REQUEST FOR QUALIFICATIONS

MICHIGAN DEPARTMENT OF TRANSPORTATION
Metro Region
Design-Build Project

I-75 Modernization Project (Segment 1)
I-75 from North of Coolidge Road
To North of South Boulevard

Job Numbers: 115576
Control Section: 63174

Addendum 2 - October 16, 2015
Please note changes in red

MDOT
Michigan Department of Transportation

TABLE OF CONTENTS

1.0 INTRODUCTION 1
1.1 Procurement Process 1
1.2 Submitter Information 1
2.0 Background Information: RFQ Process 1
2.1 Project Description: Scope of Work 1
2.2 Project Schedule 3
2.3 Addenda, Inquiries and General Information 3
2.4 Prequalification 4
2.5 Major Participants 5
2.6 MDOT Consultant/Technical Support 5
2.7 Conflicts of Interest 5
2.8 Changes to Organizational Structure 5
2.9 Equal Employment Opportunity 6
2.10 Disadvantaged Business Enterprises 6
3.0 CONTENT OF STATEMENT OF QUALIFICATIONS 6
3.1 Introduction (Pass/Fail) 6
3.2 Understanding of Project (25 points) 7
3.3 Qualifications of Team (35 Points) 7
3.3.1 Organization of Project Team 7
3.3.2 Project Team Communication 8
3.3.3 Staff Service Experience 8
3.4 Submitter Experience (30 points) 10
3.5 Past Performance of Designers (10 Points) 11
3.6 Legal and Financial (Pass/Fail) 11
3.6.1 Acknowledgment of Clarifications and Addenda 11
3.6.2 Organizational Conflicts of Interest 11
3.6.3 Legal Structure 11
3.6.4 Financial Viability 12
4.0 EVALUATION PROCESS 12
4.1 SOQ Evaluation 12
4.2 SOQ Scoring 12
4.3 Determining Short-listed Submitters 12
4.4 Notification of Short Listing 12
4.5 Debriefing 13
5.0 SOQ SUBMITTAL REQUIREMENTS 13
5.1 Due Date, Time and Location 13
5.2 Format 13
6.0 PROCUREMENT PHASE 2 13
6.1 Request for Proposals 14
6.2 RFP Structure 14
6.3 Proposal Evaluations 14
6.4 Stipends 14
Attachment 1: Location Map 15
Attachment 2: Reference information Documents 18
Attachment 3: Conflict of Interest Statement 19
Attachment 4: Example Notice of Shortlisting Results 20

1.0 INTRODUCTION

The Michigan Department of Transportation (MDOT), Metro Region, is requesting Statements of Qualifications ("SOQs") from entities ("Submitters") interested in submitting proposals for the I-75 Modernization Project (the "Project").

The Project will be funded with state and federal-aid dollars thereby requiring the Submitters adhere to all pertinent federal, state and local requirements. See Attachment A for map showing the project location.

1.1 Procurement Process

MDOT will use a two-phase procurement process to select a Design-Build contractor to deliver the Project. This Request for Qualifications (RFQ) is issued as part of the first phase to solicit information, in the form of SOQs, that MDOT will evaluate and then determine which Submitters are the most highly qualified to successfully deliver the Project. MDOT intends to short-list three, but not more than five. In the event that there are less than three Submitters, MDOT may cancel or re-advertise the Project.

In the second phase, MDOT will issue a Request for Proposals (RFP) for the Project to the short-listed Submitters. Only the short-listed Submitters will be eligible to submit technical and price proposals in response to the RFP. Each short-listed Submitter that submits a proposal in response to the RFP (if any) is referred to herein as a "Proposer." MDOT anticipates the award for the contract will be to the Proposer offering the lowest bid, which will be determined as described in the RFP.

Project Goals

The following goals have been established for the Project:

- Provide a safe Project area for the traveling public and workers during construction.
- Provide a design and solutions consistent with current MDOT, FHWA, and AASHTO practices, guidelines, policies, and standards
- Design and construct a high quality product that minimizes future maintenance
- Avoid or minimize construction related impacts to residents, businesses, motorists, pedestrians, bicyclists and utilities
- Avoid or minimize impacts to the environment
- Allow for innovative ideas to improve quality, shorten the construction schedule, reduce cost, or reduce the impacts to the public, environment, etc.
- Complete the project within MDOT's established budget
- Obtain Substantial Completion by September 2018 date as specified in the RFP

1.2 Submitter Information

If an entity intends to submit an SOQ as part of a team, the entire team is required to submit a single SOQ as a single Submitter.

2.0 BACKGROUND INFORMATION: RFQ PROCESS

2.1 Project Description: Scope of Work

The project is located in Oakland County, Michigan on I-75 in the city of Troy and Bloomfield Township. The Project limits extend a total of 3.08 miles from north of Coolidge Road to approximately 2950' north of South Boulevard.

The project scope includes the following:

a) Realign and reconstruct I-75 mainline and ramps;
b) Add a new lane (part-time HOV) in both directions;
c) Reconstruct the Adams Road Interchange;
d) Reconstruct the Square Lake Road Interchange;
e) Replace the following structures:
    - S15-1 of 63174
    - S15-2 of 63174
    - S16-1 of 63174
    - S16-2 of 63174
    - S17 of 63174
    - S18 of 63174
    - S18-5 of 63174
f) Replace culverts;
g) Improve drainage;
h) Add ITS equipment
i) Comply with environmental permitting

The Project also includes grading, environmental compliance, clearing and grubbing, landscape, aesthetics, traffic signals, maintenance of traffic, maintenance during construction, freeway lighting, expansion of the Adams Road carpool lot, pavement marking and signing work. Additional major responsibilities of the successful team will include utility coordination, quality, safety and public relations. The project will also include an Alternate Pavement Bidding process.

