DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION

To obtain copies of disciplinary action documents, write to: Corporations, Securities & Commercial Licensing Bureau, FOIA Unit, P.O. Box 30018, Lansing, MI 48909. Current license status and disciplinary action history can be verified at the following CSCL web link: https://www.lara.michigan.gov/colaLicVerify

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	May 2017			
	INVESTMENT/SECURITIES			
ALBANY, NY	PURSHE KAPLAN STERLING, INC. CRD # 35747 Complaint No. 328627	5/3/2017	1. Fine $10,000	Violation of Securities Act and/or Rule Violation
BIRMINGHAM/ GLEN ARBOR/ MAPLE CITY	ROBERT BYRKIT MORLEY, JR. CRD# 854544 Complaint No. 327185	5/5/2017	1. Fine $80,000 2. Cease and Desist	Violation of Securities Act and/or Rule Violation
BIRMINGHAM/ GLEN ARBOR/ MAPLE CITY	CREATIVE WEALTH STRATEGIES, INC. Unregistered Complaint No. 327186	5/5/2017	1. Fine $40,000 2. Cease and Desist	Violation of Securities Act and/or Rule Violation
	PREPAID FUNERAL AND CEMETERY SALES			
GRANT	LEGACY MEMORIAL GROUP, LLC D/B/A FIELDS-MCKINLEY FUNERAL & CREMATION SERVICES Prepaid Funeral and Cemetery Sales Registration No. 34-01-001354 Complaint No. 331177	5/2/2017	1. Fine $2,000	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation
HESPERIA	RAYMOND FUNERAL HOME, INC. Prepaid Funeral and Cemetery Sales Registration No. 34-01-000207 Complaint No. 329980	5/2/2017	1. Fine $6,500 2. Other Documentation	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation

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	PROPRIETARY SCHOOLS			
EAST LANSING, ANN ARBOR	DOUGLAS J AVEDA INSTITUTE DOUGLAS J AVEDA INSTITUTE, INC., OWNER Proprietary School License No. 86-01-000115 Complaint No. 331209	5/9/2017	1. Fine $250	Violation of Proprietary Schools Act and/or Rule Violation