LARA
LICENSING AND REGULATORY AFFAIRS

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ASSIGNOR/ASSIGNEE NOTIFICATION

PREPAID CONTRACT SELLER/PROVIDER ASSIGNMENT NOTIFICATION FORM

Original signatures from the Assignor and the Assignee are required.
PLEASE RETURN ONE FORM WITH BOTH ASSIGNOR AND ASSIGNEE SIGNATURES.

Assignor must (Registrant assigning the contracts) complete Section A, only.

SECTION A

Name of Registrant Assigning the Contracts	Registration Number 3401-

Effective Date of Assignment	Assignor's Email Address	Assignor's Telephone Number

Number of Contracts to be Assigned	Dollar Amount of Contracts to be Assigned $	Name of Escrow Agent that Previously Held Funds

Name of Registrant Assuming Contracts	Registration Number of Registrant Assuming Contracts 3401-

Does the Registrant, assigning the contracts, wish to close the registration?
☐ Yes ☐ No

Were notifications sent to the contract holders as required by Section 9, 13(6) and R339.35?
☐ Yes: Attach a copy of the Notification Letter ☐ No: Explain:

CERTIFICATION

I certify that the statements in this document are true and complete. I understand that any omitted statement, misrepresentation, or fraud may be cause for denial of my certificate, disciplinary action, or may be punishable by law.

Assignor Signature	Printed Name of Individual Signing this Form	Date Signed

Assignee must (Registrant assuming the contracts) complete Section B, only.

SECTION B

Name of Registrant Assuming Contracts	Registration Number of Registrant Assuming Contracts 3401-
Assignee's Email Address	Assignee's Telephone Number

Number of Contracts to be Assumed	Dollar Amount of Contracts to be Assumed $	Name of Escrow Agent that will be used when Receiving Funds

CERTIFICATION

I certify that the statements in this document are true and complete. I understand that any omitted statement, misrepresentation, or fraud may be cause for denial of my certificate, disciplinary action, or may be punishable by law.

Assignee Signature	Printed Name of Individual Signing this Form	Date Signed