-1179537 | | | | Surgery Center of Louisville, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1231944 | | | | Surgery Center of Maui, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-2600045 | | | | Surgery Center of Mt. Scott, LLC | OR | NIA | Providence & SCA Off-Campus Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 63-1194204 | | | | Surgery Center of Muskogee, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 11-3701564 | | | | Surgery Center of Rockville, L.L.C. | MD | NIA | SCA-Rockville, LLC | Ownership | 59.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1212214 | | | | Surgery Center of Southern Pines, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-3448050 | | | | Surgery Center of The Woodlands, LLC | TX | NIA | SCA-Woodlands Holdings, LLC | Ownership | 54.790 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Surgery Center of Wilmington Properties, LLC | NC | NIA | Surgery Center of Wilmington, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Surgery Center of Wilmington, LLC | NC | NIA | SCA-Wilmington, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1767806 | | | | Surgery Center of Wilson, LLC | NC | NIA | SCA-Wilson, LLC | Ownership | 76.450 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-3562598 | | | | Surgery Centers of Des Moines, Ltd., an Iowa Limited Partnership | IA | NIA | Surgery Center of Des Moines, LLC | Ownership | 68.750 | UnitedHealth Group Incorporated | | |
| | | 00000 | 68-0282268 | | | | Surgery Centers-West Holdings, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8922307 | | | | Surgical Care Affiliates, LLC | DE | NIA | SCAI Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2971080 | | | | Surgical Care Partners of Melbourne, LLC | DE | NIA | SHC Melbourne, Inc. | Ownership | 76.164 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-1925497 | | | | Surgical Caregivers of Fort Worth, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1251243 | | | | Surgical Center of Greensboro, LLC | NC | NIA | NSC Greensboro, LLC | Ownership | 35.716 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 22-2709324 | | | | Surgical Center of South Jersey, Limited Partnership | NJ | NIA | SCA-South Jersey, LLC | Ownership | 64.598 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 22-2709324 | | | | Surgical Center of South Jersey, Limited Partnership | NJ | NIA | SC Affiliates, LLC | Ownership | 5.886 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 63-1138507 | | | | Surgical Center of Tuscaloosa Holdings, LLC | AL | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 65-1321064 | | | | Surgical Eye Experts, LLC | MA | NIA | Reliant Medical Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 83-3113263 | | | | Surgical Health Of Orlando, LLC | FL | NIA | Surgical Health, LLC | Ownership | 79.802 | UnitedHealth Group Incorporated | | |
| | | 00000 | 58-1941168 | | | | Surgical Health, LLC | DE | NIA | Surgical Care Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0168681 | | | | Surgical Hospital Holdings of Oklahoma, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 84-4983977 | | | | Surgical Management Solutions, LLC | DE | NIA | SC Affiliates, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 64-0629000 | | | | Surgicare of Jackson, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2162993 | | | | Surgicare of Jackson, Ltd., a Mississippi Limited Partnership | MS | NIA | Surgicare of Jackson, LLC | Ownership | 40.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 75-2287141 | | | | Surgicare of Joliet, Inc. | IL | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2501191 | | | | Surgicare of La Veta, Inc. | CA | NIA | Surgery Centers-West Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2507129 | | | | Surgicare of La Veta, Ltd., a California Limited Partnership | CA | NIA | Beach Surgical Holdings III, LLC | Ownership | 30.666 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 41-1437636 | | | | Surgicare of Minneapolis, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1624905 | | | | Surgicare of Minneapolis, Ltd., a Minnesota Limited Partnership | MN | NIA | Surgicare of Minneapolis, LLC | Ownership | 21.622 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 75-2459713 | | | | Surgicare of Mobile, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2459715 | | | | Surgicare of Mobile, Ltd. | AL | NIA | Surgicare of Mobile, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 75-2448926 | | | | Surgicare of Oceanside, Inc. | CA | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2184730 | | | | Surgicare of Owensboro, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2200171 | | | | Surgicare of Salem, LLC | DE | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-1975122 | | | | Surgicare, LLC | IN | NIA | SCA-Surgicare, LLC | Ownership | 53.684 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-3329855 | | | | Surgicenters of Southern California, Inc. | CA | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0571986 | | | | SVHS-SCA Florida JV, LLC | DE | NIA | SCA-Sacred Heart Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1536748 | | | | Symphonix Health Holdings LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 93-1172065 | | | | Talbert Medical Group, P.C. | CA | NIA | HealthCare Partners Affiliates Medical Group | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 47-4751035 | | | | TeamMD Holdings, Inc. | DE | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4850893 | | | | TeamMD Iowa, Inc. | DE | NIA | TeamMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2584893 | | | | TeamMD Physicians of Texas, Inc. | TX | NIA | TeamMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 42-1446216 | | | | TeamMD Physicians, P.C. | IA | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 82-1019055 | | | | TeamUP Insurance Services, Inc. | CA | NIA | HealthMarkets Insurance Agency, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Tecnología de Información en Salud S.A. | CHL | NIA | Clínica Santa María S.p.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Tecnología de Información en Salud S.A. | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 50.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 38-3897811 | | | | Texas Health Craig Ranch Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.500 | UnitedHealth Group Incorporated | | |
| | | 00000 | 80-0866449 | | | | Texas Health Flower Mound Orthopedic Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-4977249 | | | | Texas Health Orthopedic Surgery Center Alliance, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-2296081 | | | | Texas Health Surgery Center Alliance, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 73.583 | UnitedHealth Group Incorporated | | |
| | | 00000 | 82-1307876 | | | | Texas Health Surgery Center Bedford, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.406 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-1225852 | | | | Texas Health Surgery Center Chisholm Trail, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 56.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1085415 | | | | Texas Health Surgery Center Irving, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-0781259 | | | | Texas Health Surgery Center Las Colinas, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3991622 | | | | Texas Health Surgery Center Preston Plaza, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 55.199 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4425996 | | | | Texas Health Surgery Center Rockwall, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3814490 | | | | Texas Health Surgery Center Southwest Fort Worth, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 22.449 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1464243 | | | | Texas Health Surgery Center Waxahachie, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 57.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-1953918 | | | | Texas Health Surgery Center Willow Park, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-1468699 | | | | The Advisory Board Company | DE | NIA | OptumInsight, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 61832 | 52-0676509 | | | | The Chesapeake Life Insurance Company | OK | IA | HealthMarkets Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 91-0214500 | | | | The Everett Clinic, PLLC | WA | NIA | Everett Physicians, Inc. P.S. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 20-5562797 | | | | The Eye Surgery Center of the Carolinas, L.P. | NC | NIA | Surgery Center of Southern Pines, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 56-1970224 | | | | The Lewin Group, Inc. | NC | NIA | Optum Public Sector Solutions, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 61-1409045 | | | | The Outpatient Surgery Center of Hilton Head, LLC | SC | NIA | SCA-Hilton Head, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 83-1192367 | | | | The Polyclinic MSO, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 91-0369070 | | | | The Polyclinic, PLLC | WA | NIA | Polyclinic Holdings, P.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 72-1349755 | | | | The Surgery Center of Easton, L.P. | TN | NIA | Surgery Center of Easton, LLC | Ownership | 42.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 06-1552689 | | | | The Surgical Center of Connecticut, LLC | CT | NIA | SCA-Main Street, LLC | Ownership | 20.936 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 37-1446353 | | | | The Surgical Center of the Treasure Coast, L.L.C. | FL | NIA | SCA-St. Lucie, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-1579919 | | | | Thomas Johnson Surgery Center, LLC | MD | NIA | SCA-Frederick, LLC | Ownership | 60.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 25-1825549 | | | | Three Rivers Holdings, Inc. | DE | NIA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1194203 | | | | Three Rivers Surgical Care, L.P. | TN | NIA | Surgery Center of Muskogee, LLC | Ownership | 14.904 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-1194203 | | | | Three Rivers Surgical Care, L.P. | TN | NIA | Muskogee Surgical Investors, LLC | Ownership | 85.096 | UnitedHealth Group Incorporated | | |
