DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	January 2020			
	INVESTMENT/SECURITIES			
WEST BLOOMFIELD/ SOUTHFIELD	DORIAN HARVEY Unregistered Complaint No. 339628	1/14/2020	1. Fine $2,500	Violation of Securities Act and/or Rule Violation
WEST BLOOMFIELD/ SOUTHFIELD	TEAMHARVEYUSA REALTY, INC. Unregistered Complaint No. 339627	1/14/2020	1. Fine $2,500	Violation of Securities Act and/or Rule Violation
JACKSON, NY/ COLORADO SPRINGS, CO/ DOVER, DE	ASI CAPITAL, LLC Unregistered Complaint No. 335302 and ASI CAPITAL INCOME FUND, LLC Unregistered Complaint No. 336781 and ASI HEALTHCARE CAPITAL PARTNERS, LP Unregistered Complaint No. 336782	1/17/2020	1. Fine $5,000	Violation of Securities Act and/or Rule Violation
SAGINAW	TIMOTHY DIJAK CRD NO. 1278867	1/22/2020	1. Fine $10,000 2. Conditionally Registered	Violation of Securities Act

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU
DISCIPLINARY ACTION REPORT

	Complaint No. 338703			and/or Rule Violation
GRAND BLANC	MARK HOPKINS CRD No. 2653473 Complaint No. 340324	1/30/2020	1. Fine $2,500	Violation of Securities Act and/or Rule Violation
	PREPAID FUNERAL AND CEMETERY SALES			
OTISVILLE	HUDSON FUNERAL SERVICES, INC. Prepaid Funeral and Cemetery Sales Registration No. 34-01-001306 Complaint No. 341558	1/9/2020	1. Fine $1,000	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation