

Department of Environmental Quality
HAZARDOUS WASTE TRANSPORTER INSPECTION FORM

Facility Name _____ Part 4 Rules

Date _____ ID# _____ 1994 PA 451

FACILITY COMPLIANCE REQUIRED IN ALL AREAS
 NI - Not Inspected N/A - Not Applicable)

TRANSPORTER GENERAL REQUIREMENTS Part 263, SUBPART A

APPLICABILITY

YES NO

1. Transportation requires a manifest under the requirements of Part 3? (Rule 401(1))	TGR	___ ___ NI N/A
2. Transportation under the provisions of Rule 304(5), SQG-Tolling? (Rule 401(1))	TGR	___ ___ NI N/A
3. Transportation only on-site of generator or treatment, storage or disposal facility (TSDF)? (Rule 401(2))	TGR	___ ___ NI N/A

NOTE: If "NO" to 1 & 2, and yes to 3, this checklist does not apply.

4. If transporter is US importer of haz. waste OR commingles compatible HW of different DOT shipping descriptions, does it comply with Part 3 (except Rule 307(4) & Rule 308(1)&(2) – biennial reporting) and accumulating time limits of Rule 404(1)(b)? (Rule 401(3))	TGR	[] ___ NI N/A
5. If transporter commingles HW from lab packs, does it comply with Part 5, 6 and 7 (TSDF requirements)? (Rule 401(4))	TGR	[] ___ NI N/A
6. If transporter of Federal HW subject to manifesting or universal wastes imported or exported to any countries listed in 40 C.F.R. 262.58(a)(1) for the purpose of recovery, does it comply with Rule 312? (Rule 401(6))	TGR	[] ___ NI N/A
7. Does the transportation involve an explosive or munitions emergency response which was conducted in accordance with (IAW) Rule 503(2)? (Rule 401(7))	TGR	___ ___ NI N/A

EPA IDENTIFICATION NUMBER Rule 402)

8. Does the transporter have an EPA ID number? (Rule 402)	TGR	[] ___ NI N/A
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TRANSPORTER REQUIREMENTS (Rule 403)

9. If transporting by highway, transporter is registered & permitted in accordance with (IAW) Act 138 (Haz. Mat. Trans. Act)? (Rule 403(1))	TGR	[] ___ NI N/A
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TRANSFER FACILITY REQUIREMENTS (Rule 404)

NOTE: Transfer facility means any transportation-related facility including loading docks, parking areas, storage areas, etc., where shipments of HW are held during transportation.

10. Transporter at transfer facility complies with the following		
a) Vehicles & HW managed to prevent releases to the soil, surface or groundwater, drains and sewers? (Rule 404(1)(a))	TGR	[] ___ NI N/A
b) Vehicles & HW managed to prevent fugitive emissions by closing, covering or otherwise sealing containers as required in 49 CFR 173.24(b) at all times unless the container is being filled, emptied of waste or being cleaned? (Rule 404(1)(a))	TGR	[] ___ NI N/A
11. HW stored in containers IAW 49 CFR, Part 107 & Part 172-180 for a period of 10 days or less OR if >10 days, comply with TSDF requirements? (Rule 404(1)(b))	TGR	[] ___ NI N/A
12. HW routed to the same transfer facility more than once? (Rule 404(1)(c)) (If no, skip to # 13)	TGR	___ ___ NI N/A
a) Was load rejected from a TSDF & returned to generator or alternate TSDF? (Rule 404(1)(c)(i))	OR	TGR
b) Was load undeliverable because of TSDF maintenance, overbooking or delivery after business hours? (Rule 401(1)(c)(ii))	TGR	[] ___ NI N/A

OFF-LOADING REQUIREMENTS (Rule 404)

NOTE: Off-loading transfer requirements do not apply if there is a continuous physical link between vehicle(s) & pipelines for purposes of offloading HW or with bulk packages where there is a break in link between transport vehicles no longer than necessary to transfer packaging from one vehicle to another.

