

Health Professional Disciplinary Reform FY 2012 Report to the Legislature

(Pursuant to Public Acts 79, 80, and 87 of 1993)

April 1, 2013

Prepared by

Carole H. Engle, Director

Bureau of Health Care Services

Reporting Period: October 1, 2011 to September 30, 2012



**RICK SNYDER
GOVERNOR**



**STEVE ARWOOD
DIRECTOR**

Table of Contents

Section 1: Summary of Legislative Reporting Requirements.....	3
Section 2: FY 2012 Legislative Report Overview.....	4
Section 3: FY 2012 Licensing 2000 Data.....	6

Section 1: Summary of Legislative Reporting Requirements

The reports in Section 2 and Section 3 fulfill the legislative reporting requirement pursuant to MCL 333.16241 (8) (a), (b), (c), (d) and (e). These regulations require the Bureau of Health Care Services to report the following regarding disciplinary actions taken against health professional licensees:

- Allegations Received
- Investigations Authorized
- Complaints Issued
- Cases Closed Without Disciplinary Action
- Disciplinary Actions Taken (By Type of Complaint and Health Profession)
- Actions Rendered by Disciplinary Subcommittee or Board
- Number of Cases Granted Extensions
- Recommendations made by Boards and Task Forces

These reports must provide this information as both a summary of all Boards combined as well as by each individual Board. With respect to cases that were granted extensions, the following is a description of the statutory time frames for processing complaints:

1. **Investigation Authorized to Complaint Filed:** This is a 90-day time period set forth in MCL 333.16123(5). At the time a file is transferred for complaint drafting, the 90-day period begins. The Department has 90 days to issue a formal complaint, conduct a compliance conference, issue a summary suspension, issue a cease and desist order, or dismiss the complaint. One 30-day extension, making a total of 120 days, is allowed during this period.
2. **Compliance Conference Held to Hearing Held:** This 60-day time period is set forth in MCL 333.16231a(1) and requires a hearing to be conducted within 60 days after a compliance conference, at which an agreement is not reached, or after the agreement is rejected by the disciplinary subcommittee.
3. **Hearing Record Received by Disciplinary Subcommittee (DSC) to Decision Rendered:** MCL 333.16232(3) requires a disciplinary subcommittee to meet and impose a penalty within 60 days after the receipt of the recommended findings of fact and conclusions of law from a hearings examiner.
4. **Investigation Authorized to Disciplinary Subcommittee Rendered Decision:** The entire disciplinary process, from the initiation of an investigation to the final decision by the disciplinary subcommittee, must be completed within one year pursuant to MCL 333.16237(5).

Section 2: FY 2012 Legislative Report Overview

This report has been prepared pursuant to Public Act 79 of 1993. This act requires that the Michigan Department of Licensing and Regulatory Affairs (LARA) annually report to the legislature all investigations pursued and disciplinary actions taken with health professionals by their respective occupational boards under the provisions of Article 7 and Article 15 of the Public Health Code, per MCL 333.16241 (8) (a), (b), (c), and (e). This information is summarized in Section 3 of this report in the *FY 2011 Licensing 2000 Data Summary*, which is compiled from the License 2000 database used by the Bureau of Health Care Services (BHCS). The FY 2012 Legislative Report reflects actions taken during the sixteenth year of operation under the Health Professional Disciplinary Reform Legislation.

FY 2012 Data:

Below is the FY 2012 data reflecting actions taken by BHCS covering the period of October 1, 2011 to September 30, 2012:

1. Processed a total of 3,604 allegations (Section 3).
2. Out of the 3,604 allegations received in FY 2012, the most frequent were as follows:
 - Negligence-Incompetence (36%)
 - Criminal Conviction (13%)
 - No Jurisdiction (11%)
 - Substance Abuse (7%)

The 3,604 allegations received in FY 2012 represent a slight increase (20 files) from the previous year. In addition to this slight increase in the 12 month allegation totals between this year's report and the 2011 report, a comparison of changes seen in the allegation category totals can also be made.

This report also reflects the trends in increased or decreased allegation totals or categories:

1. Between April 1, 1994 and September 30, 2012, there have been a total of 48,237 allegations received encompassing all licensing boards since the effective date of the Health Professional Disciplinary Reform Legislation.
2. The allegation data (Section 3) reveals both the FY 2012 BHCS totals by category, as well as the individual Board totals by category. The large increases or decreases from one year to the next in any allegation category usually represent normal fluctuations in the types of allegations received by the bureau.
3. The data (Section 3) shows there were 1,019 investigations authorized from the 3,604 allegations received in FY 2012, representing 28% of all allegations authorized for investigation.

