DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

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Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	January 2019			
	INVESTMENT/SECURITIES			
ALPHARETTA, GA	AMINA ADAMS CRD# 3154271 Complaint No. 335996	1/16/2019	1. Conditionally Registered	Violation of Securities Act and/or Rule Violation
PARMA, OH	INDEPENDENCE CAPITAL CO., INC. CRD# 24723 Complaint No. 336687	1/23/2019	1. Fine $10,000	Violation of Securities Act and/or Rule Violation
	TRANSPORTATION COMPANY			
WYOMING	T&A TRANSPORTATION TELSAINT MORISSET, OWNER Limousine Carrier Registration No. 58-03-000164	1/15/2019	1. Fine $152.96 2. Other Documentation 3. Cease and Desist from further violating the Act, by maintaining individual trip records that contain a complete address for the pickup and drop-off location, the duration of the trip, the distance traveled, and the fee as required by MCL 257.2113(a).	Violation of Limousine, Taxicab, and Transportation Network Company Act