13. Transporter off-loads HW from vehicle or conveyance of waste in accordance with Rule 503(1)(k) complies with following:		
a) Requirements of Rule 404(1) met? (Rule 404 (2)(a))	TGR	[] ___ NI N/A
b) Notified the dept. of initial activity & any changes w/in 30 days & notification includes:	TGR	[] ___ NI N/A
i) Transporter name & EPA identification number? (Rule 404(2)(b)(i))	TGR	[] ___ NI N/A
ii) Transporter mailing address? (Rule 404(2)(b)(ii))	TGR	[] ___ NI N/A
iii) Transporter telephone number? (Rule 404(2)(b)(iii))	TGR	[] ___ NI N/A
iv) Owner of the transfer facility? (Rule 404(2)(b)(iv))	TGR	[] ___ NI N/A
v) Location & telephone # of all transfer facilities? (Rule 404(2)(b)(v))	TGR	[] ___ NI N/A
vi) Description of the transfer activity performed at each location? (Rule 404(2)(b)(vi))	TGR	[] ___ NI N/A

		YES	NO
C) Financial capability obtained as specified in Rule 711? (Rule 404(2)(c))	TGR	[]	NI N/A
d) Rqrmnts. of 49 CFR Parts 130 & 172-180, & 40 CFR 263.31 (uses & management of containers) met?(Rule 404(2)(d))	TGR	[]	NI N/A
e) Secondary containment sufficient to prevent HW migrating to soil, groundwater or surface water? (Rule 404(2)(e))	TGR	[]	NI N/A
f) Requirements of 49 CFR 172.602, 172.702, 172.704, & 177.148 & 29 CFR Part 1910, subpart L & 1910.120(q) & 1910.132-1910.139 re.. preparedness & prevention, contingency planning & emergency procedure & trng. met? (Rule 404(2)(f))	TGR	[]	NI N/A
g) Inventory maintained? (Rule 404(2)(g))	TGR	[]	NI N/A
h) Inventory contains receipt date, off-site shipment date, manifest # & results of weekly inspections of storage areas? (Rule 404(2)(g))	TGR	[]	NI N/A
14. Transfer operation is located at a TSDF designated to receive HW? (Rule 404(3))	TGR	___ []	NI N/A

CONSOLIDATION and COMMINGLING (Rule 405)

