February 2017 Page 1 of 2

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION

To obtain copies of disciplinary action documents, write to: Corporations, Securities & Commercial Licensing Bureau, FOIA Unit, P.O. Box 30018, Lansing, MI 48909. Current license status and disciplinary action history can be verified at the following CSCL web link: https://www.lara.michigan.gov/colaLicVerify

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	February 2017			
	INVESTMENT/SECURITIES			
SALT LAKE CITY, UT CARSON CITY, NV	PATRIOTS ENERGY GROUP Unregistered Complaint No. 329442	2/1/2017	1. Fine \$40,000 2. Cease and Desist	Violation of Securities Act and/or Rule Violation
PORTAGE, KALAMAZOO	SAMI INVESTMENTS, LLC Unregistered Complaint No. 323701 and HOUSTON PETERSON Unregistered CRD# 2421280	2/23/2017	1. Fine \$2,500 2. Consent Order	Violation of Securities Act and/or Rule Violation
	Complaint No. 323702	0/04/0047	4. Fine \$40,000	Violation of Consumition Ant
SALT LAKE CITY, UT LOS ANGELES, CA	RICHARD BARTERA Unregistered	2/24/2017	1. Fine \$40,000	Violation of Securities Act and/or Rule Violation
LOS ANGELES, CA	Complaint No. 329450			and/or Rule Violation
PORTAGE	DANIEL HARTLEY	2/24/2017	1. Fine \$500	Violation of Securities Act
	CRD #1141270		Conditional Registration	and/or Rule Violation
	Complaint No. 328767		Order	

FY 16/17

February 2017 Page 2 of 2

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION

	PROPRIETARY SCHOOL			
KENTWOOD, WYOMING BROOKLYN, NY	THE GLOBAL CENTER FOR ADVANCED STUDIES Proprietary School Licensing No. 86-04-000978 Complaint No. 328417	2/10/2017	1. Fine \$1,000 2. Other Docs	Violation of Proprietary Schools Act and/or Rule Violation
FLINT	GENESEE HEALTH CAREERS, LLC Proprietary School Licensing No. 86-04-000643 Complaint No. 331248	2/24/2017	1. Fine \$700	Violation of Proprietary Schools Act and/or Rule Violation
	PREPAID FUNERAL AND CEMETERY SALES			
DETROIT	MCFALL BROTHERS FUNERAL HOME MCFALL BROTHERS, INC., OWNER Prepaid Funeral and Cemetery Sales Registration No. 34-01-000837 Complaint No. 331173	2/10/2017	1. \$1,000 2. Reports	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation