

**DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT**

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	INVESTMENTS AND SECURITIES			
EASLEY, SOUTH CAROLINA	CANDY KERN Unregistered ENF-22-020069	8/14/2023	\$20,000 Fine	Violation of Securities Act and/or Rule Violation
EASLEY, SOUTH CAROLINA	UPSTATE LAW GROUP Unregistered ENF-22-020070	8/14/2023	\$20,000 Fine	Violation of Securities Act and/or Rule Violation
AUSTIN, TX	FINANCIAL PRODUCT DISTRIBUTORS, LLC Unregistered ENF-23-020077	8/14/2023	\$20,000 Fine	Violation of Securities Act and/or Rule Violation
REPTON, AL	PAYMENT SERVICING GROUP Unregistered ENF-23-020079	8/14/2023	\$20,000 Fine	Violation of Securities Act and/or Rule Violation
	PREPAID FUNERAL AND CEMETERY SALES			
SOUTHFIELD	PARADISE CHAPEL FOR FUNERALS, INC. Prepaid Funeral Provider Registration No. 3401001454 ENF-23-020014	8/10/2023	\$250 Fine	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation
	TRANSPORTATION COMPANY			
NOVI	IS TRANSPORTATION LLC Limousine Carrier Registration No. 5803001707 ENF-23-020082	8/29/2023	\$269.86 Fine	Violation of Limousine, Taxicab and Transportation Network Company Act
STERLING HEIGHTS	KHAN TRANSPORTATIONS LLC Limousine Carrier Registration No. 5803001807 ENF-23-020051	8/8/2023	Registration is PERMANENTLY SURRENDERED	Violation of Limousine, Taxicab and Transportation Network Company Act
WARREN	A 2 Z TRANSIT LLC Limousine Carrier Registration No. 5803001492 ENF-23-020083	8/22/2023	1. \$208.44 Fine 2. License SUSPENDED 3. Other documentation	Violation of Limousine, Taxicab and Transportation Network Company Act

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YPSILANTI	"WE" TRANSPORT 24/7 LLC Transportation Network Company Registration No. 5801000313 ENF-23-020050	8/3/2023	1. \$455.62 Fine 2. License SUSPENDED 3. Other documentation	Violation of Limousine, Taxicab and Transportation Network Company Act
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