A Record of Decision (ROD) was received on the Project in 2006 for the selected alternative that was from the approved Final Environmental Impact Statement (FEIS) in 2005. A re-evaluation of the FEIS is currently under review.

Traffic is anticipated to be controlled as follows:

- Maintain two (2) lanes in each direction on I-75 at all times
- Provide detour routes for local road traffic when bridges are reconstructed.
- Do not close the Adams Road Interchange and Square Lake Interchange exit ramps that serve northbound I-75at the same time.
- Do not close the Adams Road Interchange and Square Lake Interchange entrance ramps that serve northbound I-75 at the same time.
- Do not close the Adams Road Interchange and Square Lake Interchange exit ramps that serve southbound I-75 at the same time.
- Do not close the Adams Road Interchange and Square Lake Interchange entrance ramps that serve southbound I-75 at the same time.

Project information and data is included on the MDOT website for the I-75 Modernization Project (http://www.michigan.gov/mdot/0,4616,7-151-9621_11058_53088_64867---,00.html) and on the following attachments:

- Attachment 1 – Location Map
- Attachment 2 – Preliminary Reference Information Documents (RID)

2.2 Project Schedule

The deadline for submitting RFQ questions and the SOQ due date stated below apply to this RFQ. MDOT also anticipates the following additional Project milestone dates. This schedule is subject to revision by addenda to this RFQ or the RFP requirements.

Phase 1 – Request for Qualifications	
Issue RFQ	September 8, 2015
Deadline for submitting RFQ questions	October 19, 2015
SOQ due date	October 26, 2015
Evaluation of SOQs	Week of November 9, 2015
Anticipated Notification of short-listed Submitters	November 23, 2015

Phase 2 – Request for Proposals – **Tentative Schedule (subject to change)**	
Issue RFP	Late November 2015
Technical and Price Proposals due	Early March 2016
Proposer with low bid notified	March 2016
Anticipated Contract Award	Within 49 days of bid letting
Substantial Completion	September 2018

The RFP will establish the project schedule including open to traffic, interim and completion dates.

2.3 Addenda, Inquiries and General Information

Information regarding this RFQ, including addenda to the RFQ, questions and answers, and project specific information, will be posted at the following website: www.michigan.gov/ic. Click on "I-75 Modernization Project - Segment 1 (DB)" under the 2015 Innovative Contracting Projects heading.

All questions regarding the Project must be submitted by e-mail to the MDOT Senior Project Manager listed below. Questions shall be received by 4:00 p.m. EST on the date indicated in Section 2.2. All such questions and their answers will be placed on the MDOT website as soon as possible after receipt. The names of the entity submitting questions will not be disclosed, but all questions asked will be answered and made public. The employees and representatives of the Submitter may not contact any MDOT staff (including members of the selection team) other than the MDOT Senior Project Manager, or their designee, to obtain information on the Project. Such contact may result in disqualification.

MDOT Senior Project Manager
Sue Datta
Michigan Department of Transportation
E-mail: dattas@michigan.gov

MDOT reserves the right to revise this RFQ at any time before the SOQ due date. Such revisions if any, will be announced by addenda to this RFQ. The Submitters are encouraged to check the website

regularly to see if addenda have been posted.

Except as otherwise stated, all information in a Submitter's SOQ and any contract resulting from this RFQ are subject to disclosure under the provisions of the "Freedom of Information Act," 1976 Public Act No. 442, as amended, MCL 15.231, et seq.

2.4 Prequalification

The Submitter and their subcontractors must meet the following prequalification requirements:

Design-Builder Prequalification Requirements

- Comb/Jt 112000 B, Ea

OR

- Comb/Jt 112000 B, Fa

OR

- Comb/Jt 112000 Ea, Fa

OR

- Comb/Jt 112000 Cb, Ea

OR

- Comb/Jt 112000 Cb, Fa

Engineering Design Firms Prequalification Requirements

- Complex Bridges or Design – Bridges : Complex
- Roadway Rehabilitation and Rural Freeways or Design – Roadway : Intermediate
- Geotechnical Engineering Services or Design – Geotechnical : Advanced
- Maintaining Traffic Plans and Provisions or Design – Traffic : Work Zone Maintenance of Traffic

Additional design pre-qualifications will be listed in the Project's Request for Proposal. At the time this RFQ is issued, MDOT anticipates the following prequalification requirements will be required in the RFP. Firms that satisfy these requirements do not need to be identified or listed in the SOQ.

- Road Design Survey or Surveying : Road Design
- Structure Surveys or Surveying : Structure
- Municipal Utilities or Design – Utilities : Municipal
- Freeway Lighting or Design – Utilities : Roadway Lighting
- Permanent Marking Plans or Design – Traffic : Pavement Markings
- Permanent Freeway Traffic Signing Plans or Design – Traffic : Signing - Freeway
- Permanent Non-Freeway Traffic Signing Plans or Design – Traffic :Signing – Non-Freeway
- ITS – Design & System Manager or Design – Traffic: ITS – Design & System Manager

2.5 Major Participants

As used herein, the term "Major Participant" means any of the following entities: all general partners or joint venture members of the Submitter; all individuals, persons, proprietorships, partnerships, limited liability partnerships, corporations, professional corporations, limited liability companies, business associations, or other legal entity however organized, holding (directly or indirectly) a 30% or greater interest in the Submitter; any subcontractor(s) that will perform work valued at 30% or more of the overall contract amount; the lead engineering/design firm(s); and each engineering/design sub-consultant that will perform 30% or more of the design work.

