FY 19/20

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

July 2020 Page 1 of 1

To obtain copies of disciplinary action documents, you may submit a Freedom of Information Act request by visiting: www.mi.gov/larafoia. Current license status and disciplinary action history can be verified at the following CSCL web link: www.mi.gov/licenselookup

Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	July 2020 INVESTMENT/SECURITIES			
BLOOMFIELD HILLS	JASON JODWAY CRD#2998141 Complaint Nos. 341635 & 341897	7/1/2020	1. Fine \$10,000	Violation of Securities Act and/or Rule Violation
MARINE CITY	CHRISTOPHER DEVENY CRD#4636539 Complaint No. 341627	7/30/2020	Fine \$5,000 One-year heightened supervision	Violation of Securities Act and/or Rule Violation