15. If transporter consolidates containers of HW does original manifest for each container accompany shipment? (Rule 405(1))	TGR	[]	NI N/A
16. Transporter commingling HW? (Rule 405(2)) (If no, skip to #22)		___	NI N/A
17. If transporter commingles HW of same DOT shipping description where DOT haz. class & DOT packing group remain the same, then			
a) Complies w/ applicable provisions of 49 CFR Part 173 (requirements for shipping & packaging) met? Rule 405(2)(a)?	TGR	[]	NI N/A
b) Conducts commingling in secondarily contained area sufficient to contain release? (Rule 405(2)(b))	TGR	[]	NI N/A
c) Ensures commingled HW destined for single disposal facility? (Rule 405(2)(c))	TGR	[]	NI N/A
d) Ensures that incompatible wastes not commingled? (Rule 405(2)(d))	TGR	[]	NI N/A
e) Ensures commingled wastes do not undergo chem. or thermal changes & resultant HW retains both physical & chemical properties of individual wastes? (Rule 405(2)(e))	TGR	[]	NI N/A
f) Ensures that generator authorized commingling per Rule 304(6) & enters "com-same" in special handling instructions section of manifest? (Rule 405(2)(f))	TGR	[]	NI N/A
g) For bulk rail or water shipments, where original shipment transported by more than one vehicle ensures that :			
i) Extra copies of manifest, as provided by the generator, accompany each vehicle? (Rule 405(2)(g))	TGR	[]	NI N/A
ii) DOT approved shipping paper prepared by transporter attached to top of manifest? (Rule 405(2)(g))	TGR	[]	NI N/A
iii) Shipping paper reflects differences from original re.. quantity, count and packaging? (Rule 405(2)(g))	TGR	[]	NI N/A
18. If commingling HW resulted in changes to qty., count or packaging on generator manifests ensures that:			
a) DOT approved shipping paper prepared by transporter attached to top of manifest? (Rule 405(2)(h))	TGR	[]	NI N/A
b) Shipping paper reflects differences from original re. quantity, count and packaging? (Rule 405(2)(h))	TGR	[]	NI N/A
19. If commingled load rejected by TSDF , then:			
a) Transporter contacted all generators to designate alternative TSDF? (Rule 405(2)(i))	TGR	[]	NI N/A
b) Transporter ensured that rejected load is not returned to any single generator? (Rule 405(2)(i))	TGR	[]	NI N/A
20. Transporter commingles HW which has different DOT shipping descriptions which alter components of HW on generator manifests complied with:			
a) Requirements of Sub rule 405(2)(a-3)	TGR	[]	NI N/A
b) Notified the dept. of initial activity & any changes w/in 30 days & notification includes: (Rule 405(3)(b))	TGR	[]	NI N/A
i) Transporter named and EPA ID number? (Rule 405(3)(b)(i))	TGR	[]	NI N/A
ii) Transporter mailing address? (Rule 405(3)(b)(ii))	TGR	[]	NI N/A
iii) Transporter telephone number? (Rule 405(3)(b)(iii))	TGR	[]	NI N/A
iv) Owner of transfer facility? (Rule 405(3)(b)(iv))		[]	NI N/A
v) Location & phone number where commingling activity(if other than generator site) is performed? (Rule 405(3)(b)(v))	TGR	[]	NI N/A
vi) Description of commingling activity performed at each location? (Rule 405(3)(b)(vi))	TGR	[]	NI N/A
c) New manifest prepared as a generator, in accordance with Part 3? (Rule 405(3)(c))	TGR	[]	NI N/A
d) Transporter noted (in new manifest, under special handling instructions) "com-dif" & orig. gen. manifest number(s)? (Rule 405(3)(d))	TGR	[]	NI N/A
e) Transporter- initiated manifest & gen. manifests accompany the HW to TSDF? (Rule 405(3)(e))	TGR	[]	NI N/A
i) Transporter-initiated manifest segregated from generator manifest during shipment? (Rule 405(3)(e))	TGR	[]	NI N/A
ii) All generator and transporter manifests signed by TSDF upon receipt? (Rule 405(3)(e))	TGR	[]	NI N/A
f) Ensured that generator enters "com-dif" & new manifest doc. in "special handling instructions. & additional Info" section of manifest. (Rule 405(3)(f))	TGR	[]	NI N/A
g) Complied with Part 3 of these rules, except Rule 307(4) & 308(1) and accumulation time limits? (Rule 405(3)(h))	TGR	[]	NI N/A
21. If commingled load rejected by TSDF then:			
a) Transporter contacts all generators to designate an alternative TSDF? (Rule 405(3)(h))	TGR	[]	NI N/A

		YES	NO
b) Transporter ensures that rejected load is not returned to any single generator? (Rule 405(3)(h))	TGR	[]	NI N/A

TRANSPORTER VEHICLE REQUIREMENTS (Rule 406)

22. Copy of Act 138 credentials available upon request and carried in vehicles? (Rule 406(1))	TGR	[]	NI N/A
23. Vehicles & containers used to transport HW closed and covered? (Rule 406(2))	TGR	[]	NI N/A
24. Outside of vehicles & accessory equipment free of HW? (Rule 406(2))	TGR	[]	NI N/A
25. Vehicles cleaned & purged of vapor before transport of products, incompatible waste, or non-waste material? (Rule 406(3))	TGR	[]	NI N/A
26. Protects HW from exposure to weather, fire, phys. damage or vandals? (Rule 406(4))	TGR	[]	NI N/A

TRANSPORTER FACILITY REQUIREMENTS (Rule 407)

27. Evidence of HW escaping to air, soil, surface water, groundwater, drains or sewers? (Rule 407 (2)(a))	TGR	___ []	NI N/A
28. If vehicles cleaned on site, proper procedures for wash water disposal? (Rule 407(2)(b))	TGR	[]	NI N/A
29. Facility constructed to minimize possibility of release of HW to the soil, groundwater, or surface water? (Rule 407(2)(c))	TGR	[]	NI N/A

