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DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

| | LICENSEE | EFFECTIVE | ACTION TAKEN | REASON |
|--|---|-----------|--|---|
| | | DATE | | |
| | May 2019 | | | |
| | INVESTMENT/SECURITIES | | | |
| SOUTHFIELD, HALLANDALE BEACH, FL | 1 GLOBAL CAPITAL, LLC Unregistered Complaint No. 335567 | 5/8/2019 | 1. Respondent must permanently cease and desist from offering or selling any securities in Michigan that are not registered or exempt under the Act, to permanently cease and desist from employing or associating with unregistered sales agents, and to otherwise comply with the Act and all rules and orders promulgated thereunder. | Violation of Securities Act and/or Rule Violation |
| ROCHESTER HILLS | JOHN MACCOLL CRD No. 839441 Complaint No. 336201 | 5/17/2019 | Securities Agent and Investment Adviser Representative Registrations Revoked. | Violation of Securities Act and/or Rule Violation |
| GROSSE POINTE | SUZANNE ANTONELLI CRD No. 1635340 Complaint No. 336780 | 5/22/2019 | 1. Cease and Desist 2. Fine \$10,000 | Violation of Securities Act and/or Rule Violation |
| GROSSE POINTE | ANTONELLI FINANCIAL ADVISORS, LLC CRD No. 286452 Complaint No. 336353 | 5/22/2019 | 1. Cease and Desist 2. Fine \$10,000 | Violation of Securities Act and/or Rule Violation |
| | PREPAID FUNERAL AND CEMETERY | | | |

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DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

| | SALES | | | |
|------------------|---|-----------|--|--|
| MONROE | ALLORE FUNERAL HOME, INC. Prepaid Funeral and Cemetery Sales Registration No. 34-01-000969 Complaint No. 337784 | 5/3/2019 | 1. Fine \$750 | Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation |
| MOUNT PLEASANT | LUX FUNERAL HOME OF MT. PLEASANT, INC. Prepaid Funeral and Cemetery Sales Registration No. 34-01-000952 Complaint No. 337781 | 5/3/2019 | 1. Fine \$1,000 | Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation |
| TRENTON | THE MARTENSON FUNERAL HOME, INC. Prepaid Funeral and Cemetery Sales Registration No. 34-01-000164 Complaint No. 337769 TRANSPORTATION COMPANY | 5/3/2019 | 1. Fine \$750 | Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation |
| KALAMAZOO | GODSPEED TRANSPORTATION MICHAEL D. BOWLING, OWNER Unregistered Complaint No. 338142 | 5/21/2019 | Fine \$204.82 Respondent must cease and desist from operating as a Taxicab Carrier without a registration under the Act. | Violation of Limousine, Taxicab, and Transportation Network Company Act |
| FLINT | SECURITY GUARD AGENCY COLLINS PROTECTION AGENCY COLLINS PROTECTION AGENCY LLC, OWNER Security Guard Agency License No. 38-01-207393 Complaint No. 336187 | 5/8/2019 | 1. Fine \$100 2. Agency License Suspended 3. Other Documentation | Violation of Private Security Business and Security Alarms Act and/or Rule Violation |
| FARMINGTON HILLS | AUTHORIZED SECURITY EXPERTS, LLC Security Guard Agency License No. 38-01-207166 Complaint No. 336574 | 5/14/2019 | 1. Agency License Revoked | Violation of Private Security Business and Security Alarms Act and/or Rule Violation |