

Michigan Civil Service Commission

Regulation 6.07

Subject: Prohibited Subject of Bargaining Complaints		
SPDOC No.: 16-06	Effective Date: January 1, 2017	Replaces: Reg. 6.07 (SPDOC 15-20, January 3, 2016)

1. Purpose

This regulation establishes a process ~~for to~~ resolving complaints that an agreement or arbitrator's decision violates, rescinds, limits, or modifies a ~~Civil Service~~ rule or regulation governing a prohibited subject of bargaining.

2. CSC Rule References

~~6-2—Employee-Employer Relations Systems~~

~~6-2.1—Collective Bargaining Authorized~~

~~The civil service commission authorizes classified employees in eligible positions to organize, elect an exclusive representative, and negotiate with the employer over proper subjects of bargaining.~~

~~(a) Rights and obligations. The employer, employees, and exclusive representatives have the rights and obligations provided in the civil service rules and regulations.~~

~~(b) Subjects of bargaining. The employer and the exclusive representative are required to bargain in good faith over mandatory subjects of bargaining. The employer may, but is not required to, negotiate over permissive subjects of bargaining. A party cannot bargain over prohibited subjects of bargaining.~~

~~(c) Intent; retention of authority. The civil service commission intends to defer to and approve collective bargaining agreements negotiated in good faith between the employer and an exclusive representative when permitted by this chapter. However, the commission is obligated to retain and exercise its constitutional authority in all matters. The commission expressly retains the authority to do all of the following:~~

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~~(2) Determine, during the term of a collective bargaining agreement, if a provision previously approved has been applied or interpreted to violate or otherwise rescind, limit, or modify a civil service rule or regulation governing a prohibited subject of bargaining, notwithstanding any contrary provision of the agreement.~~

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6-3 Commission Authority

~~6-3.1 Commission Relationship to Collective Bargaining~~

~~The ability of eligible employees to elect an exclusive representative and engage in collective bargaining is a privilege granted by the civil service commission under its exclusive constitutional authority. However, the commission cannot delegate its constitutional responsibilities to the collective bargaining parties and the privilege to engage in collective bargaining remains subject to the commission's sovereign authority and the rules of the commission.~~

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~~(d) **Effect of agreement on civil service commission.** The civil service commission (including civil service staff) (1) is not a party to a collective bargaining agreement approved by the commission; (2) does not become a party to the collective bargaining agreement by virtue of the commission's review, approval, or modification; (3) is not subject to any of the provisions of a collective bargaining agreement; and (4) is not subject to the jurisdiction of an arbitrator or other fact finder acting under authority of a collective bargaining agreement approved by the commission.~~

6-3.2 Prohibited Subjects of Bargaining

(a) Prohibitions.

(1) **Interpretation or application.** A collective bargaining agreement, impasse panel recommendation, settlement agreement, or arbitrator's decision under a collective bargaining agreement cannot be interpreted or applied to violate, rescind, limit, or modify a civil service rule or regulation governing a prohibited subject of bargaining.

(2) **Settlement.** An appointing authority or labor organization cannot approve or implement a settlement of any claim or grievance or take any other action that violates, rescinds, limits, or modifies a civil service rule or regulation governing a prohibited subject of bargaining.

(b) **Prohibited subjects of bargaining.** The civil service authority, policy, rules, regulations, procedures, and practices governing or regulating any of the following are prohibited subjects of bargaining:

(1) **Classification.** ~~The e~~Establishment and maintenance of the classification plan and the determination of the, including all position-classification and grade assignment of a new or existing position in the classified service~~issues, working-out-of-class issues, class clusters, and preauthorized transfer lists.~~ A collective bargaining agreement cannot confer exclusive authority to perform specific duties to a classification or bargaining unit. ~~Although the rates of compensation for an existing class of positions are a mandatory subject of bargaining, t~~The state personnel director retains the~~has~~ sole authority to ~~establish~~set the initial rate of compensation for ~~a newly created classification of positions when the new classification is included in~~first added to the official classification plan.