MANIFESTING (Rule 409)

30. Michigan manifest utilized for HW destined to a Michigan facility? (Rule 409(1))	TMR	[]	NI N/A
31. Manifest, equiv. to EPA for 8700-22, used for HW shipments not generated in MI nor destined for MI facility? (Rule 409(1))	TMR	[]	NI N/A
32. Complies with provisions of 40 CFR 263, subpart B, regarding manifest system, compliance with manifest and record-keeping? Rule 409(1))	TMR	[]	NI N/A
33. If HW NOT delivered in accordance w/ manifest & provisions of 40 CFR 263.21(a), then:			
a) Manifest revised in accordance w/ 40 CFR , part 263.21(b)? (Rule 409(2))	TMR	[]	NI N/A
b) In the comments sect. name & phone number of generator contact for instructions printed legibly? (Rule 409(2))	TMR	[]	NI N/A
34. If manifested shipment results in a significant discrepancy as specified in R 608 & a total or partial rejected shipment, did the transporter acknowledge receipt of shipment by signing & dating original manifest before leaving designated facility? (Rule 409(3)(a))	TMR	[]	NI N/A
35. For total rejected shipment returned to the generator:			
a) Gen. signed & dated orig. manifest acknowledging receipt of returned shipment? (Rule 409(3)(b)(i))	TMR	[]	NI N/A
b) Transporter retained copy of original manifest? (Rule 409(3)(b)(ii))	TMR	[]	NI N/A
36. If manifested shipment was a total rejected shipment sent to alternative facility did transporter obtain generator permission to alter original manifest to designate alternative facility? (Rule 409(3)(c))	TMR	[]	NI N/A
37. Did transporter document (in comments section per sub rule 2) generator permission? (Rule 409(3)(c))	TMR	[]	NI N/A
38. For partial rejected shipment returned to generator, did transporter:			
a) Have generator sign & date orig. manifest acknowledging receipt of returned shipment? (Rule 409(3)(d)(i))	TMR	[]	NI N/A
b) Retain transporter copy of original manifest? (Rule 409(3)(d)(ii))	TMR	[]	NI N/A
39. For rejected shipment, new manifest prepared by generator in accordance w/ Part 3 prior to transport? (Rule 409(3)(e))	TMR	[]	NI N/A
40. Transporter records maintained for 3 years? (Rule 409(4))	TMR	[]	NI N/A

HAZARDOUS WASTE DISCHARGES & EMERGENCY RESPONSE (Rule 410)

41. Fire explosion/ other discharge of HW occurred during transportation did transporter:			
a) Take appropriate actions to protect human health & the environment? (Rule 410(1))	TWD	[]	NI N/A
b) Local authorities notified? (Rule 410(1))	TWD	[]	NI N/A
c) DEQ notified via PEAS? (Rule 410(1))	TWD	[]	NI N/A
d) Required information included as part of PEAS reporting? (Rule 410(1)(a-f))	TWD	[]	NI N/A
42. During an emergency was transporter authorized by government official to remove waste w/out manifest or w/out transporter possessing an EPA ID number or being permitted under Act 138? (Rule 410(2))	TWD	[]	NI N/A
43. Has transporter who has discharged haz waste complied with:			
a) Notice given to the National Response Center as required by 49 CFR 171.15? (Rule 410(3)(a))	TWD	[]	NI N/A
b) Written report provided to Director, Office of Haz. Materials Regulations, Dept. of Trans., Wash., D.C., as required in 49 CFR 171.16? (Rule 410(3)(b))	TWD	[]	NI N/A
c) Notice provided for a bulk water shipment as required in 33 CFR 153.203? (Rule 410(3)(c))	TWD	[]	NI N/A
d) Appropriate clean-up completed? (Rule 410(3)(d))	TWD	[]	NI N/A

LAND DISPOSAL RESTRICTIONS (Rule 413)

44. Transporter complied with land disposal restrictions of 40 CFR, part 268? (Rule 413(1))	TGR	[]	NI N/A
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