DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

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Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	AUGUST 2019			
	INVESTMENT/SECURITIES			
WOODBRIDGE, NJ	FRANK TROMBATORE CRD No. 2126568 Complaint No. 338509	8/23/2019	1. Respondent must comply with the Securities Act in connection with all future conduct and activities.	Violation of Securities Act and/or Rule Violation
MIAMI, FL	SOUTHERN TRUST SECURITIES, INC. CRD No. 103781 Complaint No. 338507	8/23/2019	1. Fine $3,000	Violation of Securities Act and/or Rule Violation
	PREPAID FUNERAL AND CEMETERY SALES			
EASTPOINTE	Q A CANTRELL FUNERAL SERVICES, LLC Prepaid Funeral and Cemetery Sales License No. 34-01-001378 Complaint Nos. 335389 & 338064	8/23/2019	1. Fine $10,000	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation
	PROFESSIONAL INVESTIGATOR			
OAKLAND TOWNSHIP	DATA RISK MANAGEMENT, LLC Professional Investigator License No. 37-01-206978 Complaint No. 337652	8/28/2019	1. Censured	Violation of Professional Investigator Licensure Act
	TRANSPORTATION COMPANY			
KALAMAZOO	BIGGBABY CAR SERVICES	8/14/2019	1. Fine $393.40	Violation of

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	CHARLES JOHNSON, OWNER License No. 58-03-000247 Complaint No. 337243		2. Other Documentation	Limousine, Taxicab, and Transportation Network Company Act