- (2) **Selection.** ~~The~~ determination of the qualifications of candidates for positions in the classified service, including, but not limited to, appraisal, probation, and appointment.
- ~~(3) **Class clusters.** The determination of classification equivalency or eligibility, including, but not limited to, the creation of class clusters and preauthorized lateral job change lists.~~
- ~~(4) **Working out of class.** All working out of class issues, including (1) whether an employee has worked out of class, (2) the duration of any working out of class assignment, (3) the classification and level of the duties and responsibilities performed while working out of class, (4) the amount of any working out of class pay or benefits due an employee, (5) the rights of an employee seeking review of a working out of class claim, and (6) the authority of an appointing authority to make working out of class assignments.~~
- (3) **Assignment of staff.** The employer's rights under rule 6-4.1(d) to assign staff, including non-disciplinary transfers, employment preference, recall, working out of class, scheduling, shift assignment, overtime assignment, and defining seniority.
- (54) **Disbursements for personal services.** Review and approval or disapproval of requests by agencies to make disbursements for personal services outside the classified service. Notwithstanding this subsection, the following are proper subjects of bargaining:
- (A) Notice to the exclusive representative of a request for permission by the appointing authority to make disbursements for personal services outside the classified service.
- (B) The obligations to meet and confer regarding the impact of a decision to make disbursements for personal services outside the classified service.
- (C) Reasonable efforts on the part of the employer, not involving a delay in implementation, to reduce the impact on current classified employees of a decision to make disbursements for personal services outside the classified service.
- (65) **Political or union activity.** Political activity or union activity by classified employees during actual-duty time; requirements and limitations on union leave in rule 6-3.9(c); and requirements and limitations on strikes and strike-related grievances in rule 6-15.
- (76) **Civil service authority.** The authority of the civil service commission, the state personnel director, or civil service staff established by law, including the civil service rules and regulations.
- (87) **System of collective bargaining.** The system of collective bargaining created in the ~~civil service~~ rules and regulations, ~~the bargaining relationships authorized in the rules, and including~~ the limitations, restrictions, and obligations on ~~the~~ collective bargaining parties, eligible employees, collective bargaining agreements, and ~~eligible employees established in the civil service rules and regulations.~~
- ~~(9) **Outside the bargaining unit.** Conditions of employment outside the bargaining unit.~~

~~(108) Patents and copyrights. Specifically prohibited compensation issues. Compensation related to patents and copyrights, performance pay, critical-position premium, and any other compensation issue specifically identified by rule or regulation as a prohibited subject of bargaining.~~

~~(11) Union leave. The requirements and limitations on union leave in rule 6-3.9(c).~~

~~(12) Strikes. The requirements and limitations on strikes and strike related grievances.~~

~~(13) Excluded positions. The requirements, limitations, and procedures regarding excluded positions in rule 6-6.4.~~

~~(14) Abolition or creation of positions. The Appointing authorities' constitutional authority ~~of an appointing authority~~ to create or abolish positions for reasons of administrative efficiency and the director's authority under rule 2-16 to assume positions. ~~grievance and appeal rights of classified employees aggrieved by the abolition or creation of a position.~~~~

~~(15) Assumptions. The determinations by the state personnel director or the civil service commission authorized in rule 2-16 [Assumptions], including, but not limited to, an assumption of a position, classification of an assumed position, initial appointment to an assumed position, initial treatment of an assumed employee, and initial pay and benefits of an assumed employee.~~

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6-3.5 Modification of Agreement or Arbitrator's Decision

Notwithstanding any contrary rule or provision of a collective bargaining agreement, the civil service commission reserves the exclusive authority to determine during the term of a collective bargaining agreement if a provision previously approved has been applied or interpreted to violate or otherwise rescind, limit, or modify a civil service rule or regulation governing a prohibited subject of bargaining.

(a) Complaint. Any person may file a complaint with the state personnel director that a collective bargaining agreement, arbitrator's decision, or settlement agreement under a collective bargaining agreement has been applied or interpreted to violate or otherwise rescind, limit, or modify a civil service rule or regulation governing a prohibited subject of bargaining. The director shall investigate the complaint. After providing notice to the parties and an opportunity to be heard, the director shall determine if a violation has occurred. The definition of prohibited subjects of bargaining shall be liberally construed to enforce the constitutional authority of the civil service commission.

(b) Remedy. If the state personnel director determines that a violation has occurred, the director shall issue a report of findings to the civil service commission. The director may also take any one or more of the following actions:

(1) Issue an order to cure or correct the violation.

- (2) *Issue an order to enjoin future violations.*
- (3) *Recommend to the civil service commission that it amend the existing collective bargaining agreement to cure or correct the violation.*
- (4) *In the case of an arbitrator's decision, the state personnel director may also exercise superintending authority to vacate or modify the decision of the arbitrator or remand the matter to the arbitrator for further consideration.*
- (c) **Appeal.** *A party to the collective bargaining agreement who is aggrieved by a final decision of the state personnel director may file an application for leave to appeal to the civil service commission within 28 calendar days after the decision is issued.*
- (d) **Exclusive jurisdiction.** *The procedures provided in this rule and in the regulations are the exclusive procedures for determining if a collective bargaining agreement, arbitrator's decision, or settlement agreement has been applied or interpreted to violate or otherwise rescind, limit, or modify a civil service rule or regulation governing a prohibited subject of bargaining. A provision of a collective bargaining agreement, including a grievance procedure permitted by rule 6-9.6 [Negotiated Grievance Procedures], cannot replace, interfere with, or limit this exclusive jurisdiction or the superintending authority of the state personnel director or the civil service commission.*

