



Health Professional Recovery Program

Executive Summary

October 1, 2022, through September 30, 2023

Bureau of Professional Licensing
Michigan Department of Licensing and Regulatory Affairs

Information provided by



Article 15 Professions

Acupuncture
Athletic Training
Audiology
Behavior Analysis
Chiropractic
Counseling
Dentistry
Genetic Counselors
Marriage and Family Therapy
Massage Therapy
Medicine
Midwifery
Nursing
Nursing Home Administrator
Occupational Therapy
Optometry
Osteopathic Medicine & Surgery

Pharmacy
Physical Therapy
Physician's Assistant
Podiatric Medicine & Surgery
Psychology
Respiratory Care
Sanitarian
Social Work
Speech-Language Pathology
Veterinary Medicine

THE HEALTH PROFESSIONAL RECOVERY PROGRAM:

Michigan's Health Professional Recovery Program (HPRP) is a voluntary, confidential, non-disciplinary program established by the legislature in 1993. The program is available to all Michigan healthcare professionals who are licensed or registered under Part 15 of the Michigan Public Health Code. The HPRP is financially supported by licensing fees and is endorsed by healthcare professional associations throughout Michigan and by the state's licensing boards.

The Health Professional Recovery Committee (HPRC) oversees the HPRP and is comprised of public members and licensed health professional members appointed by the licensing boards of those professions eligible to participate in the HPRP. The HPRC is responsible for the development and modifications of the policies and procedures implemented by the HPRP.

The underlying philosophy of the program is to protect the public while encouraging and supporting recovery from the treatable diseases of substance use disorder, mental health disorder, or both.

The HPRP is a program that monitors participants as they address their respective impairment using a rehabilitative and clinical approach.

PROGRAM OVERVIEW:

The HPRP provides a structured monitoring process that coordinates services between health professionals, referred to as program participants, and approved service providers. The steps involved in the process are outlined below.

- 1. Referrals:** The HPRP offers licensees referral services to approved providers, known as evaluators. Evaluators meet with the licensee to determine whether there is a diagnosis of a substance use disorder or mental health disorder that merits monitoring. The evaluation includes, but is not limited to, the following:
 - Evaluating symptoms, treatment needs, personal safety, and risks to the public.
 - Reviewing the licensee's history of substance use, medical and treatment history, and social and demographic information.
 - Determining diagnosis and practice limitations.
 - Providing treatment and recovery recommendations if found eligible to participate.
- 2. Agreements:** When the evaluator determines that an eligible diagnosis exists, the HPRP consults with the evaluator to develop a monitoring agreement. The monitoring agreement is a signed contract between the participant and the HPRP that is designed to monitor the participant's treatment and continuing care requirements. The agreement includes, but is not limited to, the following:
 - Requirements for remaining compliant with the program.
 - Work restrictions and conditions, if any.
 - Requirements for drug screening, if applicable.
 - Requirements pertaining to submitting self-help logs and other reports.
 - A schedule for required provider visits and group meeting attendance.
- 3. Compliance:** The HPRP monitors each individual's level of participation and compliance in the program throughout the duration of the agreement. This includes, but is not limited to, the following:
 - Coordinating communication between providers, participants, and the program.
 - Reviewing actions to be taken in the event of relapse or other incidences of noncompliance.
 - Making necessary adjustments to monitoring agreements to address areas of noncompliance.
 - Determining when dismissal from the program is merited.
 - Reviewing required reports from participants and providers to determine the participant's level of compliance and progress in recovery.
- 4. Noncompliance:** Due to the inherent risk to the public presented by a licensed health professional who may be impaired, a licensee who fails to complete the intake process or refuses to comply with the requirements of a signed monitoring agreement is reported to

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

the Department of Licensing and Regulatory Affairs (department) as required by the Michigan Public Health Code. Once reported to the department, the department determines whether disciplinary action will be initiated.

Table 1: Participation by Profession for Fiscal Year Ending September 30, 2023.

| Profession* | Total Licenses | Licenses Monitored | Percentage of Licenses in Monitoring |
|-------------------------|----------------|--------------------|--------------------------------------|
| Chiropractic | 3,076 | 2 | .07% |
| Counseling | 11,404 | 6 | .05% |
| Dentistry | 20,643 | 11 | .05% |
| Marriage/Family Therapy | 912 | 1 | .10% |
| Massage Therapy | 9,188 | 1 | .01% |
| Medicine | 43,634 | 92 | .21% |
| Nursing | 197,445 | 472 | .24% |
| Occupational Therapy | 8,700 | 2 | .02% |
| Optometry | 1,921 | 1 | .05% |
| Osteopathic Medicine | 11,203 | 29 | .26% |
| Pharmacy | 47,249 | 22 | .06% |
| Physical Therapy | 15,411 | 10 | .05% |
| Physician's Assistant | 7,888 | 10 | .23% |
| Podiatry | 877 | 4 | .13% |
| Psychology | 7,757 | 7 | .09% |
| Respiratory Care | 5,442 | 4 | .07% |
| Social Work | 31,738 | 14 | .04% |
| Veterinary Medicine | 8,196 | 3 | .04% |
| TOTAL | 432,684 | 691 | .16% |

* Omitted professions had zero participants.
 **The number of licenses 691 (above) is greater than total participants 686 (Table 3 below) because some licensees hold more than one license.