2.6 MDOT Consultant/Technical Support

MDOT has retained consultants to provide guidance in preparing and evaluating the RFP and advice on related contractual and technical matters for this design build project. The following consultants are not eligible to participate on any submitter's team: Parsons Brinckerhoff Michigan, Parsons Transportation Group, Wade Trim Associates, Northwest Consultants, Access Engineering, Somat Engineering, and Surveying Solutions.

2.7 Conflicts of Interest

The Proposer shall accept responsibility for being aware of the requirements of 23 Code of Federal Regulations (CFR) 636.116 and include a full disclosure of all potential organizational conflicts of interest in the Proposal.

The Submitter shall complete a Conflict of Interest Statement (See Attachment 3) certifying that they have read and understand MDOT's policy regarding conflict of interest and the CFR and that each Major Participant has done the same. The Submitter shall certify that they and each Major Participant have no conflict of interest with the Project. If there is a conflict with the Project, then the Submitter needs to describe the conflict.

The Submitter agrees that, if after award, an organizational conflict of interest is discovered, the Submitter must make an immediate and full written disclosure to MDOT that includes a description of the action that the Submitter has taken or proposes to take to avoid or mitigate such conflicts. If an organizational conflict of interest is determined to exist, MDOT may, at its discretion, cancel the design-build contract for the Project. If the Submitter was aware of an organizational conflict of interest prior to the award of the contract and did not disclose the conflict to MDOT, MDOT may terminate the contract for default.

MDOT may disqualify a Submitter if any of its Major Participants belong to more than one Submitter organization.

2.8 Changes to Organizational Structure

Changes in Key Personnel must be approved by MDOT prior to submitting a proposal in response to the RFP. Proposed changes in Key Personnel from a Submitters SOQ to the Submitters proposal in response to the RFP must be approved by MDOT in writing by submitting Form 5100G to MDOT's Senior Project Manager. MDOT may terminate an awarded contract if any Key Personnel or Major Participant identified in the SOQ is removed, replaced or added without MDOT's prior written approval. To qualify for MDOT approval, the written request must document that the proposed removal, replacement or addition will be equal to or better than the Key Personnel or Major Participant provided in the SOQ. MDOT will use the criteria specified in this RFQ to evaluate all requests for substitutions.

2.9 Equal Employment Opportunity

The Submitter will be required to follow both State of Michigan and Federal Equal Employment Opportunity (EEO) policies.

2.10 Disadvantaged Business Enterprises

It is the policy of MDOT that Disadvantaged Business Enterprises (DBEs), as defined in 49 CFR Part 26, and other small businesses shall have the maximum feasible opportunity to participate in contracts financed in whole or in part with public funds. Consistent with this policy, MDOT will not allow any person or business to be excluded from participation in, denied the benefits of, or otherwise be discriminated against in connection with the award and performance of any U.S. Department of Transportation (DOT)-assisted contract because of sex, race, religion, or national origin. MDOT has established a DBE program in accordance with regulations of the DOT, 49 CFR Part 26. In this regard, the Submitter will take all necessary and reasonable steps in accordance with 49 CFR Part 26 to ensure that DBEs have the maximum opportunity to compete for and perform the contract. Additional DBE requirements will be set forth in the RFP.

MDOT anticipates that the Project will have a DBE goal of 6%.

3.0 CONTENT OF STATEMENT OF QUALIFICATIONS

This section describes specific information that must be included in the SOQ. SOQs must follow the outline of this Section. Submitters shall provide brief, concise information that addresses the requirements of the Project consistent with the evaluation criteria described in this RFQ.

Some of the information requested in this RFQ is for informational purposes only, while other information will be used in the qualitative analysis of the SOQs. MDOT will initially review SOQs on a pass/fail basis. The purpose of this initial review is for MDOT to determine whether the SOQ, is responsive to this RFQ. An SOQ will be, responsive if it appears to include all of the components of information required by this RFQ in the manner required by this RFQ. This initial pass/fail review does not include any qualitative assessment as to the substance of the information submitted. Those SOQs that meet the requirements of the pass/fail evaluation will then be reviewed on a qualitative basis according to the criteria specified in Section 4.2.

The following Sections 3.1 through 3.6 describe the information that is required and how it will be used.

3.1 Introduction (Pass/Fail)

Provide a cover letter stating the business name, address, business type (e.g., corporation, partnership, joint venture) and roles of the Submitter and each known Major Participant. Identify one contact person and his or her address, telephone and fax numbers, and e-mail address. This person shall be the single point of contact on behalf of the Submitter organization, responsible for correspondence to and from the organization and MDOT. MDOT will send all Project-related communications to this contact person. Authorized representatives of the Submitter organization must sign the cover letter. If the Submitter is a joint venture, the joint venture members must sign the cover letter. If the Submitter is not yet a legal entity, the known Major Participants must sign the cover letter. The cover letter must certify the truth and correctness of the contents of the SOQ. The cover letter must also state the type of pavement (concrete or hot mix asphalt), the Submitter anticipates using on the project. It is acceptable to indicate that either pavement type will be evaluated and considered. This information will be used to identify the Submitter and its designated contact, and will be reviewed on

a pass/fail basis only and not as part of the qualitative assessment of the SOQ.

