

**Error Codes for HOME CARE 1.00 SUBMISSION
FILES
(VERSION 1.00)**

**MICHIGAN DEPARTMENT OF COMMUNITY HEALTH
Lansing, MI**

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G:\dvlpapps\Long Term Care\Home Care\Docs\Home Care Error Codes.doc

***ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)***

TABLE OF CONTENTS – Error Codes for HOME CARE 1.00

FILE LEVEL ERROR CODES (FILE REJECTION) – HEADER / TRAILER 1

 RECORD LEVEL ERROR CODES - (Record Rejection) 2

 FIELD LEVEL ERROR CODES 5

**ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)**

| FILE LEVEL ERROR CODES (FILE REJECTION) – HEADER / TRAILER | |
|---|---|
| Error Code | Error Message |
| 0000 | A "G" is placed in a single record telling that the file had no errors. |
| 1000 | No Header Record |
| 1001 | No Trailer Record |
| 1002 | The number of records does not match the record count specified in the Trailer Record EDI Record Count Field (Index 13). |
| 1003 | User ID Error. First four characters (EDI USER – prefix (Index 4) must be "DCH00" follow by 2 character Service Bureau Claim ID in the EDI USER field (Index 5). |
| 1004 | Invalid Application Identifier (Index 2). It must be "MA". |
| 1005 | <p>The Header and Trailer fields must be upper case. If the fields are in lower case a fatal error will occur.</p> <p>It s recommended that the data record fields be upper case but if lower case is used then the warehouse computer program will convert the field to upper case.</p> <p>Upper case edits are different between the Header/Trailer and data records because two different programs control the edits. The DEG program edits the Header/Trailer and the Bull warehouse program edits the data record.</p> |
| 1006 | Records not separated by carriage return and Line Feed. This applies to the Screen, Facesheet, Assessment, Reassessment and Medication records. This does not apply to the Header and Trailer records. |
| 1007 | The Header data does not match with the Trailer data. I.e. Items from index 2-12 in Header must match with items from index 2-12 in the Trailer record. |
| 1008 | No Data Records found |
| 1009 | Record length in error. Record will be rejected, not the file. |

**ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)**

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|--|--|
| Error Code | Error Message |
| 2000 | Invalid or Missing Record Type (Index 2). This field is required and cannot be blank. Valid values are: S = Screen F = Face Sheet A = Assessment R = Reassessment M = Medication C = Client Status B = Care Setting Status D = MOU Status W = Waiver Status |
| 2001 | Invalid or Missing Record ID (Index 1). This field is required and cannot be blank. Valid Values are: A = Add new record M = Modify record D = Delete record |
| 2002 | Invalid or Missing Record Indicator (Index 3) This field is required and cannot be blank. Applies ONLY FOR Record Types F – Facesheet and M - Medication. Valid Values are A = Assessment R = Reassessment |
| 2003 | Edits no longer exist. |
| 2004 | Invalid or Missing Agent Code. For valid values for the Agents refer document CODE_TABLES.doc This Error applies to REC_TYPES S, A, R - Agent Code Index 6 REC_TYPE F, M - Agent Code Index 7 REC_TYPE C, B, D, W – Agent Code Index 5 |
| 2005 | Missing or Invalid Social Security Number. Format is 999-99-9999. (2 dashes allowed) This Error applies to REC_TYPES S, A, R– Social Security Number Index 5 REC_TYPE F, M, C, B, D, W – Social Security Number Index 6 |

