

Checklist:

My Brokerage Account Statement

When to Use: Upon receipt of your statement

Your brokerage account statement is the official document for complete information pertaining to your account's value, holdings, and activity. It tells you everything that's going on in your account. This checklist provides a handy way for you to confirm, know and review important information about your statement.

Use this checklist upon receiving your brokerage account statement.

- Confirm accuracy of account information including:
 - Account number
 - Account type
 - Account titling
 - Account investment objective (if provided)
 - Your contact information
 - Brokerage firm, clearing firm and financial advisor contact information
- Know why your account increased or decreased in value from the previous reporting period.
- Review account activity with special attention to fees and charges, deposits and withdrawals, transfers, journals and letters of authorization.
- Review trade activity.