DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	March 2019			
	INVESTMENT/SECURITIES			
MT. ENTERPRISE, TX	KIM BUTLER Unregistered CRD No. 2128753 Complaint No. 336791	3/6/2019	1. Fine $2,000	Violation of Securities Act and/or Rule Violation
STERLING HEIGHTS	WILLIAM SAOUD Unregistered CRD No. 2697944 Complaint No. 335830 & 335564	3/6/2019	1. Fine $25,000 Fine (joint)	Violation of Securities Act and/or Rule Violation
GRAND RAPIDS	JASON BLIK CRD No. 6129245 Complaint No. 335252	3/26/2019	1. Heightened Supervision for 1 year	Violation of Securities Act and/or Rule Violation
SOUTHFIELD/TROY	DOUGLAS ZACK CRD No. 2326769 Complaint No. 337882	3/26/2019	1. Conditional Registration	Violation of Securities Act and/or Rule Violation
	UNARMED COMBAT			
GRAND RAPIDS	CALVIN JOSEPH HARBAUGH Amateur Mixed Martial Artist License No. 15-04-000438 Complaint No. 338642	3/22/2019	1. Fine $300 2. License Suspended 90 Days	Violation of Unarmed Combat Regulatory Act and/or Rule Violation
BATTLE CREEK	REGINALD LAMAR RAYFORD Amateur Mixed Martial Artist License No. 15-04-000447	3/22/2019	1. Fine $300 2. License Suspended 90 Days	Violation of Unarmed Combat Regulatory Act and/or Rule

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	Complaint No. 338600			Violation
CHARLOTTE/LANSING	KORY WERTZ Professional Mixed Martial Artist License No. 15-14-000452 Complaint No. 338148	3/22/2019	1. Fine $400/Administrative Costs $300 2. License Suspended 90 Days	Violation of Unarmed Combat Regulatory Act and/or Rule Violation
CLARE	STEVEN BRETT SBARDELLA Professional Mixed Martial Artist License No. 15-14-000052 Complaint No. 337612	3/22/2019	1. Fine $400/Administrative Costs $100 2. License Suspended 90 Days	Violation of Unarmed Combat Regulatory Act and/or Rule Violation
HOLLAND	COTY ALLEN LOPEZ Professional Mixed Martial Artist License No. 15-14-000577 Complaint No. 338149	3/22/2019	1. Fine $350/Administrative Costs $300 2. License Suspended 90 Days	Violation of Unarmed Combat Regulatory Act and/or Rule Violation
LEXINGTON, KY	PORTLAND PRINGLE Professional Mixed Martial Artist License No. 15-14-000624 Complaint No. 338706	3/22/2019	1. Fine $850 2. License Suspended 90 Days	Violation of Unarmed Combat Regulatory Act and/or Rule Violation