Michigan Department of Community Health (MDCH or Department) Report to Certificate of Need (CON) Commission Urinary Extracorporeal Shock Wave Lithotripsy (UESWL) Standards March 25, 2010

On January 28, 2010, the Michigan Department of Community Health made a recommendation to the CON Commission that it consider these standards for deregulation. The department utilized the Commission's "Guiding Principles for Determining Whether a Clinical Service should Require Certificate of Need Review" in evaluating whether the UESWL standards should continue to be regulated. After further deliberation, the Department recommends that this service be deregulated. The Department bases its recommendation on the points listed below. If the Commission chooses to pursue deregulation of UESWL, then the process would be to move to Public Hearing and back to the Commission for final action.

- This is a well established service with Michigan CON standards in place for the past 25 years. It is no longer a new technology. The first approval of a lithotripter device in the United States was in 1984.
- This is a low-cost service. In 2007, Great Lakes Lithotripsy, which has 4 (four) mobile sites in Michigan, provided estimated annual cost data for what both a mobile and fixed unit would cost. Those estimates were that for one fixed site lithotripter unit it would cost \$369,996.00 annually whereas a mobile unit serving 10 sites annually would cost \$49,239.60 annually per site. The key point is that it is more cost effective to run a mobile route for lithotripsy than it is to have one fixed unit. No significant cost increase has been shown.
- Access does not appear to be an issue, and no access concerns have been brought to our attention through the processes of public hearing or public testimony.
- Currently, Michigan has 9 lithotripters and all of them are mobile units.
- There has been no evidence of proliferation presented. This procedure is typically performed in an operating room and under the guidance of an anesthesiologist. It is a treatment procedure rather than a diagnostic or exploratory procedure.
- UESWL is a non-invasive medical procedure and patients are most commonly treated as outpatients rather than inpatients.
- Currently, Michigan does not regulate other forms of this procedure such as laser treatments or using lithotripters for biliary procedures. Other states were found to regulate for biliary and not for renal (urinary). This raises the question of whether the intent of the Michigan standards is to regulate the medical procedure rather than the equipment.

- In 2007, the department considered recommending deregulation of the UESWL standards; however, questions were raised by a 2006 Mayo Clinic study¹ that hypothesized a link between UESWL and some chronic health conditions (diabetes mellitus and hypertension). Subsequently, studies in 2008² and 2009³ have refuted the finding of the 2006 study eliminating the department's concern of moving too quickly.
- Some questions/concerns have been raised in regard to the number of re-treatments. Retreatment data is collected as part of the annual survey process, and currently, the available data does not show increases or unusual numbers of re-treatments.
- Research also shows that expulsive therapy or drug treatment to relax the urinary system muscles to facilitate the passage is a more common treatment than is lithotripsy.
- Only 17 other states regulate Lithotripsy; however, no other states in the mid-west except for Michigan do so. See list below.

CON States w/Lithotripsy Coverage	CON States w/o Lithotripsy Coverage	Non-CON States					
Alaska	Alabama	Arizona					
Connecticut	Arkansas	California					
Delaware	Florida	Colorado					
Dist. Of Columbia	Illinois	Idaho					
Georgia	Iowa	Indiana					
Hawaii	Louisiana	Kansas					
Kentucky*	Maryland	Minnesota					
Maine	Mississippi	New Mexico					
Massachusetts	Montana	North Dakota					
Michigan	Nebraska	Pennsylvania					
Missouri	Nevada	South Dakota					
New York	New Hampshire	Texas					
North Carolina	New Jersey	Utah					
South Carolina	Ohio	Wyoming					
Tennessee	Oklahoma	14 States Total					
Vermont	Oregon						
Virginia	Rhode Island	Source:					
17 States Total	Washington	American Health Planning Assoc.					
*Coverage for mobile units only.	West Virginia	2009 National Directory					
	Wisconsin	State Certificate of Need Programs					
	20 States Total	Health Planning Agencies					

¹ Krambeck, A.E., Gettman, M.T., Rohlinger, A.L., Lohse, C.M., Patterson, D.E., & Segura, J.W. (2006). Diabetes mellitus and hypertension associated with shock wave lithotripsy of renal and proximal ureteral stones at 19 years of followup. *The Journal of Urology, 175*(5): 1742-1747.

² Sato, Y., Tanda, H., Kato, S., Ohnishi, S., Nakajima, H., Nanbu, A., et al. (2008). Shock wave lithotripsyfor renal stones is not associated with hypertension and diabetes mellitus. *Urology*, *71*(4): 586-591.

³ Makhlouf, A.A., Thorner, D., Ugarte, R., & Monga, M. (2009). Shock wave lithotripsy not associated with development of diabetes mellitus at 6 years of follow-up. *Urology*, 73(1): 4-8.

- The following three states are a sample of how other states regulate Lithotripsy under CON:
 - Massachusetts:
 - O The website for the Massachusetts Department of Need (DON) lists "that any addition or expansion of, or development of innovative services and new technology, non-acute care services, or freestanding ambulatory surgery centers" are regulated under DON. These new technology services include the use of ESWL for gallstones. Lithotripsy, in general, is regulated under DON but to what extent it is not clear. Additional research found that the MA regulations for Lithotripsy only included the following three categories in which applicants must meet: physical environment requirements, anesthesia requirements, and agreements for inpatient services.