AGREEMENT TYPES:

Eligibility for monitoring is based on a diagnosis made by an HPRP paneled evaluator. The types of monitoring agreements used are described below. Each type of monitoring agreement has a regulatory and a non-regulatory version.*

Substance Use Disorder (SUD)

monitoring agreements were offered to licensees who received a diagnosis of a substance use disorder. The evaluation did not include any additional diagnosis of a mental health disorder or any indication of a pain management issue related to the substance use disorder.

Mental Health (MH) monitoring agreements were offered to licensees who received a mental health diagnosis. The evaluation does not include any additional diagnosis of a substance use disorder or any indication of a pain management issue related to the mental health diagnosis.

Dual Diagnosis (DD) monitoring agreements were offered to licensees who received a diagnosis of a substance use disorder and a mental health disorder. The evaluations did not include any indication of a pain management issue related to the substance use disorder or mental health disorder.

Out-of-State monitoring agreements were offered to licensees holding an active Michigan license, had worked out-of-state, and were being monitored by an out-of-state professional health monitoring program.



***NON-REGULATORY**

PARTICIPANTS: Participants who self-report or are referred by the department for non-disciplinary monitoring.

REGULATORY PARTICIPANTS:

Participants who are referred to the HPRP under the terms of a disciplinary board order.

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

Table 2: Total Number of Participants Monitored by Fiscal Year.

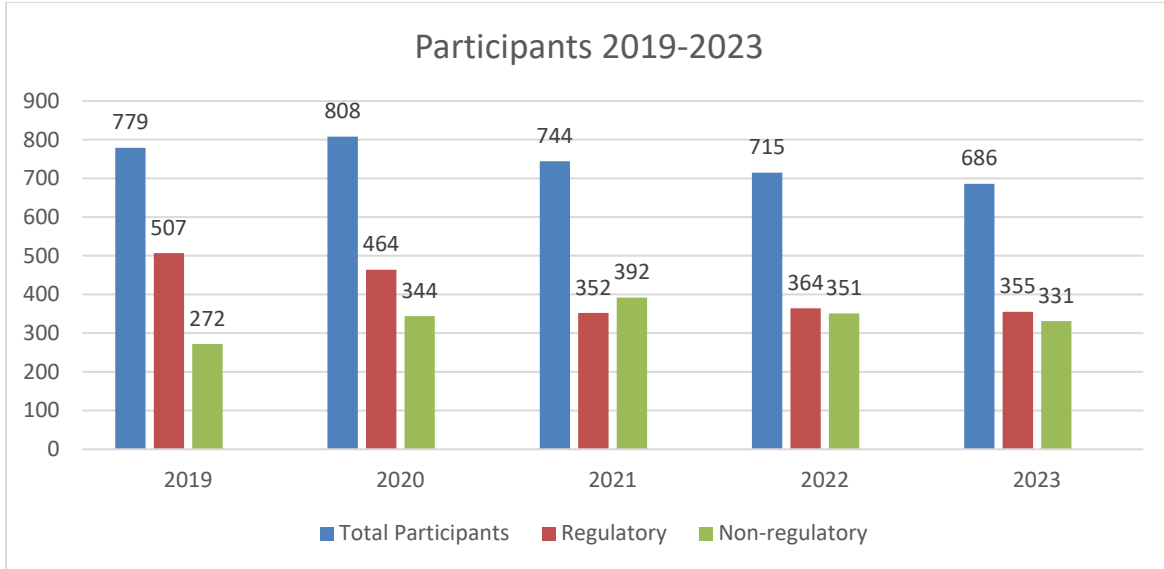
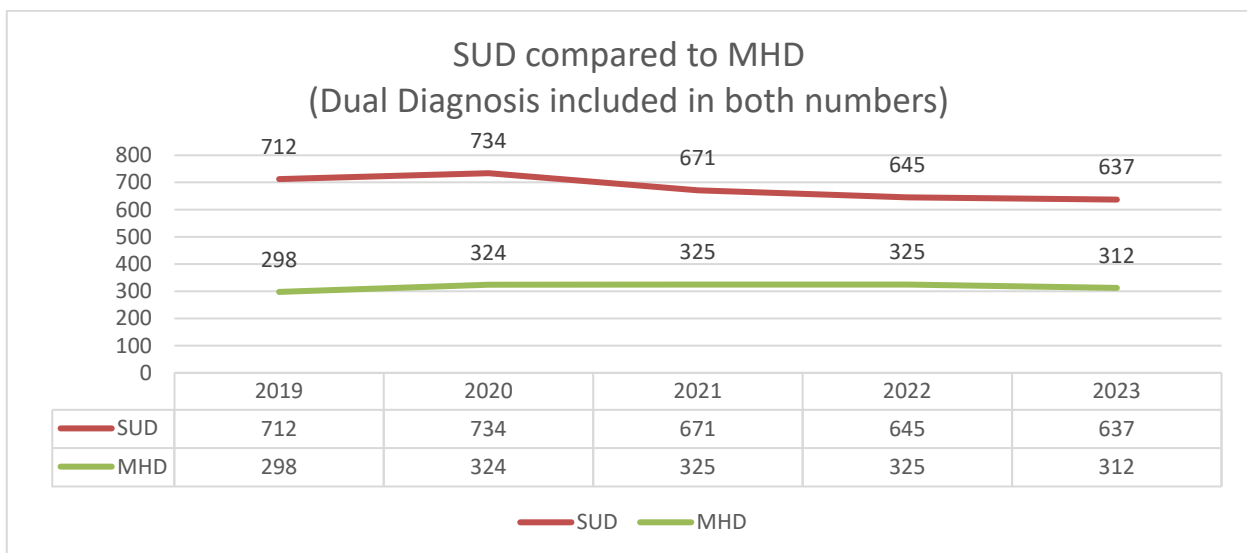


