DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

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Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	July 2018			
	INVESTMENT/SECURITIES			
NOVI/DETROIT	VISALUS, INC. Unregistered Complaint No. 333697	7/13/2018	1. Fine $2,500	Violation of Securities Act and/or Rule Violation
CORAL SPRINGS, FL	KENNETH FEYERS CRD# 1785448 Complaint Nos. 333349 & 334920 and SAFETY OF PRINCIPLE WEALTH MANAGEMENT, LLC CRD# 143918 Complaint No. 334848	7/13/2018	1. Joint Fine $3,500 2. Kenneth Feyers's IAR registration withdrawn 3. Safety of Principle Wealth Management, LLC's IA registration withdrawn	Violation of Securities Act and/or Rule Violation
OKEMOS/WILLIAMSTON	SCOTT T. SCHULTZ CRD NO. 1124151 Complaint No. 332016 and SCOTT SCHULTZ CLOSED-END FUNDS, INC. CRD/IARD NO. 121993	7/27/2018	1. Fine $10,000 for Scott Schultz 2. Fine $10,000 for Scott Schultz Closed-End Funds, Inc. 3. Scott Schultz Closed-End Funds, Inc.'s Registration Revoked	Violation of Securities Act and/or Rule Violation

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	Complaint No. 331412			
	TRANSPORTATION COMPANY			
SPRING LAKE	ROCKY'S TAXI TAXICAB CARRIER LICENSE NO. 58-02-000135 Complaint No. 334171	7/24/2018	1. Fine $194.51 2. Cease and desist from violating the Act by operating without a registration	Violation of Limousine, Taxicab, and Transportation Network Company Act and/or Rule Violation