

Michigan Department of Licensing and Regulatory Affairs
 Corporations, Securities & Commercial Licensing Bureau
 Licensing Division
 SECURITIES
 P.O. Box 30018, Lansing, MI 48909
 517-241-6345
 www.michigan.gov/securities

FOR OFFICE USE ONLY	
Approved By:	
Date Approved:	
License Number	

NON-FINRA BROKER-DEALER RENEWAL

AUTHORITY: 2008 PA 551, MCL 338.3434(A), AND 42 USC 654
 PENALTY: FAILURE TO PROVIDE THIS INFORMATION MAY RESULT IN DENIAL OF THE APPLICATION AND/OR DISCIPLINARY ACTION.

Name		Michigan Broker-Dealer Number (NOT your CRD Number) NON-	
Address (No PO Boxes)	City	State	Zip Code
Contact Name	E-mail Address		
MICHIGAN branch offices? Attach a list of office locations Change in address? <input type="checkbox"/> Yes <input type="checkbox"/> No	Telephone Number		

*Attach a list of agents or complete the information below.

Agent Name	US Social Security Number		
Address (No PO Boxes)	City	State	Zip Code
Agent Name	US Social Security Number		
Address (No PO Boxes)	City	State	Zip Code
Agent Name	US Social Security Number		
Address (No PO Boxes)	City	State	Zip Code

Certification

I certify that the statements in this document are true and complete. I understand that any omitted statement, misrepresentation, or fraud may be cause for denial of my application, disciplinary action, or may be punishable by law. I agree the Department is required by law to obtain my social security number pursuant to MCL 338.3434(a), that the information will be used for purposes of identification and to minimize occupational license fraud.

 Signature Date

FEE PAYMENT INFORMATION (Check One Box)	FOR OFFICE USE ONLY	FOR OFFICE USE ONLY - VALIDATION
<input type="checkbox"/> Broker-Dealer Renewal \$300.00	C3 Validation Code: 8	
<input type="checkbox"/> Agent Renewal (quantify x \$65.00) \$ 65.00 ea	C3 Validation Code: 8	
Make your check or money order in U.S. Currency payable to: STATE OF MICHIGAN		
FEES ARE AUTHORIZED BY 2008 PA 551 AND ARE NOT REFUNDABLE.		