Table 3: Agreement Types by Fiscal Year.

| Agreement Types | DD | MHD | SUD | Out of State | Total Agreements Monitored |
|-----------------|-----------|---------|-----------|--------------|----------------------------|
| 2019 | 264 (34%) | 34 (4%) | 448 (58%) | 33 (4%) | 779 |
| 2020 | 290 (36%) | 34 (4%) | 444 (55%) | 37 (5%) | 805 |
| 2021 | 289 (39%) | 36 (5%) | 382 (51%) | 37 (5%) | 744 |
| 2022 | 294 (41%) | 32 (4%) | 352 (49%) | 37 (5%) | 715 |
| 2023 | 291 (42%) | 21 (3%) | 347 (51%) | 27 (4%) | 686 |

*Percentages are rounded up to the whole number and calculated based on total agreements monitored during the year.

Table 4: Total Number of Participants Diagnosed with a Mental Health Disorder Compared to those with a Substance Use Disorder by Fiscal Year.



- **369 referrals were received during this reporting period.**
- **111 referrals were found ineligible for monitoring during this reporting period.**
- **171 referrals entered into monitoring during this reporting period.**

INTAKE:

The HPRP received 369 referrals to the program between October 1, 2022, and September 30, 2023. Referrals to the program come from a variety of sources including self-referrals, licensed health professional co-workers and the department. The intake process was completed within 45 days 100% of the time unless an extension was granted. In 67% of the referrals, one of the three available intake extensions (Policy 204) were used to assist the participant in completing the intake process.

Intake managers attend an Intake Team Review meeting once per week. Any new intake manager who has been employed less than 1 year attends a Pre-Intake Team Review meeting with a senior staff member to ensure that all necessary paperwork and information needed for the weekly Intake Team Review have been gathered and compiled in a legible manner. Weekly Intake Team Review meetings include all intake personnel, the program director, and the medical consultant. During the Intake Team Review, intake referrals are reviewed, decisions regarding eligibility and compliance are made, and monitoring agreements are developed. Intake managers also attend one Case Management Clinical Team Review per month for continuity purposes between the intake and case management processes. All decisions made during Intake Team Review require the involvement and sign-off of all intake managers, the program director, and the medical consultant.

INTAKE SERVICES TO PARTICIPANT:

Referrals: Each referral was reviewed and assigned to a dedicated intake manager. Intake managers reviewed the intake process with new referrals, including the need to comply with an intake timeline checklist provided.

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

Licensees are routinely provided a minimum of 3 evaluators with emphasis placed on the specializations of the evaluators matching the presenting clinical issues of the licensee. Upon request, licensees were provided a list of additional providers. Licensees who received an eligible diagnosis had a monitoring agreement developed based on the evaluator's recommendations.

Risk level: The risk to the public presented by a new referral continuing the individual's professional practice while completing the intake process was reviewed at the start of each intake. The level of risk was used to determine whether the individual should be asked to step down from work until completing the intake process.

Noncompliance with Intake: During this fiscal year, 98 individuals who were noncompliant with the intake process were dismissed from the HPRP. These individuals were reported to the department for potential disciplinary action against the individual's professional license.

Entered Monitoring: During this fiscal year, 171 participants entered into an agreement after receiving an eligible diagnosis. Agreements initially require 1 to 3 years of monitoring, depending on the individual circumstances. Agreements can be extended to account for relapses and other instances of noncompliance with the monitoring agreement.

Ineligible: During this fiscal year, 111 individuals were found to be ineligible for monitoring because the approved evaluator found no diagnosis of a substance use or mental health disorder. These individuals were provided with an official ineligibility letter that marked their completion of the intake and participation in the program.

Table 5: New Referrals to HPRP by Fiscal Year.