| | | 00000 | 59-3143128 | | | | Tmesys, LLC | FL | NIA | PMSI Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1287904 | | | | Topimagem Diagnóstico por Imagem Ltda. | BRA | NIA | Esho – Empresa de Serviços Hospitalares S.A. | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-1528858 | | | | Trails Edge Surgery Center, LLC | FL | NIA | SCA-Bonita Springs, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-5482620 | | | | Trauma Surgery Affiliates, LLC | TX | NIA | National Surgery Centers, LLC | Ownership | 49.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 52-1431155 | | | | Travel Express Incorporated | MD | NIA | FrontierMEDEX, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2613170 | | | | Treasure Valley Emerald Properties, LLC | DE | NIA | Treasure Valley Hospital Limited Partnership | Ownership | 100.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 33-0592165 | | | | Treasure Valley Hospital Limited Partnership | ID | NIA | Surgical Health, LLC | Ownership | 38.495 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 46-5530255 | | | | Trio Motion, LLC | DE | NIA | Optum Labs, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0226127 | | | | Triple Aim Partners Holdings, Inc. | DE | NIA | Optum, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3719654 | | | | Triple Aim Partners, LLC | DE | NIA | Triple Aim Partners Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 15737 | 47-3788473 | | | | Tufts Health Freedom Insurance Company | NH | IA | Tufts Health Freedom Plans, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0858646 | | | | Tufts Health Freedom Plans, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 63-0974161 | | | | Tuscaloosa Surgical Center, L.P. | AL | NIA | Surgical Center of Tuscaloosa Holdings, LLC | Ownership | 30.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 94-3077084 | | | | U.S. Behavioral Health Plan, California | CA | IA | United Behavioral Health | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1388279 | | | | UHC Finance (Ireland) Unlimited Company | IRL | NIA | UnitedHealth Group International Finance (Ireland) Unlimited Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1913059 | | | | UHC International Services, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 95-2931460 | | | | UHC of California | CA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1103713 | | | | UHCG – FZE | ARE | NIA | UnitedHealthcare Global Medical (UK) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1372063 | | | | UHCG Holdings (Ireland) Limited | IRL | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1372064 | | | | UHCG Services (Ireland) Limited | IRL | NIA | UHCG Holdings (Ireland) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1122490 | | | | UHG Brasil Participações S.A. | BRA | NIA | Polar II Fundo de Investimento em Participações Multiestrategia | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-0559902 | | | | UHG Holdings UK IV Limited | GBR | NIA | Bordeaux UK Holdings I Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1249178 | | | | UHG Holdings UK V Limited | GBR | NIA | UHG Holdings UK IV Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1390073 | | | | UHG Holdings UK VI Limited | GBR | NIA | UnitedHealthcare International III S.á r.l. | Ownership | 99.999 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1390073 | | | | UHG Holdings UK VI Limited | GBR | NIA | CentrifyHealth, LLC | Ownership | 0.001 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1921008 | | | | UHIC Holdings, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2922396 | | | | UICI Funding Corp. 2 | DE | NIA | HealthMarkets, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 39-1995276 | | | | UMR, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444648 | | | | Unidad Médica Diagnóstico S.A. | COL | NIA | Colmedica Medicina Prepagada S.A. | Ownership | 92.380 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1444648 | | | | Unidad Médica Diagnóstico S.A. | COL | NIA | Banmédica Internacional SpA | Ownership | 7.620 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 91529 | 52-1996029 | | | | Unimerica Insurance Company | WI | IA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0707 | UnitedHealth Group Incorporated | 11596 | 01-0637149 | | | | Unimerica Life Insurance Company of New York | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5917714 | | | | Unison Health Plan of Delaware, Inc. | DE | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 94-2649097 | | | | United Behavioral Health | CA | NIA | OptumHealth Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1868911 | | | | United Behavioral Health of New York, I.P.A., Inc. | NY | NIA | United Behavioral Health | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2583080 | | | | United Group Reinsurance, Inc. | TCA | NIA | HealthMarkets, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1289245 | 3410132 | | | United HealthCare Services, Inc. | MN | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2601147 | | | | United Management Services, Inc. | NY | NIA | HealthMarkets, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 30-0318238 | | | | United Resource Networks IPA of New York, Inc. | NY | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 01-0538317 | | | | UnitedHealth Advisors, LLC | ME | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1321939 | 4665014 | 0000731766 | New York Stock Exchange | UnitedHealth Group Incorporated | DE | UIP | Self | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1422474 | | | | UnitedHealth Group International Finance (Ireland) Unlimited Company | IRL | NIA | UnitedHealthcare Europe S.á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-3188598 | | | | UnitedHealth Group International Finance LLC | DE | NIA | UHG Holdings UK IV Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3311984 | | | | UnitedHealth Group Ventures, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1917398 | | | | UnitedHealth International, Inc. | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-2574977 | | | | UnitedHealth Military & Veterans Services, LLC | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95174 | 33-0115163 | | | | UnitedHealthcare Benefits of Texas, Inc. | TX | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-3221444 | | | | UnitedHealthcare Benefits Plan of California | CA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-4348775 | | | | UnitedHealthcare Community Plan of California, Inc. | CA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 13168 | 26-2688274 | | | | UnitedHealthcare Community Plan of Georgia, Inc. | GA | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 12323 | 56-2451429 | | | | UnitedHealthcare Community Plan of Ohio, Inc. | OH | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 11141 | 91-2008361 | | | | UnitedHealthcare Community Plan of Texas, L.L.C. | TX | IA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95467 | 38-3204052 | | | | UnitedHealthcare Community Plan, Inc. | MI | RE | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1200034 | | | | UnitedHealthcare Consulting & Assistance Service (Beijing) Co., Ltd. | CHN | NIA | UnitedHealthcare International I B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1199879 | | | | UnitedHealthcare Europe S.á r.l. | LUX | NIA | Bordeaux UK Holdings II Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1104429 | | | | UnitedHealthcare Global Canada Limited | CAN | NIA | UnitedHealthcare International I B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1029201 | | | | UnitedHealthcare Global Medical (UK) Limited | GBR | NIA | UnitedHealthcare International I B.V. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 79413 | 36-2739571 | | | | UnitedHealthcare Insurance Company | CT | IA | UHIC Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 84549 | 38-2044243 | | | | UnitedHealthcare Insurance Company of America | IL | IA | Symphonix Health Holdings LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 60318 | 36-3800349 | | | | UnitedHealthcare Insurance Company of Illinois | IL | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 60093 | 11-3283886 | | | | UnitedHealthcare Insurance Company of New York | NY | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 12231 | 20-1902768 | | | | UnitedHealthcare Insurance Company of the River Valley | IL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1372065 | | | | UnitedHealthcare Insurance Designated Activity Company | IRL | NIA | UHCG Holdings (Ireland) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 86-0618309 | | | | UnitedHealthcare Integrated Services, Inc. | AZ | IA | Ovations, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1988797 | | | | UnitedHealthcare International Asia, LLC | DE | NIA | UnitedHealth Group Incorporated | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1100512 | | | | UnitedHealthcare International I B.V. | NLD | NIA | FrontierMEDEX US, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1079459 | | | | UnitedHealthcare International II S.á r.l. | LUX | NIA | Bordeaux UK Holdings I Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

# PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 98-1340853 | | | | UnitedHealthcare International III B.V. | NLD | NIA | UnitedHealthcare Europe S.á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1077436 | | | | UnitedHealthcare International III S.á r.l. | LUX | NIA | Optum Services (Ireland) Limited | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1080926 | | | | UnitedHealthcare International IV S.á r.l. | LUX | NIA | UnitedHealthcare International VIII S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1372060 | | | | UnitedHealthcare International VII S.à r.l. | LUX | NIA | UHC Finance (Ireland) Unlimited Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1372062 | | | | UnitedHealthcare International VIII S.à r.l. | LUX | NIA | UnitedHealthcare International X S.à r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443728 | | | | UnitedHealthcare International X S.à r.l. | LUX | NIA | UnitedHealthcare International III S.á r.l. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 97179 | 86-0207231 | | | | UnitedHealthcare Life Insurance Company | WI | IA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95784 | 63-0899562 | | | | UnitedHealthcare of Alabama, Inc. | AL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96016 | 86-0507074 | | | | UnitedHealthcare of Arizona, Inc. | AZ | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95446 | 63-1036819 | | | | UnitedHealthcare of Arkansas, Inc. | AR | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95090 | 84-1004639 | | | | UnitedHealthcare of Colorado, Inc. | CO | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95264 | 59-1293865 | | | | UnitedHealthcare of Florida, Inc. | FL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95850 | 58-1653544 | | | | UnitedHealthcare of Georgia, Inc. | GA | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95776 | 36-3280214 | | | | UnitedHealthcare of Illinois, Inc. | IL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96644 | 62-1240316 | | | | UnitedHealthcare of Kentucky, Ltd. | KY | IA | UnitedHealthcare, Inc. | Ownership | 5.828 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96644 | 62-1240316 | | | | UnitedHealthcare of Kentucky, Ltd. | KY | IA | United HealthCare Services, Inc. | Ownership | 94.172 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95833 | 72-1074008 | | | | UnitedHealthcare of Louisiana, Inc. | LA | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95716 | 63-1036817 | | | | UnitedHealthcare of Mississippi, Inc. | MS | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95149 | 05-0413469 | | | | UnitedHealthcare of New England, Inc. | RI | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 13214 | 26-2697886 | | | | UnitedHealthcare of New Mexico, Inc. | NM | IA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95085 | 06-1172891 | | | | UnitedHealthcare of New York, Inc. | NY | IA | AmeriChoice Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95103 | 56-1461010 | | | | UnitedHealthcare of North Carolina, Inc. | NC | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95186 | 31-1142815 | | | | UnitedHealthcare of Ohio, Inc. | OH | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96903 | 33-0115166 | | | | UnitedHealthcare of Oklahoma, Inc. | OK | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95893 | 93-0938819 | | | | UnitedHealthcare of Oregon, Inc. | OR | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95220 | 25-1756858 | | | | UnitedHealthcare of Pennsylvania, Inc. | PA | IA | Three Rivers Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 16740 | 35-2674992 | | | | UnitedHealthcare of South Carolina, Inc. | SC | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95765 | 95-3939697 | | | | UnitedHealthcare of Texas, Inc. | TX | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95025 | 52-1130183 | | | | UnitedHealthcare of the Mid-Atlantic, Inc. | MD | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95591 | 47-0676824 | | | | UnitedHealthcare of the Midlands, Inc. | NE | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 96385 | 43-1361841 | | | | UnitedHealthcare of the Midwest, Inc. | MO | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95501 | 41-1488563 | | | | UnitedHealthcare of Utah, Inc. | UT | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 48038 | 91-1312551 | | | | UnitedHealthcare of Washington, Inc. | WA | IA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95710 | 39-1555888 | | | | UnitedHealthcare of Wisconsin, Inc. | WI | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| 0707 | UnitedHealth Group Incorporated | 95378 | 36-3379945 | | | | UnitedHealthcare Plan of the River Valley, Inc. | IL | IA | UnitedHealthcare, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-0854646 | | | | UnitedHealthcare Service LLC | DE | NIA | UnitedHealthcare Insurance Company | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 01-0518346 | | | | UnitedHealthcare Specialty Benefits, LLC | ME | NIA | Specialty Benefits, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 41-1922511 | | | | UnitedHealthcare, Inc. | DE | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-0815205 | | | | Unity Health Network, LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 80.100 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4219191 | | | | UpFront Insurance Agency, LLC | MN | NIA | Optum Labs, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 83-4657754 | | | | Upland Holdings, LLC | CA | NIA | NSC Upland, LLC | Ownership | 58.991 | UnitedHealth Group Incorporated | | |
| | | 00000 | 33-0812827 | | | | Upland Outpatient Surgical Center, L.P. | CA | NIA | Upland Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-0382877 | | | | Urgent Care Holdings, Inc. | DE | NIA | Optum Clinics Intermediate Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3667220 | | | | Urgent Care MSO, LLC | DE | NIA | Urgent Care Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2613230 | | | | Urology Associates of North Texas, P.L.L.C. | TX | NIA | USMD Affiliated Services | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-3887008 | | | | USHEALTH Academy, Inc. | TX | NIA | USHEALTH Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-4163593 | | | | USHEALTH Administrators, LLC | DE | NIA | USHEALTH Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 26-3887598 | | | | USHEALTH Advisors, LLC | TX | NIA | USHEALTH Career Agency, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2192748 | | | | USHEALTH Career Agency, Inc. | DE | NIA | USHEALTH Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2225185 | | | | USHEALTH Funding, Inc. | DE | NIA | USHEALTH Group, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | 00000 | 73-1165000 | | | | USHEALTH Group, Inc. | DE | NIA | Golden Rule Financial Corporation | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-8048861 | | | | USMD Administrative Services, L.L.C. | TX | NIA | USMD Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2956222 | | | | USMD Affiliated Services | TX | NIA | USMD Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-2803133 | | | | USMD Diagnostic Services, LLC | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-2866866 | | | | USMD Holdings, Inc. | DE | NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 73-1662763 | | | | USMD Hospital at Arlington, L.P. | TX | NIA | MAT-RX DEVELOPMENT, L.L.C. | Ownership | 45.396 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 20-3571243 | | | | USMD Hospital at Fort Worth, L.P. | TX | NIA | MAT-RX DEVELOPMENT, L.L.C. | Ownership | 20.024 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 20-8050318 | | | | USMD Inc. | TX | NIA | USMD Holdings, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 73-1662757 | | | | USMD of Arlington GP, L.L.C. | TX | NIA | MAT-RX DEVELOPMENT, L.L.C. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 35-2446102 | | | | USMD PPM, LLC | TX | NIA | USMD Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 87-0757396 | | | | Valley Physicians Network, Inc. | CA | NIA | PrimeCare Medical Network, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-0780051 | | | | Vascular Labs of the Rockies ASC, LLC | DE | NIA | SCA-VLR Holdings Company, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 81-2820395 | | | | Via Vitae MSO, LLC | DE | NIA | OC Cardiology Practice Partners, LLC | Ownership | 70.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443076 | | | | Vida Integra S.p.A. | CHL | NIA | Saden S.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1443076 | | | | Vida Integra S.p.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Vida Tres S.A. | CHL | NIA | Clínica Dávila y Servicios Médicos S.p.A. | Ownership | 0.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | | | | | Vida Tres S.A. | CHL | NIA | Banmédica S.A. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-2778858 | | | | Virtua-SCA Holdings II, LLC | DE | NIA | SCA Outside New Jersey, LLC | Ownership | 45.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 47-4149749 | | | | Virtual Therapeutics Corporation | DE | NIA | Optum Labs, LLC | Ownership | 5.613 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4149749 | | | | Virtual Therapeutics Corporation | DE | NIA | United HealthCare Services, Inc. | Ownership | 36.552 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4149749 | | | | Virtual Therapeutics Corporation | DE | NIA | UnitedHealth Group Ventures, LLC | Ownership | 41.670 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-1453387 | | | | Vitucci, LCSW, P.C. | IL | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | | | | | Vivify Health Canada, Inc. | CAN | NIA | Vivify Health, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-1348358 | | | | Vivify Health, Inc. | DE | NIA | OptumHealth Care Solutions, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-1558718 | | | | VPay Benefits Corporation | TX | NIA | VPay, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-4390422 | | | | VPay Intermediate Holdings, LLC | DE | NIA | Optum Financial, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2405220 | | | | VPay, Inc. | TX | NIA | VPay Intermediate Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-4499777 | | | | Walnut Hill Surgery Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-3469841 | | | | Wauwatosa Outpatient Surgery Center, LLC | DE | NIA | Surgery Centers-West Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 36-3469839 | | | | Wauwatosa Surgery Center, LLC | DE | NIA | Wauwatosa Outpatient Surgery Center, LLC | Ownership | 20.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 20-5429310 | | | | Wayland Square Surgicare Acquisition, L.P. | RI | NIA | Surgery Center Holding, LLC | Ownership | 1.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 20-5429310 | | | | Wayland Square Surgicare Acquisition, L.P. | RI | NIA | SC Affiliates, LLC | Ownership | 99.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 75-2500274 | | | | Wayland Square Surgicare GP, Inc. | RI | NIA | Surgery Center Holding, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-2854394 | | | | Waypoint Minnesota PC | MN | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-2859426 | | | | Waypoint Minnesota Sports PC | MN | NIA | ProHEALTH Medical Management, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 84-2233329 | | | | WellMed Florida Medicare ACO, LLC | TX | NIA | WellMed Network of Florida, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-2178104 | | | | WellMed Greater Texas Medicare ACO, LLC | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 74-2574229 | | | | WellMed Medical Group, P.A. | TX | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 74-2797745 | | | | WellMed Medical Management of Florida, Inc. | FL | NIA | WellMed Medical Management, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 74-2786364 | | | | WellMed Medical Management, Inc. | TX | NIA | Collaborative Care Holdings, LLC | Ownership | 85.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 35-2314192 | | | | WellMed Network of Florida, Inc. | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 41-2250215 | | | | WellMed Networks - DFW, Inc. | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 74-2889447 | | | | WellMed Networks, Inc. | TX | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 92-0183013 | | | | WellMed of Las Cruces, Inc. | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-2193803 | | | | WellMed Tampa/Orlando Medicare ACO, LLC | TX | NIA | WellMed Network of Florida, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 84-2219968 | | | | WellMed Texas Medicare ACO, LLC | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-2809113 | | | | West Coast Endoscopy Holdings, LLC | DE | NIA | SCA Surgery Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 06-1521999 | | | | Western Connecticut Orthopedic Surgical Center, LLC | CT | NIA | SCA-Western Connecticut, LLC | Ownership | 58.911 | UnitedHealth Group Incorporated | | |
| | | 00000 | 47-4468184 | | | | Westgreen Surgical Center, LLC | TX | NIA | SCA Westgreen Holdings, LLC | Ownership | 51.001 | UnitedHealth Group Incorporated | | |
| | | 00000 | 85-3312235 | | | | WestHealth JV Holdings, LLC | DE | NIA | SCA West Health Holdings, LLC | Ownership | 30.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 37-1763155 | | | | WestHealth Surgery Center, LLC | MN | NIA | WestHealth JV Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 45-0636596 | | | | WESTMED Practice Partners LLC | DE | NIA | Collaborative Care Holdings, LLC | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 27-4816583 | | | | Wilson Creek Surgical Center, LLC | TX | NIA | Surgical Care Affiliates, LLC | Influence | 51.000 | UnitedHealth Group Incorporated | | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries Or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 00000 | 46-5548304 | | | | Winchester Endoscopy, LLC | IL | NIA | SCA-Winchester, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 62-1525776 | | | | Winter Park Surgery Center, L.P. | TN | NIA | SCA-Winter Park, Inc. | Ownership | 1.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 62-1525776 | | | | Winter Park Surgery Center, L.P. | TN | NIA | Winter Park, LLC | Ownership | 35.500 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 62-1587564 | | | | Winter Park, LLC | TN | NIA | SCA-Winter Park, Inc. | Ownership | 51.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 45-2158334 | | | | WND Medical, PLLC | TX | NIA | WellMed Networks, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 85-3366699 | | | | Woodbury Surgery Center, LLC | MN | NIA | SCA Woodbury Holdings, LLC | Ownership | 51.000 | UnitedHealth Group Incorporated | | 2 |
| | | 00000 | 45-4281054 | | | | XAS Infusion Suites, Inc. | TX | NIA | Diplomat Pharmacy, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 52-2102846 | | | | XLHealth Corporation | MD | NIA | United HealthCare Services, Inc. | Ownership | 100.000 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1107695 | | | | XLHealth Corporation India Private Limited | IND | NIA | XLHealth Corporation | Ownership | 99.998 | UnitedHealth Group Incorporated | | |
| | | 00000 | 98-1107695 | | | | XLHealth Corporation India Private Limited | IND | NIA | UnitedHealth International, Inc. | Ownership | 0.002 | UnitedHealth Group Incorporated | | |
| | | 00000 | 46-3537245 | | | | XLHome Michigan, P.C. | MI | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 45-5530241 | | | | XLHome Northeast, P.C. | NJ | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 46-2931689 | | | | XLHome Oklahoma, Inc. | OK | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |
| | | 00000 | 27-3543997 | | | | XLHome, P.C. | MD | NIA | Physician(s) Owned | Influence | 0.000 | UnitedHealth Group Incorporated | | 1 |