- In 2011, 26% of 3,584 allegations received were authorized for investigation.
4. There were 726 administrative complaints filed in FY 2012, compared to 756 in 2011.
 - Of these 726 administrative complaints, 144 were closed or dismissed in FY 2012.
 5. There was also a decrease of 108 files from 2011 to 2012 in the total number of disciplinary actions taken (Section 3).
 6. The total number of disciplinary actions in FY 2012 was 692 (Section 3). The most frequent were:
 - Probation (49%)
 - Suspended License (28%)
 - Fine Imposed (10%)
 7. A breakdown of the 868 files exceeding time frames in FY 2012 (Section 3) were in the following categories:
 - Drug Diversion (13%)
 - Negligence-Incompetence (69%)
 - Substance Abuse (4%)

These file categories represent the type of files that often require extensive documented research, subpoena requests, expert evaluations, and investigation. Due to the extensive amount of time needed for these types of investigations, the file often exceeds the Bureau's designated time frames where an extension is requested.

Section 3: FY 2012 Licensing 2000 Data

FY 2012 Data:

The table below is an overview and notes page 1 of 3 but this page also goes with the Non-Behavioral Health Boards table (referenced as page 2 of 3) and the Behavioral Health Boards table (referenced as page 2 of 3).

**LICENSING AND REGULATORY AFFAIRS
BUREAU OF HEALTH CARE SERVICES
Annual Legislative Report
10/01/2011- 09/30/2012**

Allegations Received	ACU	AT	AUD	CHRO	CNA	COUN	DENT	MED	MFT	NHA	NURS	OPT	OST	OT	PA	PHAR	POD	PSY	PT	RT	SW	VET	BUREAU
Advertising	0	0	0	1	0	0	11	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	13
Betrayal of Professional Confidence	0	0	0	0	0	0	0	2	0	0	2	0	1	0	0	0	0	2	0	0	1	0	8
Continuing Education	0	0	4	14	0	0	50	24	0	16	80	2	21	0	0	24	7	0	0	0	4	0	246
Criminal Conviction - Other	0	0	1	10	6	5	14	62	0	3	254	2	22	9	9	24	0	5	16	6	26	4	478
Drug Diversion	0	0	0	0	0	0	3	32	0	0	56	0	11	0	1	32	0	0	0	0	0	0	135
Failure to Meet Licensing Requirements	0	0	0	0	0	0	0	1	0	0	1	0	0	0	0	0	0	0	2	0	2	0	6
Failure to Report/Comply	0	0	0	1	0	0	2	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	5
Insurance Fraud	0	0	0	2	0	0	7	9	0	0	3	0	4	0	0	5	2	1	6	0	2	0	41
Lack of Good Moral Character	0	0	0	0	0	0	0	1	0	0	6	0	0	0	0	0	0	0	0	0	2	0	9
Mental or Physical Impairment	0	0	1	1	0	0	0	6	0	0	27	0	2	0	0	1	0	0	0	0	1	1	40
Misappropriation of Resident Property	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
Negligence - Incompetence	0	1	1	5	2	16	127	340	3	111	400	4	85	1	15	50	4	41	6	7	39	35	1293
No Jurisdiction	0	0	1	1	39	5	22	110	0	0	116	1	13	0	6	14	1	13	2	2	34	4	384
Patient Abuse	0	0	0	0	132	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	132
Practice Outside Scope	0	0	0	5	0	0	3	0	0	0	25	0	0	0	1	0	1	4	3	1	2	0	45
Probation Violation	0	0	0	1	0	0	14	5	0	0	36	0	2	1	0	3	1	0	1	1	8	0	73
Refusal to Release Patient Records	0	0	0	1	0	1	1	14	1	0	0	0	3	0	0	0	0	5	0	0	4	0	30
Sexual Misconduct	0	1	0	2	0	3	0	12	3	0	2	0	1	0	1	0	1	2	3	0	10	0	41
Sister State Disciplinary Action	0	0	0	1	0	1	7	56	0	0	148	0	15	0	4	25	0	1	2	0	1	0	261
Substance Abuse	0	0	0	1	0	0	4	10	0	0	216	0	1	3	4	13	0	1	1	1	7	0	262
Technical Violation	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	4
Technical Violation of Article 7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	9
Unethical Business Practices	0	0	0	6	0	1	7	4	1	0	0	0	1	0	0	0	0	0	0	0	2	3	25
Unlicensed Person	2	2	1	0	0	0	2	5	0	0	7	0	1	1	1	5	0	1	2	0	5	1	36
Unsanitary Condition	0	0	0	2	0	0	9	5	0	0	0	1	3	0	1	0	3	0	0	0	0	0	24
Total	2	4	9	55	189	33	283	699	8	130	1379	10	187	15	43	207	20	76	45	18	150	48	3610

Non-Behavioral Health Boards:



**LICENSING AND REGULATORY AFFAIRS
BUREAU OF HEALTH CARE SERVICES
Annual Legislative Report
10/01/2011 - 09/30/2012**

	ACU	AT	AJD	CHRO	CNA	DENT	MED	NHA	NURS	OPT	OST	PA	PHAR	POD	PT	RT	VET	BUREAU
Investigations Authorized	2	2	4	8	0	90	201	21	440	3	69	17	100	3	21	9	29	1019
Investigations Conducted	1	1	2	3	0	103	181	12	351	3	60	13	110	3	12	4	21	880
Extensions Granted																		
Advertising	0	0	1	1	0	1	0	0	0	0	0	0	0	0	1	0	0	4
Betrayal of Professional Confidence	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	1
Criminal Conviction Other	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	1
Drug Diversion	0	0	0	0	0	1	22	0	45	0	9	1	35	0	0	0	0	113
Failure to Meet Licensing Requirements	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Insurance Fraud	0	0	0	0	0	2	5	0	2	0	3	0	1	1	2	0	0	16
Lack of Good Moral Character	0	0	0	0	0	0	1	0	4	0	0	0	0	0	0	0	0	5
Mental or Physical Impairment	0	0	0	0	0	0	1	0	2	0	1	0	0	0	0	0	0	4
Misappropriation of Resident Property	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Negligence-Incompetence	0	0	1	2	0	58	122	8	265	2	44	7	50	0	6	7	23	595
Negligence-Incompetence/MALP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Patient Abuse	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Practice Outside Scope	0	0	0	3	0	3	0	0	16	0	1	1	0	0	1	1	0	26
Promoting Unnecessary Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sexual Misconduct	0	1	0	2	0	0	8	0	3	0	2	1	0	0	3	0	0	20
Substance Abuse	0	0	0	0	0	2	3	0	28	0	0	1	0	0	0	0	0	34
Technical Violation	0	0	0	1	0	0	0	0	0	0	0	0	2	0	0	0	0	3
Technical Violation of Article 7	0	0	0	0	0	0	0	0	0	0	0	0	7	0	0	0	0	7
Unethical Business Practices	0	0	0	0	0	4	4	0	0	0	0	0	1	0	0	0	1	10
Unlicensed Person	2	0	0	0	0	2	2	0	4	0	1	0	4	0	0	0	1	16
Unsanitary Conditions	0	0	0	0	0	4	5	0	0	1	1	1	0	1	0	0	0	13
Total	2	1	2	9	0	77	173	8	371	3	62	12	100	2	13	8	25	868
Complaints Issued																		
Total Complaints Filed	0	1	4	18	5	71	99	15	346	5	42	7	78	7	14	6	8	726
Settlements Reached By Department																		
Total Final Orders	0	0	4	24	3	69	126	11	417	4	49	13	96	10	9	5	19	715
Investigations and Complaints Closed or Dismissed																		
Complaints Dismissed	0	0	1	2	1	11	37	2	43	1	15	4	19	2	0	2	4	144
Actions Taken by Disciplinary Subcommittee or Board																		
Board Rendered Decision	0	0	0	3	0	2	5	0	35	0	2	0	11	0	2	0	0	60
DSC Rendered Decision	0	0	4	22	0	40	113	14	356	4	37	14	81	9	14	5	22	735

