

Top Six OASIS Error Messages in Michigan January – June 2017

If the action indicated is “No Action Necessary” make sure the data is accurate to the best of your knowledge. If data is incorrect then please make appropriate corrections per the Error Guide which can be found at <https://www.qtso.com/hhatrain.html> . See OASIS Submission User’s Guide, Section 5.

If needed, contact the MDS/OASIS Help Desk at 888-324-2647 LARA-MDS-OASIS@michigan.gov . **DO NOT send ANY HIPPA** protected information via email (Patient ID, Assessment ID's, and Batch ID Numbers QIES ASAP System are preferred).

1. -3330 Warning 69,085

Error Message:

Record Submitted Late: The submission date is more than 30 days after M0090 on this new record.

Error Description:

Cause:

The assessment was not submitted within the CMS timing guidelines.

- IF this is a new record (TRANS_TYPE_CD is 1), THEN the submission date minus M0090 (Date Assessment Completed) should be no more than 30 calendar days.

Actions:

No action is required.

To avoid this warning in the future, review the assessment timing schedule in the OASIS-C1 Guidance Manual and verify that all assessments are submitted in a timely manner.

2. -915 Warning 27,699

Error Message:

Patient Information Mismatch: Submitted value(s) for the item(s) listed do not match the values in the QIES ASAP database. If the record was accepted, the patient information in the database was updated. Verify that the new information is correct.

Error Description:

Cause:

Based on patient-matching criteria, patient (patient) information in this OASIS record, though not identical, is similar enough to patient (patient) information in the QIES ASAP System that a match was identified. If the record was accepted, one or more fields in the QIES ASAP System were updated with the new or previously missing information.

Top Six OASIS Error Messages in Michigan January – June 2017

Example:

The patient's first name was spelled differently, the birth date was entered incorrectly, or the agency is now submitting information that was previously unknown (such as the Medicare or Medicaid number).

Definition:

Patient-matching criteria: Criteria used to match key patient-identifying information in an assessment record with an existing patient (patient) known to the QIES ASAP System.

Tip:

The patient-matching process may update the following patient (patient) information:

- o last name
- o first name
- o middle initial
- o birth date
- o death date
- o social security number
- o Medicare number
- o Medicaid number
- o gender
- o race/ethnicity
- o current Facility Internal ID

Action:

Verify the old and new information.

If the new information is correct, no action is required.

If the new information is not correct, make appropriate modifications to the record and resubmit.

Refer to the OASIS Correction policy as necessary.

3. -903	Fatal	23,797
----------------	--------------	--------

Error Description:

Required Item Missing or Invalid: Based on the OASIS Data Specifications in effect on the effective date of this record, this item is required.

Potential Causes:

- A valid value was not submitted in this item. Based upon the OASIS Data Submission Specifications in effect for this record, this item is required.
- The length of the value submitted for this item exceeds the maximum length allowed.

Action:

Make appropriate corrections to the record and resubmit.

Top Six OASIS Error Messages in Michigan January – June 2017

Refer to the data specifications in effect for this record to identify the acceptable values for this item.

4. -907 Fatal 9,957

Error Message:

Duplicate Assessment: The submitted record is a duplicate of a previously accepted record.

Error Description:

Cause:

The submitted record is a duplicate of a previously accepted record for this patient.

Tips:

Duplicate records match on the following items:

- HHA Agency ID
- Effective Date
- M0040-First (First name)
- M0040-Last (Last name)
- M0064 (Social Security Number)
- M0066 (Birth Date)
- M0069 (Gender)
- M0100 (Reason For Assessment)

Effective Date is defined as: - M0030 (Start of Care Date) when M0100 (Reason for Assessment) is 01; - M0032 (Resumption of Care Date) when M0100 is 03; - M0090 (Date Assessment Completed) when M0100 is 04 or 05; - M0906 (Discharge/Transfer/Death Date) when M0100 is 06, 07. Or 08.

Action:

Determine why this record was submitted multiple times.

DO NOT resubmit this record as it is already in the database.

DO NOT resubmit an original after a modification. If the modification was in error, you must submit another modification to correct the error.

5. -909 Warning 9,957

Error Message:

Inconsistent Record Sequence: Under CMS sequencing guidelines, the type of assessment in this record does not logically follow the type of assessment in the record received prior to this one.

Error Description:

Top Six OASIS Error Messages in Michigan January – June 2017

Cause:

The submitted record does not satisfy CMS sequencing guidelines when compared to the record that was submitted to the QIES ASAP System prior to this one for this patient.

- The reasons for assessment in this record indicate that it was submitted out of order. The record that should have preceded this record may not have been submitted successfully.
- The patient's identifying information in this record may differ from the patient-identifying information submitted for this patient in a prior record. The current and prior records may have matched to different resident records causing what appears to be a failure in the sequencing order.

Definition:

Resident Table Row: Each person for whom an OASIS record is submitted to the QIES ASAP System is noted in a row on the resident table in the database. This row contains the person's identifying information and is used to link subsequent OASIS records for that person as they are submitted. If identifying information submitted for a patient does not match an

Action:

There are a limited number of exceptions to the sequencing guidelines. If you are certain this record is correct due to an exception, no action is necessary.

If this message occurred because a record was completed and not submitted, submit the missing record now.

Review activity reports and/or roster reports to assure that all records are submitted timely.

If after reviewing activity reports and/or roster reports you believe a new patient may have been created in error, contact your State OASIS Automation Coordinator.

Refer to the OASIS-C1 Guidance Manual for additional information.

6.	-3320	Warning	3,132
----	-------	---------	-------

Error Message:

Inconsistent Dates: If M0100 is equal to 09, then M0090 minus M0906 should be greater than or equal to zero and less than or equal to 2 days.

Error Description:

Cause:

The assessment was not completed within CMS timing guidelines.

- IF M0100 (Reason for Assessment) is 09 and M0090 (Date Assessment Completed) and M0906 (Discharge/Transfer/Death Date) are both active and are not blank (^), THEN M0090 minus M0906 should be greater than or equal to zero but no more than 2 calendar days.

Action:

No action is required. Make corrections, if appropriate, to the record and resubmit.

To avoid this warning in the future, review the assessment timing schedule in the OASIS-C1 Guidance Manual and verify that all assessments are submitted in a timely manner.