| Asterisk | Explanation |
|---|---|
| 1 | Represents a physician or physicians' owned entity (with respect to the ultimate controlling entity), where the entity is deemed to be influenced by the ultimate controlling entity. |
| 2 | Represents a minority-owned entity (with respect to the controlling entity), where the entity is deemed to be influenced by the ultimate controlling entity. |

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | | Response |
|---|---|---|
| 1. | Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? ..... | NO |

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]

## OVERFLOW PAGE FOR WRITE-INS

# NONE

# SCHEDULE A - VERIFICATION

Real Estate

NONE

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Current year change in encumbrances | | |
| 4. | Total gain (loss) on disposals | | |
| 5. | Deduct amounts received on disposals | | |
| 6. | Total foreign exchange change in book/adjusted carrying value | | |
| 7. | Deduct current year's other than temporary impairment recognized | | |
| 8. | Deduct current year's depreciation | | |
| 9. | Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) | | |
| 10. | Deduct total nonadmitted amounts | | |
| 11. | Statement value at end of current period (Line 9 minus Line 10) | | |

# SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book value/recorded investment excluding accrued interest, December 31 of prior year | | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Capitalized deferred interest and other | | |
| 4. | Accrual of discount | | |
| 5. | Unrealized valuation increase (decrease) | | |
| 6. | Total gain (loss) on disposals | | |
| 7. | Deduct amounts received on disposals | | |
| 8. | Deduct amortization of premium and mortgage interest points and commitment fees | | |
| 9. | Total foreign exchange change in book value/recorded investment excluding accrued interest | | |
| 10. | Deduct current year's other than temporary impairment recognized | | |
| 11. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. | Total valuation allowance | | |
| 13. | Subtotal (Line 11 plus Line 12) | | |
| 14. | Deduct total nonadmitted amounts | | |
| 15. | Statement value at end of current period (Line 13 minus Line 14) | | |

# SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | 11,339,236 | 12,943,661 |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | 0 | 0 |
| | 2.2 Additional investment made after acquisition | 0 | 0 |
| 3. | Capitalized deferred interest and other | 0 | 0 |
| 4. | Accrual of discount | 0 | 0 |
| 5. | Unrealized valuation increase (decrease) | 0 | 0 |
| 6. | Total gain (loss) on disposals | 0 | 0 |
| 7. | Deduct amounts received on disposals | 0 | 492,657 |
| 8. | Deduct amortization of premium and depreciation | 711,650 | 1,111,768 |
| 9. | Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 10. | Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 10,627,586 | 11,339,236 |
| 12. | Deduct total nonadmitted amounts | 0 | 0 |
| 13. | Statement value at end of current period (Line 11 minus Line 12) | 10,627,586 | 11,339,236 |

# SCHEDULE D - VERIFICATION

Bonds and Stocks

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 79,202,068 | 75,287,227 |
| 2. | Cost of bonds and stocks acquired | 11,559,889 | 19,477,561 |
| 3. | Accrual of discount | 23,028 | 43,034 |
| 4. | Unrealized valuation increase (decrease) | 0 | 0 |
| 5. | Total gain (loss) on disposals | 1,671 | 91,814 |
| 6. | Deduct consideration for bonds and stocks disposed of | 10,576,424 | 15,277,626 |
| 7. | Deduct amortization of premium | 204,620 | 422,679 |
| 8. | Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. | Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 10. | Total investment income recognized as a result of prepayment penalties and/or acceleration fees | 0 | 2,737 |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) | 80,005,612 | 79,202,068 |
| 12. | Deduct total nonadmitted amounts | 0 | 0 |
| 13. | Statement value at end of current period (Line 11 minus Line 12) | 80,005,612 | 79,202,068 |

# SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1<br>Book/Adjusted Carrying Value Beginning of Current Quarter | 2<br>Acquisitions During Current Quarter | 3<br>Dispositions During Current Quarter | 4<br>Non-Trading Activity During Current Quarter | 5<br>Book/Adjusted Carrying Value End of First Quarter | 6<br>Book/Adjusted Carrying Value End of Second Quarter | 7<br>Book/Adjusted Carrying Value End of Third Quarter | 8<br>Book/Adjusted Carrying Value December 31 Prior Year |
|---|---|---|---|---|---|---|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 132,540,017 | 17,982,005 | 21,558,563 | (1,418,572) | 132,540,017 | 127,544,887 | 0 | 134,224,434 |
| 2. NAIC 2 (a) | 19,143,357 | 0 | 838,997 | 1,106,446 | 19,143,357 | 19,410,806 | 0 | 18,453,376 |
| 3. NAIC 3 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. NAIC 4 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. NAIC 5 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. NAIC 6 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. Total Bonds | 151,683,374 | 17,982,005 | 22,397,560 | (312,126) | 151,683,374 | 146,955,693 | 0 | 152,677,810 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9. NAIC 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10. NAIC 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11. NAIC 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12. NAIC 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13. NAIC 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Total Preferred Stock | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock | 151,683,374 | 17,982,005 | 22,397,560 | (312,126) | 151,683,374 | 146,955,693 | 0 | 152,677,810 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ 58,880,231 ; NAIC 2 $ 8,069,853 ; NAIC 3 $ 0 NAIC 4 $ 0 ; NAIC 5 $ 0 ; NAIC 6 $ 0

# SCHEDULE DA - PART 1

Short-Term Investments

| | 1<br>Book/Adjusted Carrying Value | 2<br>Par Value | 3<br>Actual Cost | 4<br>Interest Collected Year-to-Date | 5<br>Paid for Accrued Interest Year-to-Date |
|---|---|---|---|---|---|
| 9199999 Totals | 66,550,198 | XXX | 66,866,635 | 197,318 | 81,512 |

