DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	August 2018			
	CARNIVAL AMUSEMENT			
STERLING, CO	EQUINOX TANGO TNG 24-05606 Ride ID No. 20-05-014153 and LASER FAIR INC. Permanent ID No. 20-01-000507 Complaint No. 336899	8/8/2018	1. Cease operation of Carnival-Amusement Rides	Violation of Carnival-Amusement Safety Act and/or Rule Violation
GOBLES	EUROFUN BONSI BIKES Pending Ride ID No. 20-05-014008 and VERTICAL EDGE ENTERTAINMENT, LLC Permanent ID No. 20-01-000494 Complaint No. 337054	8/2/2018	1. Cease operation of Carnival-Amusement Rides	Violation of Carnival-Amusement Safety Act and/or Rule Violation
FAIRVIEW	MICHIGAN AUSABLE VALLEY RAILROAD Unlicensed Miscellaneous Ride and	8/2/2018	1. Cease operation of Carnival-Amusement Rides	Violation of Carnival-Amusement Safety Act and/or Rule Violation

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	BILL COSTELLO Unlicensed Ride Operator Complaint No. 337056			
	INVESTMENT/SECURITIES			
DEWITT	BRYAN E. BRAMAN CRD# 5701035 Complaint No. 334887	8/13/2018	1. Securities Agent and Investment Adviser Registrations Withdrawn	Violation of Securities Act and/or Rule Violation
FLINT	FLINT 500, LLC Unregistered Complaint No. 334902	8/13/2018	1. Admissions to all violations alleged 2. Cease all offers and sales of securities in Michigan	Violation of Securities Act and/or Rule Violation
SHOREWOOD, IL / NAPERVILLE, IL / YORKVILLE, IL	GOEKEN GROUP CORP. Unregistered Complaint No. 331759 and SANDRA GOEKEN-MILES Unregistered Complaint No. 331761	8/3/2018	1. Fine $6,000 (Jointly and Severally)	Violation of Securities Act and/or Rule Violation
SOUTHFIELD / OXFORD	CORECAP INVESTMENTS, LLC CRD# 37068 Complaint No. 332806 and RAYMOND MAX PETT CRD# 2357041 Complaint No. 334079	8/17/2018	1. Fine $20,000 (Jointly and Severally) 2. Censure 3. Other Documentation	Violation of Securities Act and/or Rule Violation

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BAY CITY	MICHAEL A. KAZEE CRD No. 5600975 Unregistered Complaint No. 321506	8/31/2018	1. Fine $1,000,000 2. Cease and Desist from violating the Act, including but not limited to MCL.451.2301 and MCL. 451.2501	Violation of Securities Act and/or Rule Violation
	TRANSPORTATION COMPANY			
GRAND HAVEN	RICH'S TAXI SERVICE RICHARD RETHERFORD, OWNER Unregistered Complaint No. 334172	8/3/2018	1. Fine $206.47 2. Cease and Desist from violating the Act by operating without a registration	Violation of Limousine, Taxicab, and Transportation Network Company Act
PORT HURON	EXECU RIDE LIMOUSINE SERVICE LLC Limousine Carrier Registration No. 58-03-000407 Complaint No. 333873	8/16/2018	1. Fine $253.34 2. Cease and Desist from violating the Act by operating vehicles five or more years old without proof of required maintenance records	Violation of Limousine, Taxicab, and Transportation Network Company Act