3.2 Understanding of Project (25 points)

Based on preliminary information available at the time of the RFQ, provide a synopsis demonstrating the Submitter's understanding of the physical description of the Project, probable impacts of the Project, and potential issues affecting the Project. Demonstrate an understanding of the Project goals and any anticipated approach to achieving the goals discussed in Section 1.1. The following, at a minimum, should be specifically addressed:

a. Understanding of Project scope and schedule
b. Understanding of the construction requirements needed for the Project
c. Understanding of the design requirements needed for the Project
d. Understanding of mobility and safety concerns
e. Understanding of impacts on the adjacent communities and traveling public
f. Potential Project innovations

3.3 Qualifications of Team (35 Points)

Provide the qualifications of the Submitters team that includes both construction firm and design firm personnel. The information, at a minimum, should address the following:

a. Management and staff experience, capabilities and functions on projects with a similar scope, schedule and with similar design, construction, mobility and maintaining traffic requirements.
b. Effective project management structure, coordination/communication protocols for/and interaction with MDOT or other entities
c. Effective utilization of personnel and experience of team members working, coordinating and communicating together
d. Experience with timely completion on comparable projects
e. Experience with on-budget completion of comparable projects
f. Experience with integrating design and construction activities

3.3.1 Organization of Project Team

Describe the roles of all Key Personnel, Major Participants and identified subcontractors. Include what percent of role that the named entity is expected to provide.

Provide an organizational chart(s) showing the flow of the "chain of command" with lines identifying participants who are responsible for major functions to be performed and their reporting relationships, in managing, designing and building the Project. The chart(s) must show the functional structure of the organization down to the design discipline leader and construction superintendent level. The chart must identify Key Personnel by name and their role. Identify the Submitter and all known Major Participants in the chart(s).

Submitters may be unable to identify all Major Participants or other subcontractors who are providing construction services (design services meeting the prequalification requirements listed in Section 2.5 must be provided). If a Submitter is unable to provide the name of the construction Major Participants or other subcontractors, they should include the role in their organization chart and a plan for how they will obtain the firm including what qualifications they would expect the firm to provide.

3.3.2 Project Team Communication

The Submitter shall provide information that will show how the Submitter communicates during the execution of the Project. MDOT's desire is to have a strong single point of contact who controls the project during all phases, including planning, design, and construction. Scoring will be greatest to those Submitters who provide a clear and concise communication plan that incorporates and integrates all components of the Submitters team (i.e. primary designers, subconsultant designers, construction managers, construction field personnel, construction office personnel, material testing personnel, etc.) and inserts MDOT personnel and other appropriate stakeholders (i.e. local residents, businesses or business groups, and public agencies) within that communication plan (i.e. process for design and construction submittals to MDOT, MDOT involvement in quality checkpoints during design and construction, incorporating MDOT review of design changes during construction, public information plan, etc.).

3.3.3 Staff Service Experience

3.3.3.1 Resumes of Key Personnel

Resumes of Key Personnel shall be provided as Appendix A – Resumes of Key Personnel to the SOQ. Resumes of Key Personnel shall be limited to three pages each and will not be counted towards the overall SOQ page limit. If an individual fills more than one position, only one resume is required. The listing below describes the minimum key personnel for the Project ("Key Personnel"), others may be added by the Submitter. Submitters may propose alternate plans to staff and manage the Project. SOQs with alternate staffing plans are required to have details of the key staff and their roles and responsibilities in a manner similar to the requirements listed below, including their responsibility on the project and their authority over the design and/or construction operations.

Key Personnel

a. Submitter's Project Manager
b. Project Superintendent(s)-for anticipated major items of work
c. Construction Quality Control Manager
d. Design Quality Control Manager
e. Lead Structures Engineer
f. Lead Road Engineer
g. Lead Traffic Engineer
i. Lead Geotechnical Engineer

Include the following items on each resume:

a. Relevant licensing and registration.
b. Years of experience performing similar work.
c. Actual work examples on similar projects, including projects, project dates, duties performed and their percentage of time on the project.

3.3.3.2 Qualifications of Key Personnel

Key Personnel will be evaluated, in part, based on the extent they meet and/or exceed the listed qualifications including, but not limited to, relevant education, training, certification, and experience. The following provides expected qualifications of the Key Personnel assigned to the Project. Any certifications or licenses required to meet the requirements of the RFQ shall be in place by the time the first notice to proceed is issued. Key Personnel, except as noted, may perform Work in more than

one position in the organization.

a) ***Submitter's Project Manager***

The Submitters Project Manager must have significant experience managing the construction of highway and/or bridge construction projects of similar scope and complexity. The Project Manager will be responsible for the overall design, construction, quality management and contract administration for the Project and will:

(i) Have full responsibility for the prosecution of the Work,

(ii) Act as agent and be a single point of contact in all matters on behalf of the Design-Builder,

(iii) Be available (or the Approved designee will be available) at all times that Work is performed, and

(iv) Have authority to bind Submitter on all matters relating to the Project.

b) ***Project Superintendent***

The Project Superintendent must have recent and relevant experience in highway and/or bridge construction and material testing of similar scope and complexity. The Project Superintendent, or the Approved designee, must be on site during all construction activities. The Project Superintendent must work under the direct supervision of the Submitter's Project Manager.

c) ***Construction Quality Control Manager***

The Construction Quality Control Manager must have significant recent experience overseeing the inspection and materials testing on highway and/or bridge construction projects of similar scope and complexity.

The Construction Quality Control Manager (CQCM) must work under the direct supervision of the Submitter's Project Manager. The CQCM will be responsible for managing the Quality Control functions and will:

(i) Not be assigned any other duties or responsibilities on the Project.

(ii) Be available whenever any construction activities are being performed.