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(Version 1.00)**

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|--|--|
| Error Code | Error Message |
| 2006 | <p>This field is required and cannot be blank.</p> <p>This error applies to REC_TYPE S (Screen) - Client Last Name (Index 7), Client First Name (Index 8), Screening Date (Index 21), SSN Verification (Index 25) REC_TYPE F (Facesheet) - Client Last Name (Index 8), Client First Name (Index 9), Screening Date (Index 24), Facesheet Date (Index 27) REC_TYPE A (Assessment) - Screening Date (Index 8), Date of Assessment/Reassessment (Index 9) REC_TYPE R (Reassessment) - Screening Date (Index 8), Date of Assessment/Reassessment (Index 9) REC_TYPE M (Medication) - Date of Assessment/Reassessment (Index 8), SEQ_NUM (Index 9), Name/Strength (Index 10). REC_TYPE C (Client Status) – Screening Date (Index 7), CASE_STATUS (Index 8), Date Case Status Started (Index 9). REC_TYPE B (Care Setting Status) – Screening Date (Index 7), Type of Care Setting (Index 8), Date Care Setting Starts (Index 9). REC_TYPE D (MOU Status) – Screening Date (Index 7), MOU Status Code (Index 8), Start Date of MOU Status (Index 9). REC_TYPE W (Waiver Status) – Screening Date (Index 7), Client Type (Index 8), Clients Waiver Eligibility Status (Index 9), Start date of Waiver Eligibility Status (Index 10).</p> |
| 2007 | <p>Invalid Diagnosis Code</p> <p>This error applies to REC_TYPE A (Assessment), R (Reassessment) – ICD – 9 Code (Index 228 - 231) The error could be any of the four codes.</p> |
| 2008 | <p>Record Already Exists – This error will occur when trying to add a new record that is already established.</p> <p>This error applies when Adding a Screen Record (REC_TYPE S, RECORD_ID A). Adding a Facesheet Record (REC_TYPE F, RECORD_ID A) Adding a Assessment Record (REC_TYPE A, RECORD_ID A) Adding a Reassessment Record (REC_TYPE R, RECORD_ID A) Adding a Medication Record (REC_TYPE M, RECORD_ID A) Adding a Client Status Record (REC_TYPE C, RECORD_ID A) Adding a Care Setting Status Record (REC_TYPE B, RECORD_ID A) Adding a MOU Status Record (REC_TYPE D, RECORD_ID A) Adding a Waiver Status Record (REC_TYPE W, RECORD_ID A)</p> <p>For modification of the existing record, That updated record should be submitted with RECORD_ID M (Modification) with the corresponding record types. Once an invalid transaction is found any other transaction that has those same key fields is assumed to be in error also. An attempt to delete a record that does not exist followed by an attempt to add a record with the same key fields is an error.</p> |

**ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)**

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|--|--|
| Error Code | Error Message |
| 2009 | <p>Record Does not Exist – This error will occur when trying to modify or delete a record that is not on file.</p> <p>This error applies when</p> <ul style="list-style-type: none"> Modifying or deleting a Screen Record (REC_TYPE S, RECORD_ID M, D) Modifying or deleting a Facesheet Record (REC_TYPE F, RECORD_ID M, D, REC_INDICATOR A, R) Modifying or deleting a Assessment Record (REC_TYPE A, RECORD_ID M, D) Modifying or deleting a Reassessment Record (REC_TYPE R, RECORD_ID M, D) Modifying or deleting a Medication Record (REC_TYPE M, RECORD_ID M, D) Modifying or deleting a Client Status Record (REC_TYPE C, RECORD_ID M, D) Modifying or deleting a Care Setting Status Record (REC_TYPE B, RECORD_ID M, D) Modifying or deleting a MOU Status Record (REC_TYPE D, RECORD_ID M, D) Modifying or deleting a Waiver Status Record (REC_TYPE W, RECORD_ID M, D) |
| 2010 | <p>No corresponding Screen Record – The screen record must be established first before any of the records below can be added.</p> <p>This error applies when</p> <ul style="list-style-type: none"> Adding a new Facesheet Record (REC_TYPE F, RECORD_ID A) Adding a new Assessment Record (REC_TYPE A, RECORD_ID A) Adding a new Reassessment Record (REC_TYPE R, RECORD_ID A) Adding a new Client Status Record (REC_TYPE C, RECORD_ID A) Adding a new Care Setting Status Record (REC_TYPE B, RECORD_ID A) Adding a new Memo of Understanding Status Record (REC_TYPE D, RECORD_ID A) Adding a new Waiver Status Record (REC_TYPE W, RECORD_ID A) |
| 2011 | <p>No corresponding Assessment Record – The assessment record must be established before adding the Assessment related Medication Records.</p> <p>This error applies when</p> <ul style="list-style-type: none"> Adding a new Medication record for the corresponding Assessment (REC_TYPE M, REC_INDICATOR A, RECORD_ID A) |
| 2011 | <p>No corresponding Reassessment Record – The reassessment record must be established before adding the Reassessment related Medication Record.</p> <p>This error applies when</p> <ul style="list-style-type: none"> Adding a new Medication record for the corresponding Reassessment (REC_TYPE M, REC_INDICATOR R, RECORD_ID A) |
| 2012 | <p>The Screening record cannot be added because the prior screening has a client status of open or active. A prior screening must be closed before a new screening can be added.</p> |

**ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)**

RECORD LEVEL ERROR CODES - (Record Rejection)

| Error Code | Error Message |
|-------------------|---|
| 2013 | <p>Record cannot be Deleted because other records exist that are linked to it.</p> <p>This error applies when</p> <p>Deleting a Screen Record (REC_TYPE S, RECORD_ID D). When an assessment or reassessment exists that is linked to the Screen Record by having the same Social Security Number, Agent Code, and Screening Date.</p> <p>Deleting a Screen Record (REC_TYPE S, RECORD_ID D). When a Client Status record exists that is linked to the Screen Record by having the same Social Security Number, Agent Code, and Screening Date.</p> <p>Deleting a Screen Record (REC_TYPE S, RECORD_ID D). When a Care Setting Status record exists that is linked to the Screen Record by having the same Social Security Number, Agent Code, and Screening Date.</p> <p>Deleting a Screen Record (REC_TYPE S, RECORD_ID D). When a MOU status record exists that is linked to the Screen Record by having the same Social Security Number, Agent Code, and Screening Date.</p> <p>Deleting a Screen Record (REC_TYPE S, RECORD_ID D). When a Waiver Status record exists that is linked to the Screen Record by having the same Social Security Number, Agent Code, and Screening Date.</p> <p>Deleting an Assessment or Reassessment Record (REC_TYPE A, RECORD_ID D) or (REC_TYPE R, RECORD_ID D). When Medication records exists that are linked to the Assessment or Reassessment Record by having the same Social Security Number, Agent Code, and Assessment or Reassessment Date.</p> |
| 2014 | An error for this Social Security Number has already been encountered in this file. No other actions for this Social Security Number will be allowed in this run. |

FIELD LEVEL ERROR CODES

| Error Code | Error Message |
|-------------------|--|
| 3000 | Invalid Date. – For any dates fields the format is MMDDYYYY. For Month valid values are 1-12, for Day valid values are 1 – 31, for Year valid values are 0001 – 9999. |
| 3001 | Invalid Code Range – Use the HOME CARE Code Tables document for a list of acceptable values. |
| 3002 | Invalid Area Code - For any area code fields if the value is present the format is 999. Invalid Phone number – For any phone number fields if the value is present the format is 999-9999. |
| 3003 | Invalid Value. For list of valid range of codes Refer to the document Home Care Code Tables.doc. In the Screening (REC_TYPE S) this applies for SCREEN SOURCE, AGENT CODE, and COUNTIES fields. For the Zip field refer to the table CommonRef.zip. In the Facesheet (REC_TYPE F) this applies for RACE and TOWNSHIP fields. |

**ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)**

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|--------------------------------|---|
| | |
| 3004 | For a list of valid status codes Refer to the document Home Care STATUS CODE TABLES.doc Applies for CASE_STATUS, CLOSE_REASON, CARE_SETTING, MOU_STATUS_CODE, CLIENT_TYPE and WAIVER_ELIGIBILITY fields. |

| Error Code | Error Message |
|-------------------|---|
| 4001 | The edits for this have been removed. |
| 4002 | The Creation date must be after: The Screening Date is Index 21 for Screen record, the Facesheet date Index 24 for Facesheet record, Assessment date Index 8 for Assessment record, Reassessment date Index 8 for Reassessment record. |
| 4003 | This date must be PRIOR to the SCREENING DATE. The Screening Date is Index 21 for Screen record, 24 for Facesheet record, 8 for Assessment record, 8 for Reassessment record. This Error applies to REC_TYPE S - Client Birth Date (Index 10) REC_TYPE F – Client Birth Date (Index 11), Spouse Date of Birth (Index 32) |
| 4004 | This field Index 35 should be equal to Screen House Work field (form location SC_A1, Index 27). See the consistency note for this Item Index 35 in Screen Data Layout in the document Data Layouts for HOME CARE 1.00 Submission File. |
| 4005 | This field Index 52 should be blank if Screen Bathing field (form location SC_A3, Index 29, REC_TYPE S) is blank See the consistency note for this Item Index 52 in Screen Data Layout in the document Data Layouts for HOME CARE 1.00 Submission File. |
| 4006 | This date must be PRIOR TO OR SAME AS FACESHEET DATE (Index 27, REC_TYPE F). This Error applies to REC_TYPE F – Referral Date (Index 49) |
| 4007 | This date must be PRIOR TO OR SAME AS Date of Assessment/Reassessment (Index 9, REC_TYPE A, R). This Error applies to REC_TYPE A, R – Screening Date (Index 8) |
| 4008 | Value must be blank because Assessment/Reassessment field for Helper Only, Primary: Lives with Client (form location AR_C6, Index 62) = 2 (No such Helper). This Error applies to REC_TYPE A, R – Items from Index 64 - 75 See the consistency notes of Item Index 64-75 in Assessment/Reassessment Data Layout in the document Data Layouts for HOME CARE 1.00 Submission File. |

**ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)**

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| 4009 | Value must be blank because Assessment/Reassessment field for Self Injury: Self injurious attempt (form location AR_G7a, Index 142) = 0 (None – No Self Injury) This Error applies to REC_TYPE A, R – Item Index 143 See the consistency note for this Item Index 143 in Assessment/Reassessment Data Layout in the document Data Layouts for HOME CARE 1.00 Submission File. |
| 4010 | Value must be blank because Assessment/Reassessment field for Mental Retardation (form location AR_G10, Index 151) = 0 or space This Error applies to REC_TYPE A, R – Item Index 152 - 154 See the consistency note for this Item Index 152-154 in Assessment/Reassessment Data Layout in the document Data Layouts for HOME CARE 1.00 Submission File. |
| 4011 | Value must be blank because Assessment/Reassessment field for Developmental Disability (form location AR_G11, Index 155) = 0 or space. This Error applies to REC_TYPE A, R – Item Index 156 - 158 See the consistency note for this Item Index 156-158 in Assessment/Reassessment Data Layout in the document Data Layouts for HOME CARE 1.00 Submission File. |
| 4012 | Value must be blank because Assessment/Reassessment field for Infections: None of the Above (form location AR_I8, INDEX 227) = 1 ("Infections: None of the Above" option "Yes" is selected) This Error applies to REC_TYPE A, R – Items from Index 215 - 226 See the consistency note of Item Index 215-226 in Assessment/Reassessment Data Layout in the document Data Layouts for HOME CARE 1.00 Submission File. |
| 4013 | This date must be PRIOR TO Date of Assessment/Reassessment (Index 9, REC_TYPE A, R). This Error applies to REC_TYPE A, R – Screening Date (Index 8) |
| 4014 | This date must be PRIOR TO OR SAME AS FROM_DATE (Index 9, REC_TYPE C, B, D AND Index 10, REC_TYPE W). This Error applies to REC_TYPE C, B, D, W – Screening Date (Index 7) |
| 4015 | If TO_DATE DATE (Index 10, REC_TYPE C, B, D AND Index 11, REC_TYPE W) is not space then the following dates must be PRIOR TO OR SAME AS TO_DATE: This Error applies to REC_TYPE C, B, D – FROM_DATE (Index 9) REC_TYPE W – FROM_DATE (Index 10) |
| 4016 | The Medicaid Number in Facesheet (Index 80) is invalid. The Medicaid Number is verified against the beneficiary table on the Warehouse. |

***ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)***

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(Version 1.00)**

Log of Updates to Manual

| Update Date | Person who updated Manual | Update Description |
|--------------------|----------------------------------|--|
| 03/08/2003 | Andree Almer | Changed which Assessment/Reassessment Index Error Code 4012 affects to 215 – 226 from 164- 226. Changed error code 4015 to read "IF TO_DATE is not Spaces then this date ..." |
| 06/4/2003 | Andree Almer | Added error code 4016- Medicaid Id is incorrect. |
| 06/12/2003 | Andree Almer | Changed error code 2012 to include that assessment/reassessment record cannot be modified after they are locked. |
| 06/29/2003 | Andree Almer | Changed error code 2013 to include that assessment/reassessment record cannot be deleted after they are locked. |
| 07/28/2003 | Andree Almer | Error Message 2005 was changed to reflect that the Social Security Number Edits have been removed because the edits are changing too often to keep up with them in the programs. |
| 12/23/2003 | Julie Donall | Error code 2008 – An invalid transaction followed by other transactions with the same key fields is an error. |
| 03/29/2005 | Julie Donall | Added error code 1009 – incorrect record length. |
| 03/29/2005 | Julie Donall | Error code 2003 is no longer in use. The Record Creation Date is no longer used as part of the key. It is no longer a required field. |
| 03/29/2005 | Julie Donall | Error 2005, added "or invalid" to the edit. |
| 03/29/2005 | Julie Donall | Error code 2012 is no longer in use. The record locking edits have been removed. |
| 03/29/2005 | Julie Donall | Error code 2013 has been |

**ERROR CODES FOR HOME CARE 1.00 SUBMISSION FILES
(Version 1.00)**

| | | |
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| | | changed to represent another error. All locking edits have been removed. It will be reused for: A Record cannot be Deleted because other records exist that are linked to it. See the error 2013 for details. |
| 03-30-2005 | Julie Donall | The edits for error 4001, Record Creation Date <= current date, have been removed. Record Creation Date is no longer required and is no longer used as part of the keys. |
| 03-30-2005 | Julie Donall | The edits for error 4002, record date comparison, have been removed. Record Creation Date is no longer required and is no longer used as part of the keys. |
| 04-14-2005 | Julie Donall | Error 2010, added status records REC_TYPE C, B, D, W to the list. |
| 04-14-2005 | Julie Donall | Error 3000, Added: for Day valid values are 1 – 31, for Year valid values are 0001 – 9999. |
| 04-14-2005 | Julie Donall | Error 3003, change to specify which record the item comes from. For zip refer to CommonRef.zip for valid values. |
| 04-14-2005 | Julie Donall | Error 4016, Medicaid # is found in the Facesheet record. |
| 04-14-2005 | Julie Donall | Changed all instances of "Uniform Data Submission Specifications for the HOME CARE 1.0" to "Data Layouts for HOME CARE 1.00 Submission file". |
| 02-01-2007 | Julie Donall | Error 2007 – The code in error could be any of the four codes. |
| 06-19-2007 | Julie Donall | Added error code 2014 |
| 06-19-2007 | Julie Donall | Added error code 2012 |