



## SUBRECIPIENT RISK ASSESSMENT CERTIFICATION

As required by 2 CFR §200.331(b), the purpose of this assessment is to evaluate subrecipient's risk of noncompliance with federal statutes, regulations, and the terms and conditions of a subaward, and to determine appropriate subrecipient monitoring during the grant performance period. Limited program experience, results of previous audits and site monitoring visits, new personnel or new or substantially changed systems, may increase a subrecipient's degree of risk.

|               |         |         |
|---------------|---------|---------|
| Subrecipient: | County: | DUNS #: |
|---------------|---------|---------|

### Questions

1. How many federal grant awards has your organization managed in the past 5 years regardless of awarding agency?
  - No grants
  - 1-3 grants
  - 4-5 grants
  - 6+ grants
  
2. What percentage of your grant management staff has fewer than 2 years of grant experience?
  - 0-25% of staff
  - 26-50% of staff
  - 51-75% of staff
  - 76-100% of staff
  
3. Has your organization had a new or substantially changed financial/accounting system(s) in the past 2 years?
  - Yes
  - No
  
4. What types of findings (audit, site monitoring, etc.) has your organization received within the past 5 years?  
**(Attach a separate sheet explaining any findings resulting in questioned costs or a return of funds.)**
  - Never Audited or No
  - Unsupported costs (lack of documentation)
  - Unreasonable use of funds
  - Questioned costs or required to return funds
  
5. Does your agency have staff primarily dedicated (>50%) to grants management activities?
  - Yes
  - No

### Certification

***I certify the information provided in this assessment is true and accurate, and that all occurrences of prior grant non-compliance have been disclosed.***

|   |        |
|---|--------|
| Authorized Representative Signature:    | Date:  |
| Authorized Representative Printed Name: | Title: |
| Point of Contact Printed Name:          | Title: |
|   | Email: |