FY 20/21

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DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: <u>www.mi.gov/larafoia</u>. Current license status and disciplinary action history can be verified at the following CSCL web link: <u>www.mi.gov/licenselookup</u>

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	June 2021			
	INVESTMENT/SECURITIES			
LAKE ORION/ BLOOMFIELD HILLS	CHRISTOPHER ROUMAYEH CRD# 4510051 Complaint No. 343041	6/23/2021	 Fine \$ 5,000 Registration SUSPENDED 	Violation of Securities Act and/or Rule Violation