NOTIFICATION OF COMPLIANCE STATUS
FOR STATIONARY RECIPROCATING INTERNAL COMBUSTION ENGINES (RICE)
-Compression Ignition Engines-

NESHAP
40 CFR, Part 63, Subpart ZZZZ [§ 63.6580 - 63.6675]

Instructions

1. Who Must Provide Notification?

On March 3, 2010, the U.S. Environmental Protection Agency (U.S. EPA) finalized a National Emission Standard for Hazardous Air Pollutants (NESHAP) for stationary reciprocating internal combustion engines (RICE) located at major or area sources of hazardous air pollutants (HAP) emissions. This standard is referred to as the NESHAP and requires certain RICE to provide notifications to the U.S. EPA. Those notifications are explained below.

The need to file the “Notification of Compliance Status” associated with the March 3, 2010, NESHAP standard is specific to compression ignition engines. NOTE: This notification form is only for businesses operating compression ignition engines. However, the NESHAP also addresses spark ignition engines at both major and minor sources. Please refer to the Michigan Department of Environmental Quality (DEQ) “Compression Ignition Engines: NESHAP Subpart ZZZZ” guidance publication located at www.michigan.gov/environmentalassistance. Select “Clean Air Assistance” under “Related Links” and then select “RICE” under “Federal Regulations” to understand if you need to provide the notification of compliance status.

2. Definitions

Area source – any stationary source that has the potential to emit less than 10 tons per year of a single hazardous air pollutant (HAP) and less than 25 tons per year of any combination of HAPs.

Black start engine – an engine whose only purpose is to start up a combustion turbine.

Compression ignition engine – relating to a type of stationary internal combustion engine that is not a spark ignition engine. A spark ignition engine is a gasoline-fueled engine; or any other type of engine a spark plug (or other sparking device) and with operating characteristics significantly similar to the theoretical Otto combustion cycle. Spark ignition engines usually use a throttle to regulate intake air flow to control power during normal operation. Dual-fuel engines in which a liquid fuel (typically diesel fuel) is used for CI and gaseous fuel (typically natural gas) is used as the primary fuel at an annual average ratio of less than 2 parts diesel fuel to 100 parts total fuel on an energy equivalent basis are spark ignition engines.

Emergency stationary RICE – means any stationary internal combustion engine whose operation is limited to emergency situations and required testing and maintenance. Examples include stationary RICE used to produce power for critical networks or equipment (including power supplied to portions of a facility) when electric power from the local utility (or the normal power source, if the facility runs on its own power production) is interrupted, or stationary RICE used to pump water in the case of fire or flood, etc. Stationary RICE used for peak shaving are not considered emergency stationary RICE. Stationary RICE used to supply power to an electric grid or that supply non-emergency power as part of a financial arrangement with another entity are not considered to be emergency engines, except as permitted under §63.6640(f). All emergency stationary RICE must comply with the requirements specified in §63.6640(f) in order to be considered emergency stationary RICE. If the engine does not comply with the requirements specified in §63.6640(f), it is not considered to be an emergency stationary RICE under this subpart.
A commercial emergency stationary RICE means an emergency stationary RICE used in commercial establishments such as office buildings, hotels, stores, telecommunications facilities, restaurants, financial institutions such as banks, doctor's offices, and sports and performing arts facilities.

An institutional emergency stationary RICE means an emergency stationary RICE used in institutional establishments such as medical centers, nursing homes, research centers, institutions of higher education, correctional facilities, elementary and secondary schools, libraries, religious establishments, police stations, and fire stations.

A residential emergency stationary RICE means an emergency stationary RICE used in residential establishments such as homes or apartment buildings.

**Existing source (>500 HP site rating)** – a major source of HAP emissions with a stationary RICE that commenced construction or reconstruction of the stationary RICE before December 19, 2002.

**Existing source (≤500 HP site rating)** – a major source of HAP emissions with a stationary RICE that commenced construction or reconstruction of the stationary RICE before June 12, 2006.

**Existing source** – an area source of HAP emissions with a stationary RICE that commenced construction or reconstruction of the stationary RICE before June 12, 2006.

**Limited use** – refers to any stationary RICE that operates less than 100 hours per year.

**Major source** – any stationary source or group of stationary sources located within a contiguous area and under common control that emits, or has the potential to emit, considering controls, 10 tons per year (tpy) or more of any single HAP, or 25 tpy or more of any combination of HAPs.

**New source (>500 HP site rating)** – a major source of HAP emissions with a stationary RICE that commenced construction or reconstruction of the stationary RICE on or after December 19, 2002.

**New source (≤500 HP site rating)** – a major source of HAP emissions with a stationary RICE that commenced construction or reconstruction of the stationary RICE on or after June 12, 2006.

**Reconstructed Source** - a source whose modifications, i.e., the fixed capital costs associated with the changes to the stationary compression ignition RICE exceeded 50 percent of the fixed capital cost that would be required to construct a comparable new engine:

- For a major source of HAP emissions with a site rating of more than 500 brake horsepower (HP) that commenced construction or reconstruction of the stationary RICE on or after December 19, 2002.
- For a major source of HAP emissions with a site rating of equal to or less than 500 brake horsepower (HP) that commenced construction or reconstruction of the stationary RICE on or after June 12, 2006.
- For an area source of HAP emissions that commenced construction or reconstruction of the stationary RICE on or after June 12, 2006.

3. **When Must the Notification Forms Be Submitted?**

**Existing sources** are required to submit their Notification of Compliance Status by May 3, 2013.

The deadline for **new/reconstructed sources** to submit notification is 120 days after the source installs or constructs the stationary CI RICE.