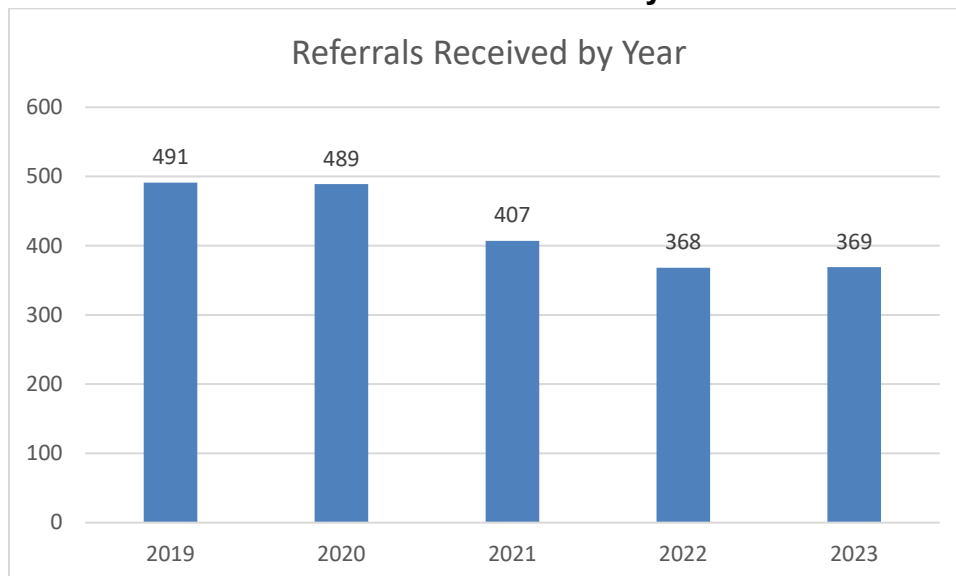
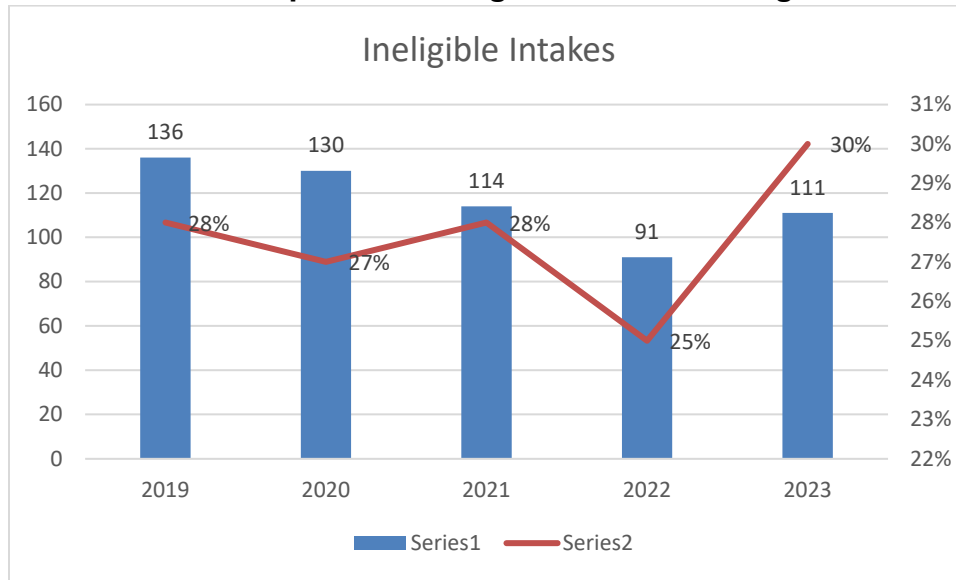


Table 6: Referrals Compared to Ineligible Intakes During the Fiscal Year.



CASE MONITORING SERVICES:

The HPRP managed 686 cases during the reporting period. Caseloads did not exceed 80 cases per case manager at any time. The average caseload rarely exceeded 50. All case managers attend a Clinical Team Review once each per week.

Any case manager employed less than 1 year attends a pre-team review meeting with a senior case manager to ensure all necessary paperwork and information needed for Clinical Team Review has been gathered and compiled in an accurate and legible manner. During Clinical Team Review, changes to monitoring agreements are determined, Step 1 Reviews are conducted, compliance issues are addressed, and closures are approved. All decisions made during Clinical Team Review require the involvement and sign-off of all case managers, the program director, and the medical consultant. Case managers also attend one Intake Team Review per month for continuity purposes between the intake and case management processes.

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

Table 7: Active Agreements by Month for Fiscal Year Ending September 30, 2022.