Missouri:

O According to the Missouri Certificate of Need website they regulate Lithotripsy under the major medical equipment acquired over the period of 12 months with an aggregate operating cost of over one million dollars or more. Therefore, they regulate based on a dollar amount threshold. Within the standards, they have a need methodology formula that is applied for lithotripsy when the cost is one million or more in which applicants must then meet to both initiate and expand.

New York:

- O According to the New York state laws and regulations contained on their website, they regulate lithotripters for use in renal and biliary (gallstones) procedures. In New York's standards they do list an annual volume capacity for each lithotripter is 600 patients per year which includes both biliary and renal patients.
- On February 22, 2010, MDCH staff met with a Lithotripsy provider to gain input on their thoughts of the current UESWL CON standards in Michigan. Jorgen Madsen from the United Medical Systems presented the Department information regarding their involvement with UESWL being the largest mobile route provider in Michigan.

Lithotripsy CON Regulations by State Notes:

According to the information contained in the National Directory of Health Planning, Policy and Regulatory Agencies dating from 1995 to 2009 we found the following information:

- Alabama deregulated Lithotripsy from their CON regulations starting in 2004.
- Connecticut started regulating Lithotripsy under CON regulations in 1996 whereas in 1995 they were not regulated.
- Georgia has throughout the years has both regulated and not regulated Lithotripsy under their CON regulations. Most recently they are regulating it starting in 2006 after having not regulated it from 2003 through 2005.
- Illinois deregulated Lithotripsy from their CON regulations starting in 2000.
- Kentucky deregulated Lithotripsy from their CON regulations starting in 2005.
- Massachusetts started regulating Lithotripsy under CON regulations in 1996 whereas in 1995 they were not regulated.
- Mississippi deregulated Lithotripsy from their CON regulations starting in 2006.
- Nebraska regulated Lithotripsy in both 1996 & 1997 but not in 1995. They deregulated starting in 1998.
- New Hampshire deregulated Lithotripsy from their CON regulations starting in 2007.
- New Jersey deregulated Lithotripsy from their CON regulations starting in 2001.
- Oregon deregulated Lithotripsy from their CON regulations starting in 1996.
- Rhode Island deregulated Lithotripsy from their CON regulations starting in
- West Virginia was the state with the most recent deregulation of Lithotripsy taking place in 2009.

Lithotripsy CON Regulations by State

Alabama Alaska Arizona	09 V Y	N	2007 N	2006 N	2005			2002	2001	2000	1999	1998	1997	1996	11995
Alaska Arizona			N					,			` '				
Arizona	Y				N	N	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
		Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Y	Υ	Υ	Υ	Υ
	V	N	N	Ν	N	N	N	N	N	N	N	N	N	N	Ν
California															
Colorado															
	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	N
	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Dist. Of Columbia	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Florida	7	N	N	N	Ν	Ν	N	N	N	N	N	N	N	N	N
Georgia	Y	Υ	Υ	Υ	N	N	N	Υ	Υ	Υ	Υ	Υ	Υ	Υ	N
Hawaii	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Ν	Υ	Υ	Υ
Idaho															
Illinois	V	N	N	N	N	N	N	N	N	Υ	Υ	Υ	Υ	Υ	Υ
Indiana															
Iowa	7	Ν	N	N	N	Ν	N	N	N	N	N	N	Ν	Ν	N
Kansas															
Kentucky	 *	N*	N*	N*	N	Υ	Υ	Υ	Υ	N	N	Υ	Υ	Υ	Υ
Louisiana	7	Ν	N	N	Ν	Ν	N	N	N	N	N	N/A	N	N	N
Maine	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Maryland	7	Ν	Ν	N	Ν	Ν	Ν	N	N	N	N	Ν	Ν	Ν	N
Massachusetts	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	N
Michigan	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Minnesota															
Mississippi	4	N	N	N	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Missouri	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Montana	7	Ν	N	Ν	N	N	N	N	N	N	N	N	N	Ν	Ν
Nebraska	7	N	N	N	N	N	N	N	N	N	N	N	Υ	Υ	N
Nevada	V	N	N	N	N	N	N	N	N	N	N	N	N	N	N
	V	N	N	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
	V	N	N	N	N	N	N	N	N	Υ	Y	Υ	Υ	Υ	Υ
New Mexico															
New York	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
	Y	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
North Dakota															

Lithotripsy CON Regulations by State

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	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000	1999	1998	1997	1996	1995
Ohio	N	N	N	N	N	N	N	Ν	N	Ν	Ν	Υ	Υ	Υ	Υ
Oklahoma	N	N	N	N	N	N	N	N	N	Ν	N	N	N	N	N
Oregon	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Υ
Pennsylvania															
Rhode Island	N	N	N	N	N	N	N	N	N	N	N	Υ	Υ	Υ	Υ
South Carolina	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
South Dakota															
Tennessee	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Texas															
Utah															
Vermont	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Virginia	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Washington	N	N	N	N	N	N	N	N	N	Ν	N	N	N	N	N
West Virginia	N	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ	Υ
Wisconsin	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Υ
Wyoming															

^{*} Lithotripsy is covered for mobile units.

1997 information was pulled from the seventh edition of the National Directory dated February 22, 1996.