# SCHEDULE DA - VERIFICATION

Short-Term Investments

| | | 1<br>Year To Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | 73,475,742 | 79,064,923 |
| 2. | Cost of short-term investments acquired | 37,960,455 | 86,647,560 |
| 3. | Accrual of discount | 28,937 | 96,072 |
| 4. | Unrealized valuation increase (decrease) | 0 | 0 |
| 5. | Total gain (loss) on disposals | 0 | (15,196) |
| 6. | Deduct consideration received on disposals | 44,513,000 | 91,911,386 |
| 7. | Deduct amortization of premium | 401,936 | 406,231 |
| 8. | Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. | Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 66,550,198 | 73,475,742 |
| 11. | Deduct total nonadmitted amounts | 0 | 0 |
| 12. | Statement value at end of current period (Line 10 minus Line 11) | 66,550,198 | 73,475,742 |

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

**N O N E**

# SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

| | | 1<br>Year To Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | 128,397,794 | 82,584,530 |
| 2. | Cost of cash equivalents acquired | 705,308,899 | 1,361,155,956 |
| 3. | Accrual of discount | 509 | 9,251 |
| 4. | Unrealized valuation increase (decrease) | 0 | (13) |
| 5. | Total gain (loss) on disposals | (12) | (91) |
| 6. | Deduct consideration received on disposals | 668,440,895 | 1,315,351,385 |
| 7. | Deduct amortization of premium | 3,506 | 454 |
| 8. | Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. | Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 165,262,789 | 128,397,794 |
| 11. | Deduct total nonadmitted amounts | 0 | 0 |
| 12. | Statement value at end of current period (Line 10 minus Line 11) | 165,262,789 | 128,397,794 |

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

# SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1<br>CUSIP Identification | 2<br>Description | 3<br>Foreign | 4<br>Date Acquired | 5<br>Name of Vendor | 6<br>Number of Shares of Stock | 7<br>Actual Cost | 8<br>Par Value | 9<br>Paid for Accrued Interest and Dividends | 10<br>NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
|---|---|---|---|---|---|---|---|---|---|
| 57563R-RZ-4 | MASSACHUSETTS ST Rev Bond Call 2.641% 07/01/37 | | 06/25/2021 | RBC Capital Markets | | 405,000 | 405,000 | 0 | 1.C FE |
| 3199999. Subtotal - Bonds - U.S. Special Revenues | | | | | | 405,000 | 405,000 | 0 | XXX |
| 03066R-AC-9 | AmeriCredit Auto AMCAR 2021-2 A3 ABS 0.340% 12/18/26 | | 06/08/2021 | Mizuho Investor Sec Co | | 174,971 | 175,000 | 0 | 1.A FE |
| 053015-AG-8 | AUTOMATIC DATA Corp Note Call MW 1.700% 05/15/28 | | 05/11/2021 | Merrill Lynch | | 588,218 | 590,000 | 0 | 1.D FE |
| 06051G-JT-7 | BANK OF AMER CRP Corp Note Call MW 2.687% 04/22/32 | | 04/16/2021 | Merrill Lynch | | 815,000 | 815,000 | 0 | 1.F FE |
| 06540V-BA-2 | Bank BANK 2019-BN24 ASB CMBS 2.929% 11/15/62 | | 04/01/2021 | Barclays Group Inc. | | 690,933 | 645,000 | 262 | 1.D FM |
| 14686K-AA-5 | Carvana Auto Rec CRVNA 2021-N2 C ABS 0.320% 03/10/28 | | 05/25/2021 | CitiGroup | | 184,987 | 185,000 | 0 | 1.A FE |
| 257812-AD-9 | Donlen Fleet Lea DFLF2 2021-2 A2 ABS Prv Plc 0.560% 12/11/34 | | 04/20/2021 | JP Morgan Chase | | 344,958 | 345,000 | 0 | 1.A FE |
| 79466L-AH-7 | SALESFORCE.COM Corp Note Call MW 1.500% 07/15/28 | | 06/29/2021 | JP Morgan Chase | | 532,239 | 535,000 | 0 | 1.F FE |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | | 3,331,306 | 3,290,000 | 262 | XXX |
| 8399997. Total - Bonds - Part 3 | | | | | | 3,736,306 | 3,695,000 | 262 | XXX |
| 8399998. Total - Bonds - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 8399999. Total - Bonds | | | | | | 3,736,306 | 3,695,000 | 262 | XXX |
| 8999997. Total - Preferred Stocks - Part 3 | | | | | | 0 | XXX | 0 | XXX |
| 8999998. Total - Preferred Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 8999999. Total - Preferred Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9799997. Total - Common Stocks - Part 3 | | | | | | 0 | XXX | 0 | XXX |
| 9799998. Total - Common Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 9799999. Total - Common Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9899999. Total - Preferred and Common Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9999999 - Totals | | | | | | 3,736,306 | XXX | 262 | XXX |

# SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Ident-ification | Description | For-eign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consid-eration | Par Value | Actual Cost | Prior Year Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amor-tization)/ Accretion | Current Year's Other Than Temporary Impairment Recog-nized | Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book /Adjusted Carrying Value | Book/ Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/ Stock Dividends Received During Year | Stated Con-tractual Maturity Date | NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol |
| 3128M9-J2-9 | FHLMC Pool G07181 MBS 4.000% 10/01/42 | | 06/01/2021 | Paydown | | 4,759 | 4,759 | 5,063 | 5,044 | 0 | (285) | 0 | (285) | 0 | 4,759 | 0 | 0 | 0 | 73 | 10/01/2042 | 1.A |
| 3128M9-MP-4 | FHLMC Pool G07266 MBS 4.000% 12/01/42 | | 06/01/2021 | Paydown | | 1,369 | 1,369 | 1,454 | 1,447 | 0 | (79) | 0 | (79) | 0 | 1,369 | 0 | 0 | 0 | 24 | 12/01/2042 | 1.A |
| 3128M9-WK-4 | FHLMC Pool G07550 MBS 3.000% 08/01/43 | | 06/01/2021 | Paydown | | 17,652 | 17,652 | 17,856 | 17,845 | 0 | (193) | 0 | (193) | 0 | 17,652 | 0 | 0 | 0 | 222 | 08/01/2043 | 1.A |
| 3128MA-BU-2 | FHLMC Pool G07851 MBS 4.000% 05/01/42 | | 06/01/2021 | Paydown | | 31,955 | 31,955 | 34,282 | 34,172 | 0 | (2,217) | 0 | (2,217) | 0 | 31,955 | 0 | 0 | 0 | 524 | 05/01/2042 | 1.A |
| 3128MJ-2C-3 | FHLMC Pool G08770 MBS 3.500% 07/01/47 | | 06/01/2021 | Paydown | | 106,627 | 106,627 | 110,226 | 110,080 | 0 | (3,453) | 0 | (3,453) | 0 | 106,627 | 0 | 0 | 0 | 1,512 | 07/01/2047 | 1.A |
| 3128MJ-SP-6 | FHLMC Pool G08525 MBS 3.000% 05/01/43 | | 06/01/2021 | Paydown | | 3,281 | 3,281 | 3,292 | 3,291 | 0 | (10) | 0 | (10) | 0 | 3,281 | 0 | 0 | 0 | 39 | 05/01/2043 | 1.A |
| 3128MJ-W4-8 | FHLMC Pool G08666 MBS 3.000% 09/01/45 | | 06/01/2021 | Paydown | | 30,511 | 30,511 | 30,645 | 30,636 | 0 | (125) | 0 | (125) | 0 | 30,511 | 0 | 0 | 0 | 372 | 09/01/2045 | 1.A |
| 3128MJ-W9-7 | FHLMC Pool G08671 MBS 3.500% 10/01/45 | | 06/01/2021 | Paydown | | 28,695 | 28,695 | 29,840 | 29,780 | 0 | (1,085) | 0 | (1,085) | 0 | 28,695 | 0 | 0 | 0 | 407 | 10/01/2045 | 1.A |
| 3128MJ-WA-4 | FHLMC Pool G08640 MBS 3.000% 05/01/45 | | 06/01/2021 | Paydown | | 9,743 | 9,743 | 9,834 | 9,832 | 0 | (88) | 0 | (88) | 0 | 9,743 | 0 | 0 | 0 | 116 | 05/01/2045 | 1.A |
| 3128MJ-ZF-0 | FHLMC Pool G08741 MBS 3.000% 12/01/46 | | 06/01/2021 | Paydown | | 42,382 | 42,382 | 41,569 | 41,589 | 0 | 793 | 0 | 793 | 0 | 42,382 | 0 | 0 | 0 | 509 | 12/01/2046 | 1.A |
| 31307G-3L-1 | FHLMC Pool J28003 MBS 3.000% 04/01/29 | | 06/01/2021 | Paydown | | 22,746 | 22,746 | 23,378 | 23,235 | 0 | (489) | 0 | (489) | 0 | 22,746 | 0 | 0 | 0 | 280 | 04/01/2029 | 1.A |
| 3132GK-DZ-6 | FHLMC Pool Q04020 MBS 4.000% 10/01/41 | | 06/01/2021 | Paydown | | 5,413 | 5,413 | 5,748 | 5,717 | 0 | (304) | 0 | (304) | 0 | 5,413 | 0 | 0 | 0 | 86 | 10/01/2041 | 1.A |
| 3132J6-5D-2 | FHLMC Pool Q15843 MBS 3.000% 02/01/43 | | 06/01/2021 | Paydown | | 23,902 | 23,902 | 24,178 | 24,162 | 0 | (260) | 0 | (260) | 0 | 23,902 | 0 | 0 | 0 | 288 | 02/01/2043 | 1.A |
| 3132M9-5E-0 | FHLMC Pool Q29245 MBS 3.500% 10/01/44 | | 06/01/2021 | Paydown | | 26,292 | 26,292 | 27,304 | 27,273 | 0 | (982) | 0 | (982) | 0 | 26,292 | 0 | 0 | 0 | 380 | 10/01/2044 | 1.A |
| 3132QP-WZ-3 | FHLMC Pool Q33363 MBS 3.000% 05/01/45 | | 06/01/2021 | Paydown | | 11,439 | 11,439 | 11,566 | 11,558 | 0 | (119) | 0 | (119) | 0 | 11,439 | 0 | 0 | 0 | 140 | 05/01/2045 | 1.A |
| 3133KG-KJ-6 | FHLMC Pool RA1197 MBS 4.000% 08/01/49 | | 06/01/2021 | Paydown | | 61,606 | 61,606 | 64,368 | 64,303 | 0 | (2,698) | 0 | (2,698) | 0 | 61,606 | 0 | 0 | 0 | 952 | 08/01/2049 | 1.A |
| 3136AX-C4-5 | FNMA FNR 2017-69 HA RMBS 3.000% 06/25/46 | | 06/01/2021 | Paydown | | 94,166 | 94,166 | 96,866 | 95,526 | 0 | (1,360) | 0 | (1,360) | 0 | 94,166 | 0 | 0 | 0 | 1,148 | 06/25/2046 | 1.A |
| 3136B3-BA-7 | FNMA FNR 2018-80 GD RMBS 3.500% 12/25/47 | | 06/01/2021 | Paydown | | 58,731 | 58,731 | 58,136 | 58,298 | 0 | 433 | 0 | 433 | 0 | 58,731 | 0 | 0 | 0 | 830 | 12/25/2047 | 1.A |
| 3137FL-7L-2 | FHLMC FHMS KF60 A CMBS 0.570% 02/25/26 | | 06/25/2021 | Paydown | | 21,165 | 21,165 | 21,165 | 21,165 | 0 | 0 | 0 | 0 | 0 | 21,165 | 0 | 0 | 0 | 49 | 02/25/2026 | 1.A |
| 3138AN-C8-4 | FNMA Pool AI8194 MBS 4.500% 08/01/41 | | 06/01/2021 | Paydown | | 16,836 | 16,836 | 17,998 | 17,948 | 0 | (1,112) | 0 | (1,112) | 0 | 16,836 | 0 | 0 | 0 | 284 | 08/01/2041 | 1.A |
| 3138L9-H3-9 | FNMA Pool AM8349 MBS 2.980% 04/01/27 | | 06/01/2021 | Paydown | | 2,228 | 2,228 | 2,314 | 2,302 | 0 | (74) | 0 | (74) | 0 | 2,228 | 0 | 0 | 0 | 28 | 04/01/2027 | 1.A |
| 31418D-KT-8 | FNMA Pool MA3905 MBS 3.000% 01/01/50 | | 06/01/2021 | Paydown | | 72,046 | 72,046 | 72,846 | 72,830 | 0 | (784) | 0 | (784) | 0 | 72,046 | 0 | 0 | 0 | 856 | 01/01/2050 | 1.A |
| 880461-CN-6 | TENNESSEE HSG DE Rev Bond Cont Call 4.000% 07/01/39 | | 05/01/2021 | Call 100.0000 | | 40,000 | 40,000 | 43,344 | 41,150 | 0 | (122) | 0 | (122) | 0 | 41,028 | 0 | (1,028) | (1,028) | 1,333 | 07/01/2039 | 1.B FE |
| 880461-SC-3 | TENNESSEE HSG DE Rev Bond Cont Call 4.000% 07/01/48 | | 05/01/2021 | Call 100.0000 | | 15,000 | 15,000 | 16,391 | 15,963 | 0 | (49) | 0 | (49) | 0 | 15,913 | 0 | (913) | (913) | 500 | 07/01/2048 | 1.B FE |
| 3199999. Subtotal - Bonds - U.S. Special Revenues | | | | | | 748,544 | 748,544 | 769,663 | 765,146 | 0 | (14,662) | 0 | (14,662) | 0 | 750,485 | 0 | (1,941) | (1,941) | 10,952 | XXX | XXX |
| 02004V-AC-7 | ALLY AUTO RECEIVABLES TRUST ALLYA 18-2 A3 ABS 2.920% 11/15/22 | | 06/15/2021 | Paydown | | 122,733 | 122,733 | 122,710 | 122,727 | 0 | 6 | 0 | 6 | 0 | 122,733 | 0 | 0 | 0 | 1,475 | 11/15/2022 | 1.A FE |
| 03464L-AA-4 | ANGEL OAK Mortgage Trust AOMT 2020-4 A1 RMBS Prv Plc 1.469% 06/25/65 | | 06/01/2021 | Paydown | | 15,195 | 15,195 | 15,195 | 15,194 | 0 | 2 | 0 | 2 | 0 | 15,195 | 0 | 0 | 0 | 98 | 06/25/2065 | 1.D FM |
| 05586V-AC-6 | BMW Vehicle Leas BMWLT 2019-1 A3 ABS 2.840% 11/22/21 | | 04/20/2021 | Paydown | | 44,467 | 44,467 | 44,460 | 44,462 | 0 | 5 | 0 | 5 | 0 | 44,467 | 0 | 0 | 0 | 421 | 11/22/2021 | 1.A FE |
| 07331Q-AA-5 | BAYVIEW OPPORTUN BOMFT 2016-SPL2 A RMBS Prv Plc 4.000% 06/28/53 | | 06/28/2021 | Paydown | | 64,394 | 64,394 | 66,268 | 64,891 | 0 | (498) | 0 | (498) | 0 | 64,394 | 0 | 0 | 0 | 1,047 | 06/28/2053 | 1.D FM |
| 105699-AA-0 | BRAVO Residential Funding BRAVO 2020-NQM1 A1 RMBS Prv Pl 1.449% 05/25/60 | | 06/25/2021 | Paydown | | 36,107 | 36,107 | 36,107 | 36,107 | 0 | 0 | 0 | 0 | 0 | 36,107 | 0 | 0 | 0 | 225 | 05/25/2060 | 1.D FM |
| 12597V-AA-3 | COLT Funding LLC COLT 2020-1R A1 RMBS Prv Plc 1.255% 09/25/65 | | 06/01/2021 | Paydown | | 15,262 | 15,262 | 15,262 | 15,261 | 0 | 1 | 0 | 1 | 0 | 15,262 | 0 | 0 | 0 | 77 | 09/25/2065 | 1.A FE |
| 12655H-AA-3 | COMM Mortgage Tr COMM 2019-WCM A CMBS Prv Plc 0.973% 10/15/36 | | 06/15/2021 | Paydown | | 42,676 | 42,676 | 42,676 | 42,676 | 0 | 0 | 0 | 0 | 0 | 42,676 | 0 | 0 | 0 | 220 | 10/15/2036 | 1.D FM |
| 165183-CJ-1 | CFII 2021-1A B CFII 2020-1A A1 ABS Prv Plc 0.870% 08/16/32 | | 06/15/2021 | Paydown | | 7,210 | 7,210 | 7,209 | 7,209 | 0 | 0 | 0 | 0 | 0 | 7,210 | 0 | 0 | 0 | 26 | 08/16/2032 | 1.A FE |
| 24381C-AA-0 | DEEPHAVEN RESIDENTAL MORTG DRMT 2017-2A A1 RMBS Prv Plc 2.453% 06/25/47 | | 06/01/2021 | Paydown | | 59,803 | 59,803 | 59,803 | 59,716 | 0 | 87 | 0 | 87 | 0 | 59,803 | 0 | 0 | 0 | 697 | 06/25/2047 | 1.D FM |
| 34528F-AD-0 | Ford Credit Auto FORDO 2018-A A3 ABS 3.030% 11/15/22 | | 06/15/2021 | Paydown | | 87,542 | 87,542 | 87,528 | 87,538 | 0 | 4 | 0 | 4 | 0 | 87,542 | 0 | 0 | 0 | 1,082 | 11/15/2022 | 1.A FE |
| 36166R-AA-2 | GCAT GCAT 2020-NQM2 A1 RMBS Prv Plc 1.555% 04/25/65 | | 06/01/2021 | Paydown | | 17,165 | 17,165 | 17,165 | 17,162 | 0 | 3 | 0 | 3 | 0 | 17,165 | 0 | 0 | 0 | 114 | 04/25/2065 | 1.A FE |
| 36256B-AC-4 | GS MORTGAGE BACKED SEC TR GSMBS 2018-RPL1 A1A RMBS Prv P 3.750% 10/25/57 | | 06/01/2021 | Paydown | | 89,866 | 89,866 | 88,610 | 89,085 | 0 | 781 | 0 | 781 | 0 | 89,866 | 0 | 0 | 0 | 1,388 | 10/25/2057 | 1.D FM |
| 552757-AA-4 | MFRA Trust MFRA 2020-NQM3 A1 RMBS Prv Plc 1.014% 01/26/65 | | 06/01/2021 | Paydown | | 20,066 | 20,066 | 20,066 | 20,066 | 0 | 0 | 0 | 0 | 0 | 20,066 | 0 | 0 | 0 | 85 | 01/26/2065 | 1.A FE |

# SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Ident-ification | Description | For-eign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consid-eration | Par Value | Actual Cost | Prior Year Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amor-tization)/ Accretion | Current Year's Other Than Temporary Impairment Recog-nized | Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book /Adjusted Carrying Value | Book/ Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/ Stock Dividends Received During Year | Stated Con-tractual Maturity Date | NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol |
| 58768U-AL-7 | Mercedes-Benz Ma MBMOT 2019-AA A ABS Prv Plc 0.423% 05/15/23 | | 05/17/2021 | Paydown | | 270,000 | 270,000 | 270,000 | 270,000 | 0 | 0 | 0 | 0 | 0 | 270,000 | 0 | 0 | 0 | 543 | 05/15/2023 | 1.A FE |
| 64829F-AA-9 | New Residential NRZT 2016-1A A1 RMBS Prv Plc 3.750% 03/25/56 | | 06/01/2021 | Paydown | | 3,643 | 3,643 | 3,753 | 3,676 | 0 | (33) | 0 | (33) | 0 | 3,643 | 0 | 0 | 0 | 55 | 03/25/2056 | 1.D FM |
| 87165B-AC-7 | SYNCHRONY FINANC Corp Note MW 165 BP 3.750% 08/15/21 | | 06/15/2021 | Call 100.0000 | | 70,000 | 70,000 | 69,875 | 69,988 | 0 | 9 | 0 | 9 | 0 | 69,997 | 0 | 3 | 3 | 2,188 | 08/15/2021 | 2.C FE |
| 89171U-AU-3 | Towd Point Mortgage Trust TPMT 2015-4 A1B RMBS Prv Plc 2.750% 04/25/55 | | 05/01/2021 | Paydown | | 6,192 | 6,192 | 6,188 | 6,181 | 0 | 11 | 0 | 11 | 0 | 6,192 | 0 | 0 | 0 | 58 | 04/25/2055 | 1.D FM |
| 89172E-AU-8 | Towd Point Mortgage Trust TPMT 2016-1 A1B RMBS Prv Plc 2.750% 02/25/55 | | 06/01/2021 | Paydown | | 6,298 | 6,298 | 6,291 | 6,287 | 0 | 11 | 0 | 11 | 0 | 6,298 | 0 | 0 | 0 | 70 | 02/25/2055 | 1.D FM |
| 89172R-AR-6 | Towd Point Mortgage Trust TPMT 2015-6 A1B RMBS Prv Plc 2.750% 04/25/55 | | 06/01/2021 | Paydown | | 14,136 | 14,136 | 14,004 | 14,088 | 0 | 47 | 0 | 47 | 0 | 14,136 | 0 | 0 | 0 | 160 | 04/25/2055 | 1.D FM |
| 89231A-AD-3 | Toyota Auto Rece TAOT 2018-C A3 ABS 3.020% 12/15/22 | | 06/15/2021 | Paydown | | 473,012 | 473,012 | 472,928 | 472,983 | 0 | 29 | 0 | 29 | 0 | 473,012 | 0 | 0 | 0 | 5,829 | 12/15/2022 | 1.A FE |
| 92537K-AA-2 | Verus Securitization Trust VERUS 19-4 A1 RMBS Prv Plc 2.642% 11/25/59 | | 06/01/2021 | Paydown | | 33,418 | 33,418 | 33,418 | 33,400 | 0 | 18 | 0 | 18 | 0 | 33,418 | 0 | 0 | 0 | 371 | 11/25/2059 | 1.D FM |
| 13646B-AG-3 | Canadian Pacer A CPART 2019-1A A3 ABS Prv Plc 2.800% 10/19/23 | A | 06/19/2021 | Paydown | | 307,127 | 307,127 | 307,123 | 307,117 | 0 | 10 | 0 | 10 | 0 | 307,127 | 0 | 0 | 0 | 3,544 | 10/19/2023 | 1.A FE |
| 06761C-AA-7 | BABSON CLO LTD BABSN 2016-2A AR CLO Prv Plc 1.268% 07/20/28 | D | 04/20/2021 | Paydown | | 57,456 | 57,456 | 57,456 | 57,456 | 0 | 0 | 0 | 0 | 0 | 57,456 | 0 | 0 | 0 | 378 | 07/20/2028 | 1.A FE |
| 81881G-AC-2 | SHACKLETON CLO LTD SHACK 2014-6RA A CLO PRV PLC 1.208% 07/17/28 | D | 04/19/2021 | Paydown | | 39,297 | 39,297 | 39,257 | 39,277 | 0 | 20 | 0 | 20 | 0 | 39,297 | 0 | 0 | 0 | 246 | 07/17/2028 | 1.A FE |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | | 1,903,065 | 1,903,065 | 1,903,352 | 1,902,547 | 0 | 513 | 0 | 513 | 0 | 1,903,062 | 0 | 3 | 3 | 20,397 | XXX | XXX |
| 8399997. Total - Bonds - Part 4 | | | | | | 2,651,609 | 2,651,609 | 2,673,015 | 2,667,693 | 0 | (14,149) | 0 | (14,149) | 0 | 2,653,547 | 0 | (1,938) | (1,938) | 31,349 | XXX | XXX |
| 8399998. Total - Bonds - Part 5 | | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 8399999. Total - Bonds | | | | | | 2,651,609 | 2,651,609 | 2,673,015 | 2,667,693 | 0 | (14,149) | 0 | (14,149) | 0 | 2,653,547 | 0 | (1,938) | (1,938) | 31,349 | XXX | XXX |
| 8999997. Total - Preferred Stocks - Part 4 | | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 8999998. Total - Preferred Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 8999999. Total - Preferred Stocks | | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9799997. Total - Common Stocks - Part 4 | | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9799998. Total - Common Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 9799999. Total - Common Stocks | | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9899999. Total - Preferred and Common Stocks | | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9999999 - Totals | | | | | | 2,651,609 | XXX | 2,673,015 | 2,667,693 | 0 | (14,149) | 0 | (14,149) | 0 | 2,653,547 | 0 | (1,938) | (1,938) | 31,349 | XXX | XXX |