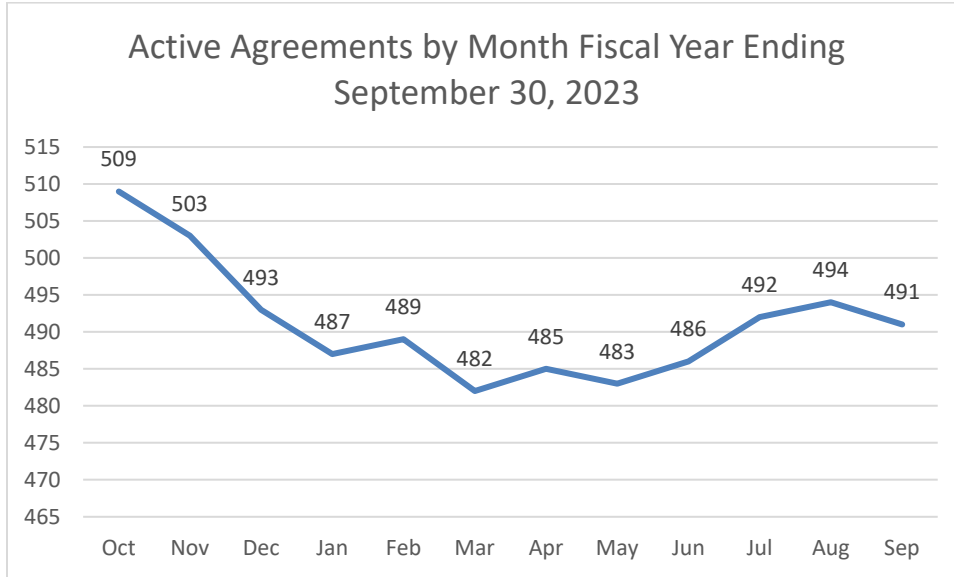
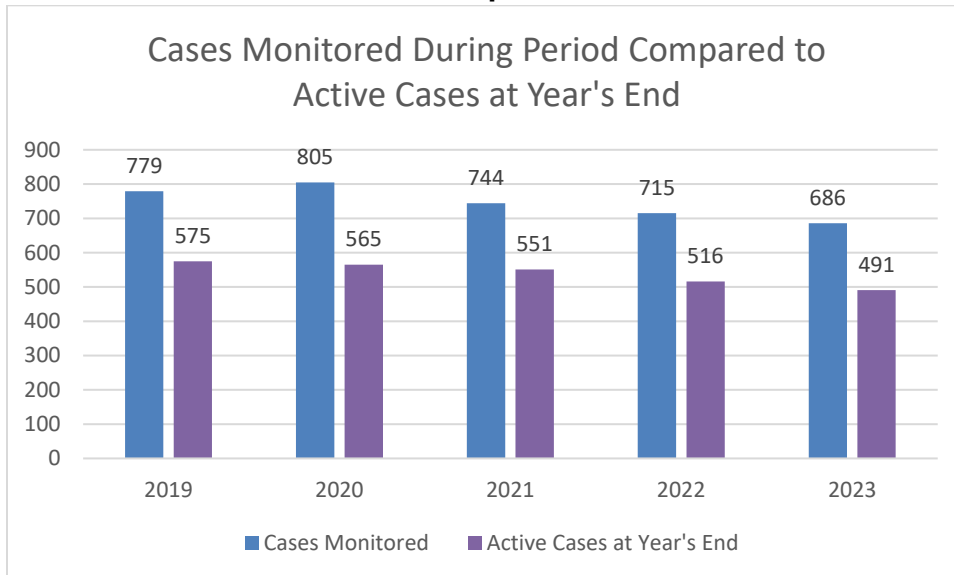


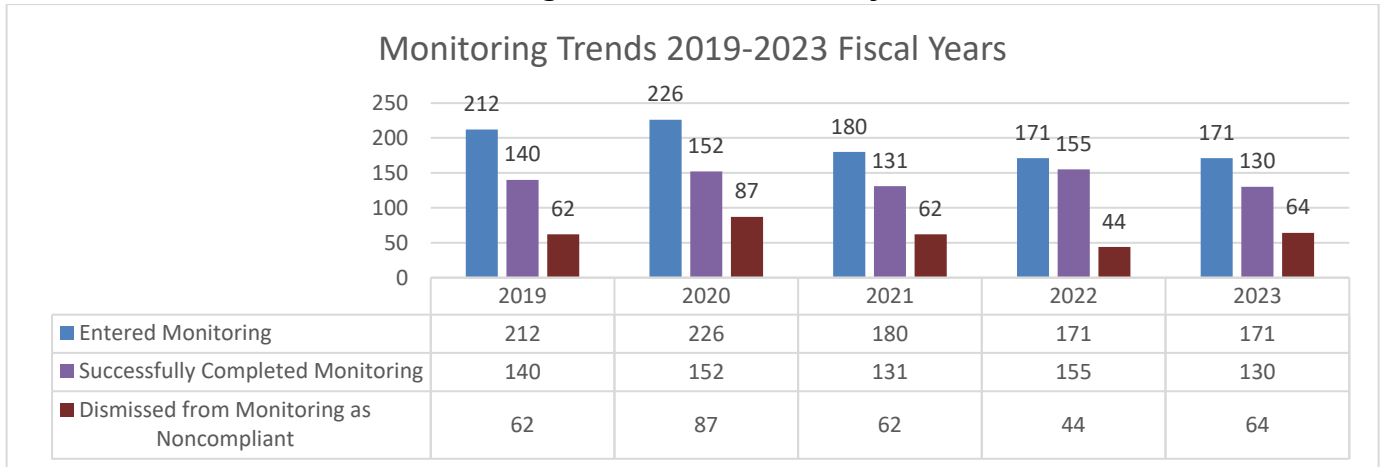
Table 8: Total Cases Monitored Compared to Active at Fiscal Year's End.



