

**DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT**

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	INVESTMENTS AND SECURITIES			
MI	INTI, LLC CRD# 322461 ENF-24-020172	12/26/2024	1. \$1,500.00 Fine 2. Other Documentation	Violation of Securities Act and/or Rule Violation
St. LOUIS, MS	EDWARD JONES Unregistered ENF-23-020101	12/20/2024	1. \$320,754.72 Fine 2. \$15,000.00 Administrative and Investigatory Costs	Violation of Securities Act and/or Rule Violation
VENICE, CA	HIGHTIMES HOLDING CORP. Unregistered ENF-24-020168	12/9/2024	Cease and Desist	Violation of Securities Act and/or Rule Violation
TENNESSEE	FULTON SHEEN CRD# ENF-24-020090	12/17/2024	Cease and Desist	Violation of Securities Act and/or Rule Violation
NEW ZEALAND	RIVER EAST FINANCIAL LTD Unregistered ENF-24-020089	12/17/2024	Cease and Desist	Violation of Securities Act and/or Rule Violation
	MORTUARY SCIENCE			
SAGINAW	KIND CREMATIONS, LLC Unregistered ENF-24-020067	12/20/2024	Respondent shall continue to Cease and Desist from violating the Occupational Code and rules promulgated under the Occupational Code by refraining from engaging in or holding itself out as engaging in the practice of mortuary science without a license and advertising services requiring a mortuary science license issued by the Department.	Violation of Occupational Code and/or Rule Violation

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	PREPAID FUNERAL AND CEMETERY SALES			
KALAMAZOO	WHITLEY MEMORIAL FUNERAL HOME, INC. Prepaid Funeral Provider Registration No. 3401001209 ENF-24-020178	12/12/2024	\$500.00 Fine	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation