FY 19/20

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

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To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	August 2020			
	INVESTMENT/SECURITIES			
WARREN	ANDREW PECKAT Unregistered Complaint No. 342075	8/14/2020	1. Fine \$20,000	Violation of Securities Act and/or Rule Violation
BAY CITY	11 TH HOUR FUNDING, LLC Unregistered Complaint No. 342505	8/26/2020	2. Fine \$20,000	Violation of Securities Act and/or Rule Violation
LINWOOD	ANTHONY BAKER Unregistered Complaint No. 342248	8/20/2020	1. Fine \$10,000	Violation of Securities Act and/or Rule Violation
BAY CITY	ACCURA TRANSACTION MANAGEMENT, LLC Unregistered Complaint No. 342506	8/20/2020	1. Fine \$10,000	Violation of Securities Act and/or Rule Violation
ALPHARETTA, GA	CHRISTOPHER MARTIN Unregistered Complaint No. 342247	8/26/2020	1. Fine \$20,000	Violation of Securities Act and/or Rule Violation
FARMINGTON HILLS	WOODSHED AGENCY, LLC Unregistered Complaint No. 341928	8/27/2020	1. Fine \$1,000	Violation of Securities Act and/or Rule Violation