4. **Where Do I Send The Completed Form?**

Please make copies of this form and submit the original signed copy by U.S. mail, or by another courier, to the **U.S. EPA Region 5 Office** at the following address. Mail one copy to your local DEQ District Office (refer to map on page 6):

U.S. EPA Region 5,
Compliance Tracker (AE-17J)
77 West Jackson Blvd.
Chicago, IL  60604
NOTIFICATION OF COMPLIANCE STATUS FOR STATIONARY RECIPROCATING INTERNAL COMBUSTION ENGINES (RICE) -Compression Ignition Engines-

NESHAP
40 CFR, Part 63, Subpart ZZZZ [§ 63.6580 - 63.6675]

Please review the Instructions before completing this form. Please print or type all information.

Source Type (please check one)  
☐ Major source of HAPS (attach emissions data generated for notification)  
☐ Area source of HAPS

PART A – FACILITY INFORMATION

Company Information

Company Name:  
Mailing Address:  
Telephone Number:  
Fax Number:  
City:        State:        Zip:

Owner/Operator Information

Name and Title:  
Mailing Address:  
Telephone Number:  
E-mail:  
City:        State:        Zip:

Please check whether the person listed above is owner or operator of the Facility:  
☐ Owner  ☐ Operator

Facility Location Information (If different from Company Information)

Company Name:  
Street Address:  
County:  
City:        State:        Zip:

Compression Ignition (CI) RICE Information
(Refer to Instructions for definitions)
Identify the CI engines located at the above location.

<table>
<thead>
<tr>
<th>Source Type</th>
<th>Site Rating (brake HP)</th>
<th>Fuels Combusted</th>
<th>Engine Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ New  ☐ Existing</td>
<td>☐ Non-Emergency ☐ Emergency</td>
<td>☐ Emergency --Residential, Commercial, Institutional ☐ Limited Use</td>
<td></td>
</tr>
<tr>
<td>☐ New  ☐ Existing</td>
<td>☐ Non-Emergency ☐ Emergency</td>
<td>☐ Emergency --Residential, Commercial, Institutional ☐ Limited Use</td>
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<td>☐ Emergency --Residential, Commercial, Institutional ☐ Limited Use</td>
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</tr>
</tbody>
</table>
PART B – METHODS

B.1 Describe the Methods Used to Determine Compliance


PART C – RESULTS

C.1 Describe the Results of Any Performance Tests, Opacity or Visible Emission Observations, Continuous Monitoring System (CMS) performance evaluations, and/or other Monitoring Procedures or Methods that were

Facility can attach test reports and output results from a continuous emissions monitoring system (CEMS) and/or CPMS to this notification.

Example Response:

<table>
<thead>
<tr>
<th>CI RICE ID #</th>
<th>Location</th>
<th>Test Date</th>
<th>HAP Reduction (%)</th>
<th>HAP Concentration</th>
<th>Catalyst Inlet Temperature</th>
<th>Catalyst Pressure Drop</th>
<th>Startup Time</th>
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PART D – CONTINUOUS COMPLIANCE

D.1 Describe the Methods you will use to Determine Continuous Compliance, Including a Description of Monitoring and Reporting Requirements and Test Methods


PART E – EMISSIONS

E.1 Describe the Type and Quantity of Hazardous Air Pollutants (HAPs) emitted by the source, Reported in units and averaging times and in accordance with the test methods specified in the relevant standard.

<table>
<thead>
<tr>
<th>CI RICE ID #</th>
<th>Location</th>
<th>Description</th>
<th>HAP Emitted</th>
<th>HAP Emitted (tons)</th>
<th>Period of Time Emitted</th>
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PART F – CONTROLS

F.1 Describe the air pollution control equipment or method for each emission point, including each control device (or method) for each hazardous air pollutant and the control efficiency (percent) for each control device or method.

<table>
<thead>
<tr>
<th>CI RICE ID #</th>
<th>Location</th>
<th>Equipment Type</th>
<th>Control Device</th>
<th>Control Efficiency</th>
<th>HAP Controlled</th>
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PART G – CONSTRUCTION/RECONSTRUCTION

G.1 Did you submit an application for construction or reconstruction that contained preliminary or estimated data? Yes No

G.2. If you answered yes, provide actual emission data or other corrected information below:

____________________________________________________________________

____________________________________________________________________

____________________________________________________________________

____________________________________________________________________
PART H – COMPLIANCE CERTIFICATION

H.1 Compliance Certification Statement

I certify the truth and accuracy and completeness of this notification and (Please check one of the following three statements):

(a) ☐ Yes, I am subject to Subpart ZZZZ, and considered an existing source in compliance with the relevant requirements by May 3, 2013.

(b) ☐ Yes, I am subject to Subpart ZZZZ, and considered a new source and will be/am compliant upon startup.

(c) ☐ Yes, I am subject to Subpart ZZZZ, and considered a new source that is not operating in compliance with Subpart ZZZZ. The following is an explanation of the noncompliance and details of the corrective actions being taken to achieve compliance.

Certifying Official: ☐ Owner ☐ Operator (check one)

Name of Certifying Official (print or type) ____________________________ Title ____________________________

Signature of Certifying Official ____________________________ Date ____________________________

Please make copies of this form and submit the original signed copy by U.S. mail, or by another courier, to the U.S. EPA Region 5 Office at the following address. Mail one copy to your local DEQ District Office (refer to map on page 6):

U.S. EPA Region 5,
Compliance Tracker (AE-17J)
77 West Jackson Blvd.
Chicago, IL 60604