DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION

To obtain copies of disciplinary action documents, write to: Corporations, Securities & Commercial Licensing Bureau, FOIA Unit, P.O. Box 30018, Lansing, MI 48909. Current license status and disciplinary action history can be verified at the following CSCL web link: https://www.lara.michigan.gov/colaLicVerify

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	July 2016			
	SECURITIES			
PORTAGE	HARBINGER ASSET MANAGEMENT, L.L.C. IARD# 146811 Complaint No. 327440	7/19/2016	1. Fine: $ 50,000 2. IA Registration Revoked	Violation of Securities Act and/or Rule Violation
SCOTTS	CHRISTOPHER THOMAS TOLMACS CRD# 4648724 Complaint No. 328900	7/19/2016	1. Fine: $ 50,000 2. IAR and AG Registration Revoked	Violation of Securities Act and/or Rule Violation
FARMINGTON HILLS	JAC INVESTMENTS, L.L.C. Unregistered Complaint No. 324496	7/19/2016	1. Fine: $20,000 2. Cease and Desist	Violation of Securities Act and/or Rule Violation
WEST BLOOMFIELD	SAM JAMIL SESI Unregistered Complaint No. 324492	7/19/2016	1. Fine: $ 30,000 2. Cease and Desist	Violation of Securities Act and/or Rule Violation
NEW YORK, NY	OPPENHEIMER & CO. INC. CRD# 249 Complaint No. 328306	7/19/2016	1. Fine: $900,000 2. Consent Agreement	Violation of Securities Act and/or Rule Violation
	UNARMED COMBAT			
SILVERWOOD	ZHAKWARIUS JOHNSON Unlicensed Amateur Mixed Martial Artist Complaint No. 329216	7/18/2016	1. Cease and Desist	Violation of Unarmed Combat Regulatory Act and/or Rule Violation