(iii) Have the authority to stop any and all work that does not meet the standards, specifications or criteria established for the Project.

d) ***Design Quality Control Manager***

The Design Quality Control Manager must have significant experience managing the design quality component of highway and/or bridge construction projects of similar scope and complexity and must be a licensed Professional Engineer in the State of Michigan now or by the award of the Project. The Design Quality Control Manager will be responsible for design quality assurance for the project. The Design Quality Control Manager will:

(i) Be independent of design production and associated activities,

(ii) Be available whenever design activities are being performed,

(iii) Work under the direct supervision of Design-Builder's Project Manager.

e) ***Lead Structures Engineer***

The Lead Structures Engineer must be experienced in structures design of structures of similar size the size and type required for this Project and must be a registered Professional Engineer in the State of Michigan now or by the award of the Project.

f) ***Lead Road Engineer***

The Lead Road Engineer must be experienced in roadway design on projects of similar size and complexity related to roadway reconstruction projects and must be a registered Professional Engineer in the State of Michigan now or by the award of the Project.

g) ***Lead Traffic Engineer***

The Lead Traffic Engineer must be experienced in work zone safety, work zone traffic control design, signing design, pavement marking design, have significant recent experience in traffic engineering and traffic management on similar projects, and must be a registered Professional Engineer in the State of Michigan now or by the award of the Project.

h) ***Lead Geotechnical Engineer***

The Lead Geotechnical Engineer will be responsible for ensuring that the geotechnical designs and any necessary structural designs are completed in accordance with contract requirements. They must have significant experience on projects of similar size and complexity and must be a registered Professional Engineer in the State of Michigan now or by the award of the Project. They must be available whenever design and/or construction activities are being performed.

3.4 Submitter Experience (30 points)

Describe at least two but a maximum of four projects the Submitter has completed or participated in (if the Submitter is not yet existing or is newly formed, please explain) and at least two but a maximum of four projects each listed Major Participant has managed, designed and/or constructed. For projects in which several of the proposed Major Participants were involved, the Submitter may provide a single project description. Highlight experience relevant to the Project that the Submitter/Major Participants have gained in the last 5 years. Cite projects with levels of scope comparable to that anticipated for the Project. Also consider citing projects where construction duration is minimized, design schedules were kept, and original design and construction budgets were not increased. Describe the experiences that could apply to this Project. The experience of the Submitter will account for 15 or more of the points out of the 30 points available in this category. The experience of the Major Participants will account for a maximum of 15 points out of the 30 points available in this category. If some Major Participants are unknown at the time SOQ's are submitted, the Submitter's plan (see Section 3.3.1) for obtaining the firm for this area of work will be considered.

Each project description should include the following information:

a. Name of the project and either the owner's contract number or state project number;
b. Owner's project manager (i.e. the owner's construction engineer for construction projects or the owner's design engineer for design projects) and their current telephone number;
c. Dates of design, construction, and project management;
d. Description of the work or services provided and percentage of the overall project actually performed;
e. Description of scheduled completion deadlines and actual completion dates;
f. Original design and construction budget and final design and construction cost.

MDOT may elect to use the information provided above as a reference check.

3.5 Past Performance of Designers (10 Points)

MDOT's objective in evaluating Past Performance is to incorporate quality of past performance of the Submitter's design firm(s) into the overall technical score. Past performance of the design firm(s) will be determined based on the Service Vendor Evaluation System at MDOT. If performance evaluations have not been completed, the selection team will contact previous clients and base scoring on feedback received. Past performance for the Submitter's construction company is reflected in the level the firm can bid and will not be part of this score.

3.6 Legal and Financial (Pass/Fail)

The information required in response to Section 3.6 shall be submitted as Appendix B – Legal and Financial. Information provided in response to these sections will not count towards the overall page limitation defined in Section 5.2. Information required by this section will be evaluated on a pass/fail basis.

3.6.1 Acknowledgment of Clarifications and Addenda

Provide a statement included in the cover letter (Section 3.1) which identifies all RFQ addenda provided by date and version.

3.6.2 Organizational Conflicts of Interest

Identify all relevant facts relating to past, present or planned interest(s) of the Submitter's team (including the Submitter, Major Participants, proposed consultants, contractors and subcontractors, and their respective chief executives, directors and key project personnel) which may result, or could be viewed as, an organizational conflict of interest in connection with this RFQ.

Disclose: (a) any current contractual relationships with MDOT (by identifying the MDOT contract number and project manager) that may result in, or could be viewed as a potential conflict of interest on this project; (b) current or planned contractual or employment relationships with any current MDOT employee; and (c) any other circumstances that might be considered to create a financial interest in the contract for the Project by any current MDOT employee if the Submitter is awarded the contract. The foregoing is provided by way of example, and shall not constitute a limitation on the disclosure obligations.

For any fact, relationship or circumstance disclosed in response to this Section 3.6.2, identify steps that have been or will be taken to avoid, neutralize or mitigate any organizational conflicts of interest.

In cases where Major Participants on different Submitter teams belong to the same parent company, each Submitter must describe how the participants would avoid conflicts of interest through the qualification and proposal phases of the Project.

The required information for Organizational Conflicts of Interest shall be submitted using the Conflict of Interest Statement in Attachment 3. Information provided in response to this section will not count towards the overall page limitation defined in Section 5.2.

3.6.3 Legal Structure

If the Submitter organization has already been formed, provide complete copies of the organizational documents that allow, or would allow by the time of contract award, the Submitter and Major Participants to conduct business in the State of Michigan. If the Submitter organization has not yet been formed, provide a brief description of the proposed legal structure or draft copies of the underlying agreements. All submitters will be required to be licensed to conduct business in the State of Michigan prior to providing response to the RFP.

3.6.4 Financial Viability

The Submitter must supply form 1300 EZ with their SOQ to show they will bid on the project when it is advertised. Form 1300 EZ will be required to be resubmitted again before letting. Submitters do not need to provide MDOT Form 1381.

