

**DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT**

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	July 2021			
	INVESTMENT/SECURITIES			
HOLLAND	ALTRUIST FINANCIAL ADVISORS, LLC IARD# 121897 Complaint No. 344144	7/19/2021	1. Fine \$800	Violation of Securities Act and/or Rule Violation
SOUTH JORDAN, UT	BEACON LEARNING GROUP, LLC Unregistered Complaint No. 343274	7/27/2021	2. Fine \$2,500	Violation of Securities Act and/or Rule Violation
	TRANSPORTATION			
INDIAN RIVER	IMA TAXI SERVICE BERNIE CLARKSON, OWNER Taxicab Carrier Registration No. 58-02-000163 Complaint No. 343325	7/13/2021	1. Fine \$593.31 2. Other documentation	Violation of Limousine, Taxicab and Transportation Network Company Act