Michigan Health Professional Recovery Program

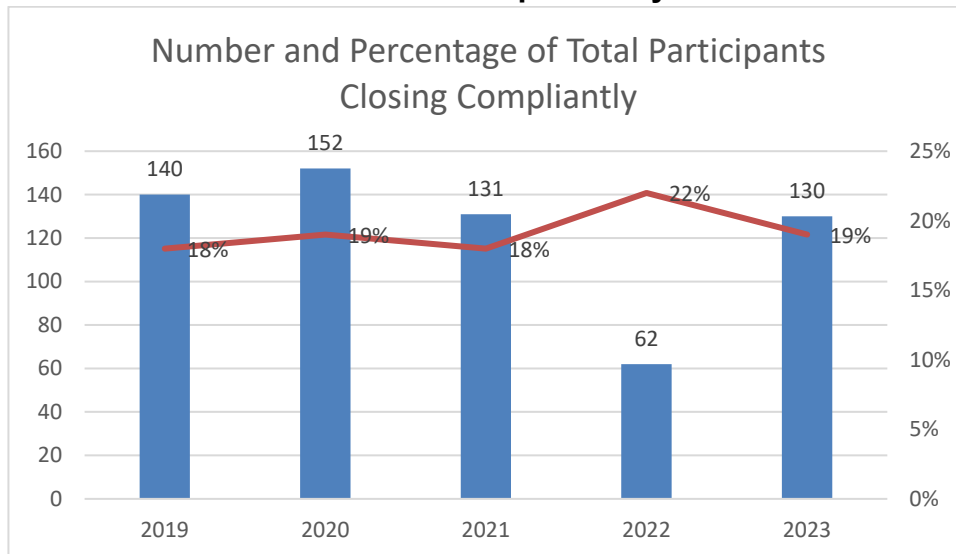
Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

Table 9: Monitoring Trends Over Time by Fiscal Year.



When a participant has a noncompliant incident, the participant is not automatically dismissed from the program. Dismissal typically occurs as a last resort and only after the participant refuses to follow any new requirements put in place to address the noncompliant incident.

Table 10: Successful Completion by Fiscal Year.



The table above represents the number of participants who successfully completed their agreement each year. The percentages were calculated based on the number of total participants monitored during each fiscal year.

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

The table below represents the number of participants who were dismissed as noncompliant. It does not include participants who failed to complete intake and never entered monitoring. The percentages were calculated based on the number of total participants monitored during each fiscal year.

Table 11: Noncompliant Dismissals by Fiscal Year.

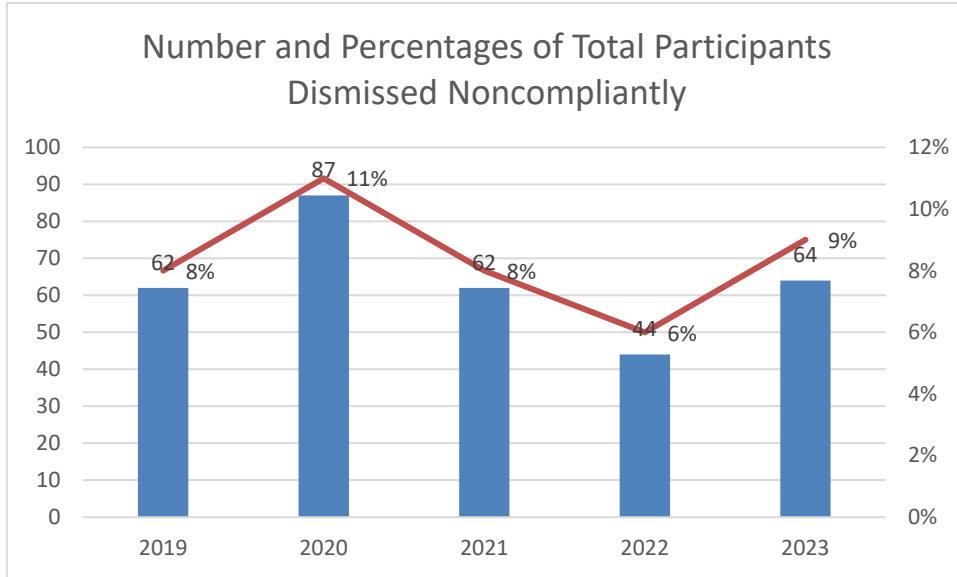
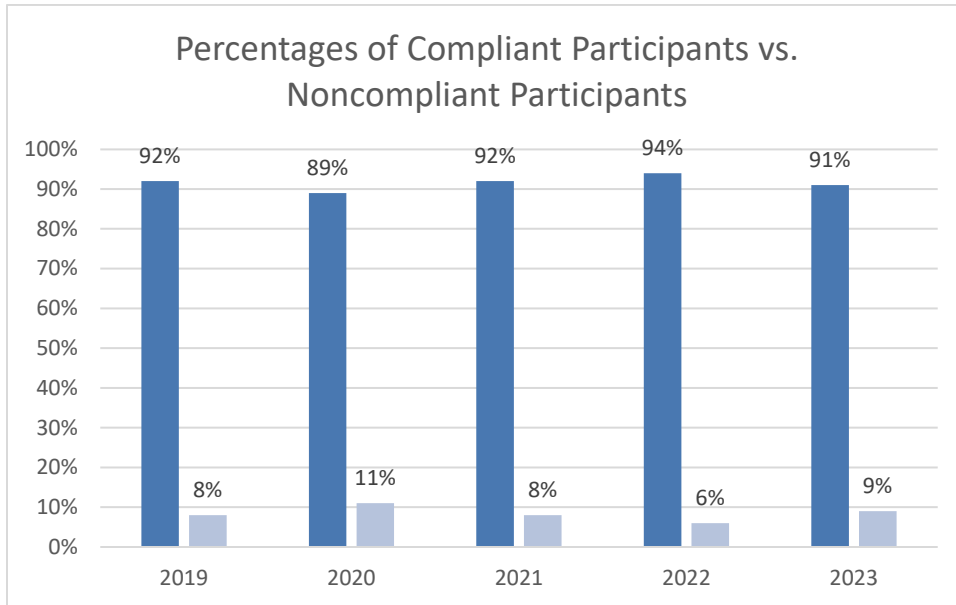


Table 12: Participant Compliance/Noncompliance Comparisons by Fiscal Year.