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

# SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 | 2 | 3 | 4 | 5 | Book Balance at End of Each Month During Current Quarter | | | 9 |
|---|---|---|---|---|---|---|---|---|
| | | | | | 6 | 7 | 8 | |
| Depository | Code | Rate of Interest | Amount of Interest Received During Current Quarter | Amount of Interest Accrued at Current Statement Date | First Month | Second Month | Third Month | * |
| CREDIT SUISSE AG Cert of Deposit Due 08/18/2021 | | 0.340 | 0 | 2,380 | 800,000 | 800,000 | 800,000 | XXX |
| Natixis Cert of Deposit Due 11/16/2021 | | 0.340 | 0 | 2,658 | 1,239,996 | 1,239,996 | 1,239,997 | XXX |
| SUMITOMO MITSUI BANK NY Cert of Deposit Due 11/22/2021 | | 0.470 | 0 | 125 | 251,389 | 251,330 | 251,273 | XXX |
| Canadian Imperial Bank of Comm Cert of Deposit Due 05/04/2022 | | 0.240 | (51) | 497 | 0 | 1,284,999 | 1,284,999 | XXX |
| STANDARD CHARTERRED BNY BRANCH Cert of Deposit Due 01/20/2022 | | 0.250 | (158) | 592 | 0 | 1,200,387 | 1,200,337 | XXX |
| SOCIETE GENERALE Cert of Deposit Due 09/17/2021 | | 0.320 | 0 | 2,557 | 1,020,000 | 1,020,000 | 1,020,000 | XXX |
| Shinhan Bank America Cert of Deposit Due 11/03/2021 | | 0.470 | 0 | 982 | 316,000 | 316,000 | 316,000 | XXX |
| The Norinchukin Bank Cert of Deposit Due 12/01/2021 | | 0.370 | 0 | 1,509 | 699,000 | 699,000 | 699,000 | XXX |
| Toronto Dominion Bank, NY Cert of Deposit Due 05/10/2022 | | 0.230 | (10) | 532 | 0 | 1,600,000 | 1,599,999 | XXX |
| MUFG Bank Cert of Deposit Due 08/13/2021 | | 0.320 | 0 | 3,392 | 1,200,000 | 1,200,000 | 1,200,000 | XXX |
| BANK OF NOVA SCOTIA HOUS Cert of Deposit Due 04/13/2022 | | 0.220 | (264) | 772 | 0 | 1,599,999 | 1,599,999 | XXX |
| Bank of Montreal Cert of Deposit Due 05/13/2022 | | 0.220 | 0 | 293 | 0 | 1,000,000 | 1,000,000 | XXX |
| BARCLAYS BANK PLC NY Cert of Deposit Due 06/24/2021 | | 0.900 | 7,260 | 0 | 800,000 | 800,000 | 0 | XXX |
| Svenska Handelsbanken, NY Cert of Deposit Due 03/16/2022 | | 0.245 | 0 | 545 | 755,033 | 755,030 | 755,026 | XXX |
| Wells Fargo San Francisco, CA | | 0.000 | 0 | 0 | (8,137,299) | (16,501,945) | 46,839 | XXX |
| 0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories | XXX | XXX | 0 | 0 | 0 | 0 | 0 | XXX |
| 0199999. Totals - Open Depositories | XXX | XXX | 6,777 | 16,834 | (1,055,881) | (2,735,204) | 13,013,469 | XXX |
| 0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories | XXX | XXX | 0 | 0 | 0 | 0 | 0 | XXX |
| 0299999. Totals - Suspended Depositories | XXX | XXX | 0 | 0 | 0 | 0 | 0 | XXX |
| 0399999. Total Cash on Deposit | XXX | XXX | 6,777 | 16,834 | (1,055,881) | (2,735,204) | 13,013,469 | XXX |
| 0499999. Cash in Company's Office | XXX | XXX | XXX | XXX | 0 | 0 | 0 | XXX |
| 0599999. Total - Cash | XXX | XXX | 6,777 | 16,834 | (1,055,881) | (2,735,204) | 13,013,469 | XXX |

# SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1<br>CUSIP | 2<br>Description | 3<br>Code | 4<br>Date Acquired | 5<br>Rate of Interest | 6<br>Maturity Date | 7<br>Book/Adjusted Carrying Value | 8<br>Amount of Interest Due and Accrued | 9<br>Amount Received During Year |
|---|---|---|---|---|---|---|---|---|
| 0599999. Total - U.S. Government Bonds | | | | | | 0 | 0 | 0 |
| 1099999. Total - All Other Government Bonds | | | | | | 0 | 0 | 0 |
| 1799999. Total - U.S. States, Territories and Possessions Bonds | | | | | | 0 | 0 | 0 |
| 2499999. Total - U.S. Political Subdivisions Bonds | | | | | | 0 | 0 | 0 |
| 3199999. Total - U.S. Special Revenues Bonds | | | | | | 0 | 0 | 0 |
| | ENGIE SA Disc Comm Paper | | 06/17/2021 | 0.160 | 09/03/2021 | 399,886 | 0 | 25 |
| 3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations | | | | | | 399,886 | 0 | 25 |
| 3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds | | | | | | 399,886 | 0 | 25 |
| 4899999. Total - Hybrid Securities | | | | | | 0 | 0 | 0 |
| 5599999. Total - Parent, Subsidiaries and Affiliates Bonds | | | | | | 0 | 0 | 0 |
| 6099999. Subtotal - SVO Identified Funds | | | | | | 0 | 0 | 0 |
| 6599999. Subtotal - Unaffiliated Bank Loans | | | | | | 0 | 0 | 0 |
| 7699999. Total - Issuer Obligations | | | | | | 399,886 | 0 | 25 |
| 7799999. Total - Residential Mortgage-Backed Securities | | | | | | 0 | 0 | 0 |
| 7899999. Total - Commercial Mortgage-Backed Securities | | | | | | 0 | 0 | 0 |
| 7999999. Total - Other Loan-Backed and Structured Securities | | | | | | 0 | 0 | 0 |
| 8099999. Total - SVO Identified Funds | | | | | | 0 | 0 | 0 |
| 8199999. Total - Affiliated Bank Loans | | | | | | 0 | 0 | 0 |
| 8299999. Total - Unaffiliated Bank Loans | | | | | | 0 | 0 | 0 |
| 8399999. Total Bonds | | | | | | 399,886 | 0 | 25 |
| 09248U-71-8 | BlackRock Liquidity Funds T-Fund Port | | 06/01/2021 | 0.000 | | 305,924 | 0 | 34 |
| 261908-10-7 | Dreyfus Treasury Cash Mgmt Fund | | 06/01/2021 | 0.000 | | 274,752 | 0 | 115 |
| 31846V-54-2 | First American Treasury Obligations Fund Z | | 06/01/2021 | 0.000 | | 432,281 | 0 | 39 |
| 38141W-32-3 | Goldman Sachs Treasury Obligations Fund | | 06/01/2021 | 0.000 | | 407,953 | 0 | 27 |
| 4812C2-73-4 | JP Morgan US Treasury Plus Mmkt | | 06/01/2021 | 0.000 | | 358,614 | 0 | 77 |
| 61747C-58-2 | Morgan Stanley Institutional Liquidity Fund Treasury Port | | 06/01/2021 | 0.000 | | 1,138,221 | 0 | 66 |
| 825252-40-6 | Invesco AIM STIT TREASURY MMF | | 06/01/2021 | 0.000 | | 6,761,199 | 0 | 98 |
| 8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO | | | | | | 9,678,944 | 0 | 456 |
| 147539-67-0 | DEUTSCHE INV MGT CASH ACCT GOV/AGENCY DWS-IST | | 06/01/2021 | 0.000 | | 844,492 | 0 | 52 |
| 25160K-20-7 | DEUTSCHE GOV MMKT Gov Series Ins Shares | | 06/17/2021 | 0.000 | | 21,602,579 | 0 | 753 |
| 262006-20-8 | Dreyfus Govt Cash Mgmt - Inst | | 06/01/2021 | 0.000 | | 11,427,315 | 0 | 1,357 |
| 26200X-20-9 | Dreyfus Inst Pred Govt MMF-Hamilton | | 06/01/2021 | 0.000 | | 205,266 | 0 | 11 |
| 38141W-27-3 | Goldman Sachs Government Fund | | 06/17/2021 | 0.000 | | 21,916,133 | 0 | 410 |
| 40428X-10-7 | HSBC HSBC US GOVT MMKT-I | | 06/01/2021 | 0.000 | | 32,418,307 | 0 | 1,882 |
| 4812C0-67-0 | JP Morgan US Govt MMKT CP | | 06/17/2021 | 0.000 | | 30,661,814 | 0 | 810 |
| 61747C-70-7 | Morgan Stanley Institutional Liquidity Fund Government Port | | 06/01/2021 | 0.000 | | 9,698,905 | 0 | 622 |
| 665278-70-1 | Northern Inst Govt Select Port | | 06/29/2021 | 0.000 | | 4,240,126 | 0 | 67 |
| 74926P-69-6 | RBC US Government Money Market | | 06/02/2021 | 0.000 | | 8,567,698 | 0 | 402 |
| 825252-88-5 | Invesco AIM STIT GOVT & AGENCY-INST MMF | | 06/17/2021 | 0.000 | | 13,601,324 | 0 | 200 |
| 8699999. Subtotal - All Other Money Market Mutual Funds | | | | | | 155,183,959 | 0 | 6,566 |
| 9999999 - Total Cash Equivalents | | | | | | 165,262,789 | 0 | 7,047 |