4.0 EVALUATION PROCESS

4.1 SOQ Evaluation

MDOT will initially review the SOQs for responsiveness to the requirements of this RFQ. The information in the SOQ will then be measured against the evaluation criteria described in Section 3. The Submitter's SOQ response shall be complete based on the RFQ requirements. A non-responsive or partially non-responsive SOQ missing required information may result in a "fail".

4.2 SOQ Scoring

MDOT will evaluate all responsive SOQs and measure each Submitter's response against the project goals and evaluation criteria set forth in this RFQ, resulting in a numerical score for each SOQ. The scoring will be distributed as described in Section 3 and summarized below:

a. Understanding of Project (25 Points):

b. Qualifications of Team (35 Points):

c. Submitter Experience (30 Points)

d. Past Performance of Designers (10 Points)

4.3 Determining Short-listed Submitters

MDOT will total the scores for each responsive SOQ and prepare a ranked list of Submitters. MDOT intends to short list three but no more than five of the most highly qualified Submitters.

MDOT reserves the right, in its sole discretion, to cancel this RFQ, issue a new RFQ, reject any or all SOQs, seek or obtain data from any source that has the potential to improve the understanding and evaluation of the responses to this RFQ, seek and receive clarifications to an SOQ and waive any deficiencies, irregularities or technicalities in considering and evaluating the SOQs.

This RFQ does not commit MDOT to enter into a contract or proceed with the procurement of the Project. MDOT assumes no obligations, responsibilities and liabilities, fiscal or otherwise, to reimburse all or part of the costs incurred by the parties responding to this RFQ. All such costs for developing a SOQ shall be borne solely by each Submitter. In addition, MDOT assumes no obligations, responsibilities and liabilities, fiscal or otherwise, to reimburse all or part of the costs incurred by the parties if MDOT elects to not issue an RFP for the Project.

4.4 Notification of Short Listing

The names, contact person, and individual scores of the shortlist teams will be posted on MDOT's website (see www.michigan.gov/ic). The scores of non-shortlisted firms will also be posted without the names of the teams. See Attachment 4 for an example. All Submitters will receive their individual scores and comments from MDOT via e-mail within five working days from when the shortlist is posted.

4.5 Debriefing

Feedback will be provided via face to face meeting, phone or email if requested by the Submitter, however it will not be provided until after the award of the contract.

5.0 SOQ SUBMITTAL REQUIREMENTS

The following section describes requirements that all Submitters must satisfy in submitting SOQs. Failure of any Submitter to submit their SOQ as required in this RFQ may result in rejection of its SOQ.

5.1 Due Date, Time and Location

SOQs are due on October 26, 2015 at 4:00 PM. Any SOQ that fails to meet the deadline or delivery requirement will be rejected without opening, consideration or evaluation. MDOT will not accept SOQs by facsimile.

SOQs shall be delivered to the MDOT Senior Project Manager via email or in person. If SOQs are delivered in person, the Submitter shall provide 8 hard copies of the SOQ, and one electronic copy of the SOQ on a CD or flash drive.

SOQs shall be delivered to the following person and location or email address:

Sue Datta, MDOT Senior Project Manager
18101 W. Nine Mile Rd.
Southfield, MI 48075
dattas@michigan.gov

5.2 Format

All SOQs must comply with the following:

a. The SOQ must not exceed 20 single-sided pages. The 20 page limit does not include the letter described in Section 3.1, key personnel resumes (Appendix A – Resumes of Key Personnel), required 5100 forms, 1300EZ forms, Conflict of Interest Statement, and the required legal information (Appendix B – Legal and Financial) defined in Section 3.6. In the 1300EZ form the references to "Bidder" shall mean "Submitter".

b. Pages shall be 8 ½ inches by 11 inches.

c. Font must be a minimum of 12 point.

d. All pages must be numbered continuously throughout and in the format of "Page 1 of _", including resumes, 5100 forms, 1300 EZ forms, and legal understanding.

e. If delivered in person, then submittals shall be stapled in the upper left hand corner and shall be completely recyclable. (E.g. no binders, plastic, spiral binding, etc.)

f. If delivered via email, the submittal shall be no larger than 20MB in size.

g. Graphics are allowed within established page limits.

6.0 PROCUREMENT PHASE 2

This Section 6.0 is provided for informational purposes only so that each Submitter has information

that describes the second phase of the Project procurement process, including a summary of certain anticipated RFP requirements. MDOT reserves the right to make changes to the following, and the short-listed Submitters must only rely on the actual RFP when and if it is issued. This Section 6.0 does not contain requirements related to the SOQ.

6.1 Request for Proposals

The Submitters remaining on the short list following Phase 1 of the procurement process will be eligible to move to Phase 2 and receive an RFP. While MDOT may make the RFP available to the public for informational purposes, only short-listed submitters will be allowed to submit a response to the RFP.

6.2 RFP Structure

The RFP will be structured as follows:

a. Instructions to Proposers

b. Contract Documents

   i. Book 1 (Contract Terms and Conditions)

   ii. Book 2 (Project Requirements)

   iii. Book 3 (Standards)

c. Reference Information Documents (RID)

6.3 Proposal Evaluations

MDOT anticipates that the award of the Project will be based on a qualified bid to obtain the most cost effective and efficient Proposer to deliver the Project. The bid is anticipated to be qualified by combining construction cost and road user delay impacts to achieve a low bid.