PROGRAM IMPROVEMENTS:

Ulliance, Inc. was most recently awarded the HPRP contract on November 1, 2022, and has made the following enhancements to the program during this fiscal year.

Ulliance, Inc. updated its HIPAA policies and began requiring each licensee to provide a copy of their photo identification. During all calls with a licensee, the HPRP staff confirms 2 of the following identifiers with the caller:

1. Birthdate
2. Email Address
3. Home Address
4. Telephone Number
5. HPRP Case Number

This policy was implemented to enhance and further secure the privacy of HPRP participants.

Drug Screening: Drug screens are a required component of intake and monitoring and are performed in accordance with the HPRC policies. In 2023, Ulliance, Inc. investigated other Third Party Administrators (TPA) available in the professional health drug screening domain and decided to contract with Recovery Trek and cancel its relationship with Vault Health based on Recovery Trek's advanced technological resources, exceptional customer service, as well as their significantly more competitive pricing, which will decrease drug screening costs for participants.

Additionally, Recovery Trek offers a new collection solution, PROOF, which permits the participant to conduct drug and alcohol testing from their home, eliminating the need to travel to collection sites. PROOF testing has made it possible for licensees to complete testing in the evening, after a collection site has closed, or while traveling within the United States where FEDEX services are available. PROOF may also be used in place of an observed screen, as well as when there is inclement weather making travel difficult.

Medical Consultant: The medical consultant is a critical role in the HPRP, particularly during weekly clinical team reviews. The HPRP relies on the medical consultant for expertise concerning drug test results, medical conditions, medications, non-compliance, and urgent medically related issues. The HPRP has continued its relationship with Carl Christensen, PhD, MD, MRO. Dr. Christensen has been invaluable to the program bringing his wealth of knowledge of professional health monitoring, and of the HPRP specifically through his involvement as a previous HPRC member and chairperson. During this reporting period Dr. Christensen dedicated 585 hours of his time which is a 3.2% increase compared to last fiscal year.

Provider Network: The HPRP has a collaborative working relationship with its paneled providers and respects their role in determining treatment expectations and advocating for their clients. During this contract year, the HPRP held 3 virtual provider meetings and 1 hybrid providers meeting that was held both in-person as well as virtually. The in-person meeting was held in response to providers' requests to meet in-person at least 1 time a year.

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

Additionally, the HPRP provided 1 annual virtual evaluator training during the reporting period. This training is required before an evaluator receives HPRP referrals. The training explores program requirements for a complete and comprehensive evaluation, time frames for completing the evaluation, and discussions for responding to the difficult client.

This year's annual evaluator training was attended by 12 current HPRP providers. This number now expands the total number of trained HPRP evaluators throughout the State from 98 to 110. This allows for wide geographical access to evaluators as well as an increase in evaluators who are trained in specialized evaluations that include pain, psychiatric, substance addiction, and sexual addiction. The program director also conducted additional training for evaluators throughout the reporting period as needed or when potential evaluators were identified.

The HPRP program director and medical consultant continue to produce a monthly newsletter available to all paneled providers informing them of any policy and procedural changes of the program as well as any current drug screening changes/advancements in the industry. Articles written by the medical consultant also include current trends in mood altering substances that may benefit HPRP providers in their practice.

There are currently 365 providers on the HPRP panel; 35 new providers were added during this reporting period. Credentialing a provider for the HPRP provider panel requires verification of an active license, a minimum of 5 years post graduate experience, experience treating mental health and/or substance use disorders, an interview with the program director that includes orientation and training components, and an agreement to follow HPRP provider processes by signing a *Statement of Understanding*. The HPRP verifies the license of every provider, confirming it remains active and without restrictions, every six months.

All providers have been credentialed or re-credentialed in the last year as required. The credentialing process supports the eligibility of providers on the panel as they are knowledgeable of program policies, practices, and overall HPRP expectations.

The HPRP provides a complete list of paneled providers every month to the department and to any licensee upon request. The HPRP does not have a financial relationship with the paneled providers; it does encourage all paneled providers to offer adjusted fees to their health professional peers in the program. The HPRP also encourages licensees to explore health insurance reimbursement possibilities when selecting a paneled HPRP provider to minimize cost.

Participant Tracking System: Ulliance, Inc. continues to develop and implement enhancements to the Participant Tracking System. Continuous improvement programming enhancements have been made to the intake and monitoring component of the HPRP system. Upgrades to the platform have started and will be completed over the next calendar year.