**LICENSING AND REGULATORY AFFAIRS
BUREAU OF HEALTH CARE SERVICES
Annual Legislative Report
10/01/2011 - 09/30/2012**

	AUD	CHR O	CNA	DENT	MED	NHA	NURS	OPT	OST	PA	PHAR	POD	PT	RT	VET	BUREAU
Disciplinary Actions:																
CNA Flegged	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
Fine Imposed	0	1	0	3	20	2	15	0	4	0	21	0	1	0	3	70
Limited / Restricted	0	2	0	3	8	0	7	1	3	1	3	0	4	0	1	33
Probation	3	10	0	38	28	6	173	1	14	2	27	6	2	3	9	322
Reclassification Denied	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
Reclassified with Sanction	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1
Reinstatement Denied	0	1	0	0	0	0	10	0	1	0	0	0	0	0	0	12
Reprimanded	0	0	0	0	4	0	7	0	3	0	0	0	1	0	0	15
Revoked	0	2	0	0	3	0	1	0	1	2	4	0	0	0	0	13
Suspended	0	5	0	3	16	0	148	1	5	2	14	2	0	0	1	197
Voluntarily Surrendered	0	0	0	6	4	1	7	0	2	0	8	0	0	0	0	28
Total Disciplinary Actions	3	21	2	54	83	9	368	3	33	7	77	8	9	3	14	694

Behavioral Health Boards:

LICENSING AND REGULATORY AFFAIRS
BUREAU OF HEALTH CARE SERVICES

Annual Legislative Report

10/01/2011 - 09/30/2012

	COUN	MFT	OT	PSY	SAN	SPL	SW	BUREAU
Investigations Authorized	17	5	3	25	0	0	50	101
Investigations Conducted	17	4	6	19	0	0	33	79
Extensions Granted								
Advertising	0	0	0	1	0	0	0	1
Betrayal of Professional Confidence	0	0	0	1	0	0	1	2
Criminal Conviction Other	0	0	0	0	0	0	1	1
Drug Diversion	0	0	0	0	0	0	0	0
Failure to Meet Licensing Requirements	0	0	0	0	0	0	0	0
Insurance Fraud	0	0	0	0	0	0	3	3
Lack of Good Moral Character	1	0	1	1	0	0	2	5
Mental or Physical Impairment	0	0	0	1	0	0	0	1
Misappropriation of Resident Property	0	0	0	0	0	0	0	0
Negligence-Incompetence	8	2	3	14	0	0	24	51
Negligence-Incompetence/MALP	0	0	0	0	0	0	0	0
Patient Abuse	0	0	0	0	0	0	0	0
Practice Outside Scope	0	0	0	2	0	0	1	3
Promoting Unnecessary Services	0	0	0	0	0	0	0	0
Sexual Misconduct	4	3	0	3	0	0	8	18
Substance Abuse	0	0	0	0	0	0	2	2
Technical Violation	0	0	0	0	0	0	0	0
Technical Violation of Article 7	0	0	0	0	0	0	0	0
Unethical Business Practices	2	1	0	0	0	0	1	4
Unlicensed Person	0	0	1	1	0	0	5	7
Unsanitary Conditions	0	0	0	0	0	0	0	0
Total	15	6	5	24	0	0	48	98
Complaints Issued								
Total Complaints Filed	10	1	4	12	0	0	31	58
Settlements Reached By Department								
Total Final Orders	7	3	3	19	0	0	45	68
Investigations and Complaints Closed or Dismissed								
Complaints Dismissed	1	0	0	6	0	0	2	9
Actions Taken by Disciplinary Subcommittee or Board								
Board Rendered Decision	4	0	1	5	0	0	8	18
DSC Rendered Decision	5	3	4	20	0	0	37	69

Report: L2K0500B_Behav

03/28/2013 01:04PM

Page 2 of 3

**LICENSING AND REGULATORY AFFAIRS
BUREAU OF HEALTH CARE SERVICES
Annual Legislative Report
10/01/2011 - 09/30/2012**

	COUN	MFT	OT	PSY	SW	BUREAU
Disciplinary Actions:						
Fine Imposed	0	0	1	5	0	6
License Denied	1	0	0	0	0	1
Limited / Restricted	1	0	0	3	5	9
Probation	0	0	2	3	12	17
Reinstated with Sanction	1	0	0	0	0	1
Reinstatement Denied	1	0	0	1	4	6
Reprimanded	0	1	0	0	0	1
Revoked	0	0	0	0	1	1
Suspended	2	2	0	0	19	23
Voluntarily Surrendered	0	0	0	0	2	2
Total Disciplinary Actions	6	3	3	12	43	67