6.4 Stipends

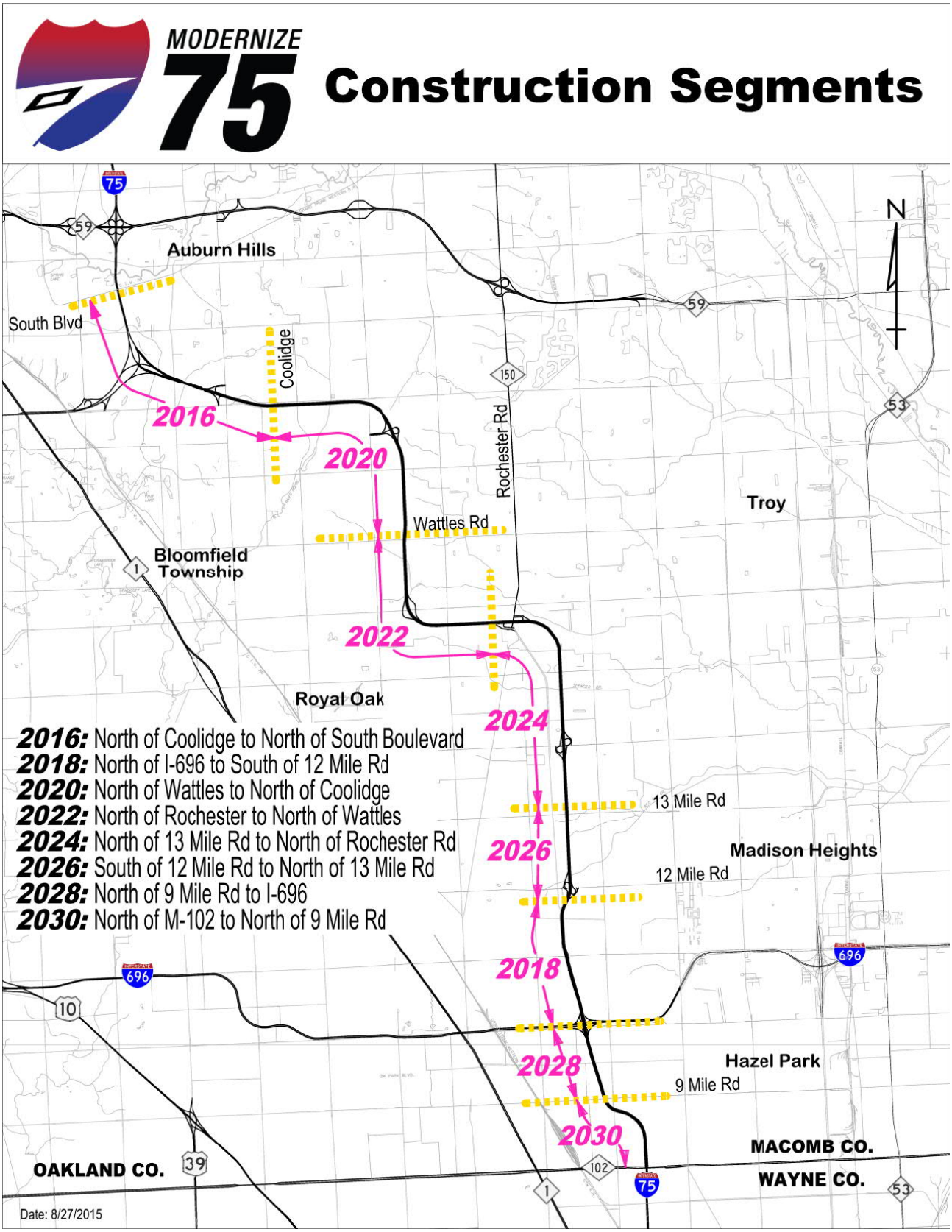
MDOT will pay a $95,000 stipend for the responsive proposals submitted by Proposers (short-listed Submitters). A stipend will not be paid to the successful Proposer.

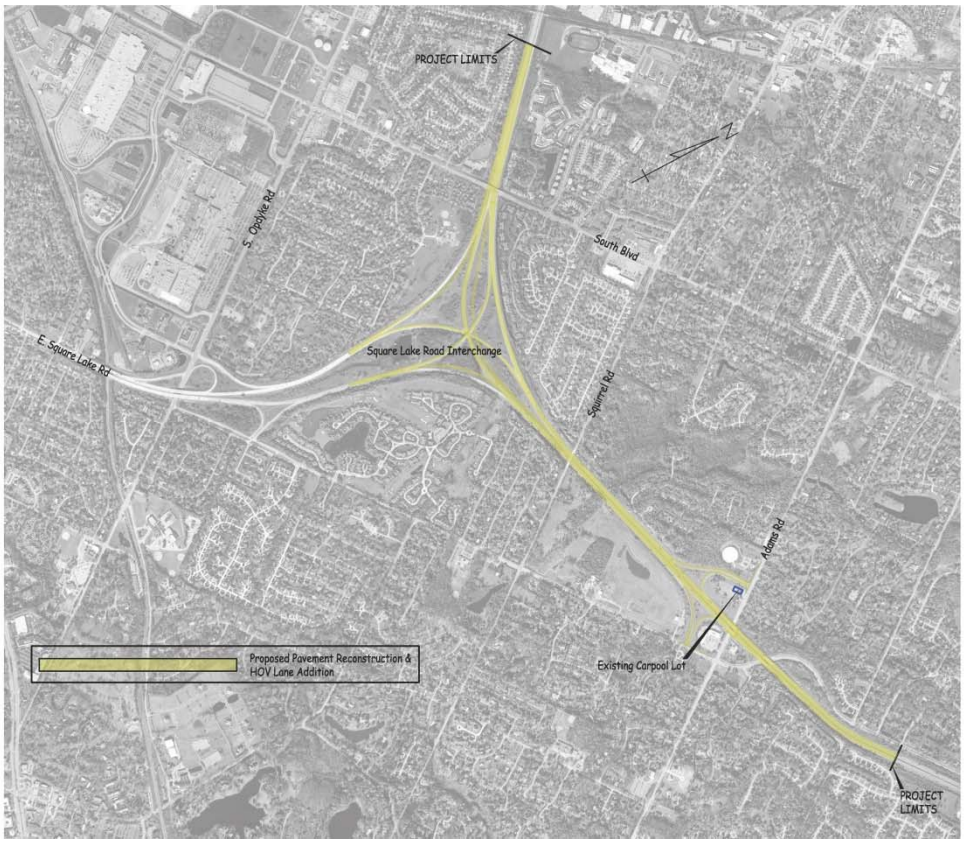
Stipends will not be paid for submitting SOQs.

