FY 20/21

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

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To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	October 2020			
	INV/SEC			
STERLING HEIGHTS / OTTAWA LAKE	BIOGENIC, INC. Unregistered Complaint No. 342455	10/19/2020	1. Fine \$20,000 2. Cease and Desist	Violation of Securities Act and/or Rule Violation
GRAND RAPIDS	IMS LTD, LLC Unregistered Complaint Nos. 342404 & 342442	10/5/2020	1. Cease and Desist	Violation of Securities Act and/or Rule Violation
WEST OLIVE	JOSHUA RUPP Unregistered Complaint Nos. 342403 & 342441	10/5/2020	Cease and Desist	Violation of Securities Act and/or Rule Violation
	TRANSPORTATION COMPANY			
TAYLOR	ACTIVE CARS, LLC Taxicab Carrier Registration No. 58-02-000130 Complaint No. 342524	10/15/2020	1. Fine \$237.38 2. Other Documentation	Violation of Limousine, Taxicab and Transportation Network Company Act
WARREN	METRO BLACK, LLC Limousine Carrier Registration No. 58-03-001280 Complaint No. 342697	10/15/2020	1. Fine \$232.08 2. Other Documentation	Violation of Limousine, Taxicab and Transportation Network Company Act