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

The secure infrastructure of the system allowed staff to continue to fully operate and conduct all required program components even when remote work environments are required. During this reporting period, the staff computer hardware and software was upgraded to include the latest updates and enhanced security features for remote work environments. The HPRP also provides access to the HPRP Participant Tracking System to designated department staff. This access is specific to the user with appropriate permission levels.

The HPRP program also integrated enhanced digital file tools with the Participant Tracking System that allowed for documents to be combined for easier storage and eFax for the inclusion of secure digital signatures.

HPRP.org: Ulliance, Inc. continues to maintain the HPRP.org public website, including reviewing and updating any content, code, or security items as needed. The content on the forms available to the public have been regularly updated over the past year and remain current with forms provided to licensees in the program. Updates have also been made to the programming code of the website to reflect the most recent standards, including all accessibility updates. In addition, Ulliance has monitored and enhanced security through software patches and updates that continually monitor the HPRP.org site for any issues.

The upgrades provide comprehensive daily site backups, uptime monitoring, and daily scans to protect against malware.

45-Day Intake Process: During this reporting period, Ulliance, Inc. met a 100% standard for all intakes to be completed within 45 days, excluding licensees who were given extensions as approved under Policy 204. During this contract year, 653 extensions were approved under Policy 204. All referrals to the HPRP are reviewed and assigned by the program director to a dedicated intake manager for each licensee. Intake managers review the process with their assigned licensees, including the need to adhere to the intake checklist that is provided to each licensee at the onset of intake. All licensees are routinely provided a minimum of 3 evaluators whose specializations match the licensee's presenting clinical issues.

After the approved provider's evaluation report is received, the intake manager presents each case to the HPRP Clinical Team. The clinical team then determines whether the licensee is eligible for the program. If the licensee is eligible to participate, a monitoring agreement is developed by the clinical team and offered to the licensee by their intake manager. After a licensee accepts the monitoring agreement, the case is then transferred to a dedicated case manager.

Continuation of Existing Monitoring Agreements: Upon receipt of the contract in 2012, Ulliance, Inc. identified all existing monitoring agreements and conducted a transfer of each case to a dedicated case manager. The assigned case manager contacted the participants and introduced themselves as their new contact with the HPRP and provided their contact information. All these agreements continue to be maintained unless they have been closed. If closed, the documentation required based on the type of closure, compliant or non-compliant,

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

as well as the type of case, regulatory or non-regulatory, has been provided to the State of Michigan.

Confidentiality and Destruction of Records: Ulliance, Inc. ensures the confidentiality of all records by strict adherence to HIPAA and HITECH rules, all federal and state laws, as well as internal practices. Access to records in the Participant Tracking System is through a firewalled, password protected user access process. The Participant Tracking System limits access to records of those cases for which the user is assigned or has a need to access to provide services and audits. Records do not leave the HPRP without a signed authorization by the licensee permitting the release of those records to the identified recipient, unless required to do so by law. The HPRP provides licensee's records to the department as required by policy or law. All records regarding non-regulatory participants are destroyed after five years unless the licensee became re-involved with the program during that five-year time frame or unless required by law.

Meetings with Contract Administrator: The program director communicates and/or meets with the contract administrator routinely, once a business day on average. The program director has continued to support the contract administrator in her understanding of the program and has clarified any questions she has asked. The program director receives prompt responses from the contract administrator regarding clarification of policies, Step 2 Reviews, General Extensions, and all other inquiries made by the HPRP.

HPRC Meeting attendance: Ulliance, Inc. has attended all scheduled and held HPRC meetings during this reporting period. The HPRP provides the Contract Administrator with a report for distribution to the HPRC prior to each meeting that includes:

- The number of non-regulatory and regulatory licensees enrolled in the program during the previous quarter.
- The total number of non-regulatory and regulatory licensees enrolled in the program during the previous quarter.
- The number of non-regulatory and regulatory licensees discharged, including non-compliant discharges during the previous quarter.
- Any treatment service provider issues during the previous quarter and the resolution to those issues, or request for committee guidance.
- Any treatment service provider audits conducted in the previous quarter.
- Problems or concerns identified with the HPRP's Policies and Procedures as approved by the committee.
- Pending issues that require direction from either the contract administrator or the committee.

Michigan Health Professional Recovery Program

Executive Summary: For the reporting period of October 1, 2022, to September 30, 2023

- Recommendations for program improvement or policy changes/additions/deletions.

Staffing: Roles and Responsibilities: The HPRP has been continuously staffed with Case/Intake Managers who are experienced, Master-degreed professionals. No Case/Intake Manager oversaw more than 80 cases. The average number of cases for each case manager rarely exceeds 50.

During the past fiscal year, the program director has participated in outreach and program awareness activities which include presentations to medical staff at hospitals or other health care organizations, as well as educational institutions. The program director has presented to hospital medical staff including doctors, nurses, as well as human resources, about the HPRP. These presentations include information related to the HPRP's purpose, the program, the licensee's experience, drug testing, treatment costs versus monitoring costs, HPRP processes, misinformation about the program and many other HPRP related subjects. These presentations have been well received and have created stronger relationships between the program and health care employers.

Reports: The HPRP submits a variety of data through monthly and quarterly reports. All required or otherwise requested reports have been completed and submitted on time.