DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	November 2018			
	INVESTMENT/SECURITIES			
SWARTZ CREEK	GARY A. FORREST Unregistered CRD No. 1313782 Complaint No. 333313	11/5/2018	1. Fine $3,000	Violation of Securities Act and/or Rule Violation
ROYAL OAK	JAIMY WEILER Unregistered Complaint Nos. 332875 & 333719	11/29/2018	1. Fine $5,000	Violation of Securities Act and/or Rule Violation
FORT WAYNE, IN	DAVID KNUTH Unregistered CRD No. 4553450 Complaint No. 333305	11/29/2018	1. Fine $5,000	Violation of Securities Act and/or Rule Violation
GRAND RAPIDS	DRAYSON DOYLE Unregistered CRD No. 1029969 Complaint No. 333309	11/29/2018	1. Fine $3,000	Violation of Securities Act and/or Rule Violation
BRONX, NY / NEW ROCHELLE, NY	LORRAINE WHITE CRD No. 2820040 Complaint No. 335187	11/29/2018	1. Application Withdrawn	Violation of Securities Act and/or Rule Violation
	PREPAID FUNERAL AND CEMETERY SALES			
EDWARDSBURG	PAUL E. MAYHEW FUNERAL HOME, INC.	11/2/2018	1. Fine $500	Violation of Prepaid Funeral Provider Act

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	Prepaid Funeral and Cemetery Sales Registration No. 3401000166 Complaint No. 335929			and/or Rule Violation
DETROIT	PEACE FUNERAL HOME, INC. Prepaid Funeral and Cemetery Sales Registration No. 34-01-001415 Complaint No. 332377	11/29/2018	1. Fine $500	Violation of Prepaid Funeral Provider Act and/or Rule Violation
	PROPRIETARY SCHOOLS			
DEWITT	HOLLOWAY'S REAL ESTATE INSTITUTE, INC. Proprietary School Licensing No. 86-04-000155 Complaint No. 333882	11/15/2018	1. Fine $1,000 Fine	Violation of Proprietary Schools Act and/or Rule Violation
	TRANSPORTATION COMPANY			
BAY CITY	TRI-CITY TAXI, LLC Taxicab Carrier Registration No. 58-02-000160 Complaint No. 335377	11/7/2018	1. Fine $239.27 2. Other Documentation	Violation of Limousine, Taxicab, and Transportation Network Company Act
CANTON	BLUE JET TRANSPORTATION, LLC Limousine Carrier Registration No. 58-03-000900 Complaint No. 336328	11/16/2018	1. Fine $115.85 2. Limousine Carrier Registration Revoked 3. Other Documentation	Violation of Limousine, Taxicab, and Transportation Network Company Act