In consideration for paying the stipend, MDOT may use any ideas or information contained in the proposals in connection with any contract awarded for the Project or in connection with a subsequent procurement, without any obligation to pay any additional compensation to the unsuccessful short-listed Proposers.

MDOT may require shortlisted firms to complete additional paperwork, such as MDOT Form 5100J, in order to process the payment of the stipend.

ATTACHMENT 1: LOCATION MAP


MODERNIZE
75
Construction Segments
N
Auburn Hills
South Blvd
Coolidge
Rochester Rd
Wattles Rd
Troy
Bloomfield Township
Royal Oak
13 Mile Rd
12 Mile Rd
Madison Heights
Hazel Park
9 Mile Rd
OAKLAND CO.
MACOMB CO.
WAYNE CO.
2016
2020
2022
2024
2026
2018
2028
2030
2016: North of Coolidge to North of South Boulevard
2018: North of I-696 to South of 12 Mile Rd
2020: North of Wattles to North of Coolidge
2022: North of Rochester to North of Wattles
2024: North of 13 Mile Rd to North of Rochester Rd
2026: South of 12 Mile Rd to North of 13 Mile Rd
2028: North of 9 Mile Rd to I-696
2030: North of M-102 to North of 9 Mile Rd
Date: 8/27/2015


PROJECT LIMITS
N
S. Opdyke Rd
South Blvd
E. Square Lake Rd
Square Lake Road Interchange
Squirrel Rd
Adams Rd
Proposed Pavement Reconstruction & HOV Lane Addition
Existing Carpool Lot
PROJECT LIMITS

ATTACHMENT 2: REFERENCE INFORMATION DOCUMENTS

Preliminary RID DVD Index

This attachment includes the Preliminary RID DVD Index only.

The actual DVD is available upon request by contacting the MDOT Senior Project Manager listed in this RFQ:

The RID contains the following items:

1. As-Built Plans
2. Road and/or Structure Studies
3. Environmental Documents
4. Engineering Report
5. Preliminary Geotechnical Information
6. Preliminary Survey

ATTACHMENT 3: CONFLICT OF INTEREST STATEMENT

CONFLICT OF INTEREST STATEMENT

_____ (Prime Contractor Name) certifies that it has read and understands the following:

The PRIME CONTRACTOR and its team members are aware of and understand the requirements of 23 CFR, subsection 636.116.

The PRIME CONTRACTOR, its team members, and its Affiliates agree not to have any public or private interest, and shall not acquire directly or indirectly any such interest in connection with the project, that would conflict or appear to conflict in any manner with the performance of the services under this Contract. "Affiliate" means a corporate entity connected to the PRIME CONTRACTOR through common ownership. "Team member" means any known entity the PRIME CONTRACTOR intends to be in a contractual relationship with to complete the work associated with the project. The PRIME CONTRACTOR, its team members, and its Affiliates agree not to provide any services to any entity that may have an adversarial interest in the project, for which it has provided services to the DEPARTMENT. The PRIME CONTRACTOR, its team members, and its Affiliates agree to disclose to the DEPARTMENT all other interests that the PRIME CONTRACTOR, its team members, or sub consultants have or contemplate having during each phase of the project. The phases of the project include, but are not limited to, planning, scoping, early preliminary engineering, design, and construction. In all situations, the DEPARTMENT will decide if a conflict of interest exists. If the PRIME CONTRACTOR, its team members, and its Affiliates choose to retain the interest constituting the conflict, the DEPARTMENT may terminate the Contract for cause in accordance with the provisions stated in the Contract.

☐ Certification for Subject Project: Based on the foregoing, the PRIME CONTRACTOR certifies that no conflict exists with the subject project for it, or any of its team members and/or Affiliates

☐ Disclose of Conflict with Subject Project: Based on the foregoing, the PRIME CONTRACTOR certifies that a potential conflict does or may exist with the subject project for it, and/or any of its team members and/or Affiliates. The attached sheets describe the potential conflict

This form, and any attachments, must be certified by a person from the PRIME CONTRACTOR who has contracting authority.

Certified by: Printed Name: _____

Signature: _____

Title: _____

Company Name: _____

Date: _____

ATTACHMENT 4: EXAMPLE NOTICE OF SHORTLISTING RESULTS

(DATE OF POSTING)

(Project Name) Design-Build Project

MDOT Job No. (Job Numbers)

The following teams have been short listed for the (Project Name) Design-Build Project:

Shortlisted Team Name and Contact Name	Cumulative Score	Understanding of Project	Qualifications of Team	Submitter Experience	Past Performance of Designers
Company 1 and Contact Name					
Company 2 and Contact Name					
Company 3 and Contact Name					
Non-Shortlisted Scores (Name are not provided)	Cumulative Score	Understanding of Project	Qualifications of Team	Submitter Experience	Past Performance of Designers

(Intentionally Left Blank)					
(Intentionally Left Blank)					
(Intentionally Left Blank)					
(Intentionally Left Blank)					