3. Standards

A. Complaints.

1. **Filing.** Any person may file a prohibited subject of bargaining complaint, as authorized in rule 6-3.5(a). The complaint must be filed with the ~~S~~state ~~P~~ersonnel ~~D~~irector by email to MCSC-OGC@mi.gov.
2. **Contents of ~~C~~omplaint.** The complaint must contain the following:
 - a. The complainant's name, address, telephone number, and signature.
 - b. A concise summary of the factual background and an explanation of how a collective bargaining agreement has been applied or interpreted to violate or otherwise rescind, limit, or modify a Civil Service rule or regulation governing a prohibited subject of bargaining.
 - c. If the complaint implicates a decision of an arbitrator, a complete copy of the arbitrator's decision must be attached.

B. Review of Complaint.

1. **Administrative ~~D~~ismissal of Complaint.** The ~~S~~state ~~P~~ersonnel ~~D~~irector or ~~the~~ director's designee may administratively dismiss a complaint [for any ground in rule 8-4](#) without ~~prior notice~~~~a hearing~~~~for the following reasons~~:

- ~~a. **Lack of jurisdiction.** The director lacks jurisdiction over a necessary party or over the subject matter of the complaint.~~
- ~~b. **Another action pending.** Another action has been initiated involving substantially the same issues raised in the complaint.~~
- ~~c. **Barred by prior claim.** Substantially the same complaint was adjudicated to conclusion in another action between the same parties.~~
- ~~d. **Insufficient filing.** The filing is incomplete or fails to allege the violation of a Civil Service rule or regulation governing a prohibited subject of bargaining.~~
2. **Assignment.** If the complaint is not administratively dismissed, the director shall designate an adjudicating officer to investigate the complaint.
- C. **Investigation.** The adjudicating officer shall give written notice of the investigation to the ~~(1) complainant, (2) Office of the State Employer, and (3) exclusive representative~~interested parties.
1. **Interested Parties.** The complainant, ~~O~~ffice of the ~~S~~tate ~~E~~mployer, and exclusive representative are interested parties.
2. **Conferences.** The adjudicating officer may conduct conferences with the interested parties as necessary to investigate the complaint. The adjudicating officer may direct the interested parties to file briefs on specified issues.
3. **Summary D**isposition. If there is no genuine issue over any material fact, the adjudicating officer may recommend a final disposition of the complaint without a hearing based on the complaint and written submissions of interested parties, including affidavits.
4. **Disposition with a H**earing. If there is a genuine issue over any material fact, the adjudicating officer shall conduct a hearing over just the material facts in dispute. The interested parties must have a reasonable opportunity to present evidence, examine and cross-examine witnesses, and present argument. The adjudicating officer may swear witnesses, take testimony, receive evidence, including opinion evidence, and take other actions necessary to investigate the complaint and consider fairly the claims of the interested parties. The rules of evidence do not apply, but the adjudicating officer may refuse to take or admit ~~evidence that is~~ repetitive, irrelevant, unreliable, or speculative evidence.
- D. **Decision.**
1. **Recommended D**ecision. The adjudicating officer shall examine the complaint, the collective bargaining agreement, any arbitrator's decision, any other record evidence, and the ~~Civil Service~~ rules and regulations governing prohibited subjects of bargaining. The adjudicating officer shall recommend a decision on the complaint to the director. ~~The recommended decision shall~~ that sets out ~~the adjudicating~~

- ~~officer's~~ findings of facts and conclusions of law. If the adjudicating officer finds a violation, the adjudicating officer shall recommend a remedy authorized in rule 6-3.5(b).
2. **Director's ~~D~~decision.** The director shall review the ~~recommendation of the~~ adjudicating officer's recommendation and issue a final decision on the complaint. If the director disagrees with the recommendation, the director shall set forth the reasons for that disagreement in writing as part of the final decision. If the director determines that a violation has occurred, the director may take any of the following actions:
- a. Issue an order to cure or correct the violation.
 - b. Issue an order to enjoin future violations.
 - c. Recommend that the ~~Civil Service C~~commission amend its rules or a collective bargaining agreement to cure or correct the violation.
 - d. In an arbitrator's decision, exercise superintending authority to vacate or modify the ~~decision of the~~ arbitrator's decision or remand ~~the matter~~ to the arbitrator for further consideration.
- E. **Appeal.** An interested party aggrieved by a final decision may appeal to the ~~Civil Service C~~commission by filing an application for leave to appeal within 28 ~~calendar~~ days after the ~~date the~~ decision is issued.

CONTACT

Questions on this regulation may be directed to the Office of the General Counsel, Civil Service Commission, P.O. Box 30002, 400 South Pine Street, Lansing, Michigan 48909; by telephone at 517-373-3024; or to MCSC-OGC@mi.gov.