# Application of Informatics in Long-Term Care Infection Prevention Programs



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## About IPRAT



Non-regulatory



Consultative



Free



On-Site or Remote Assistance



Experts in the field of IP



**Educational Resource** 



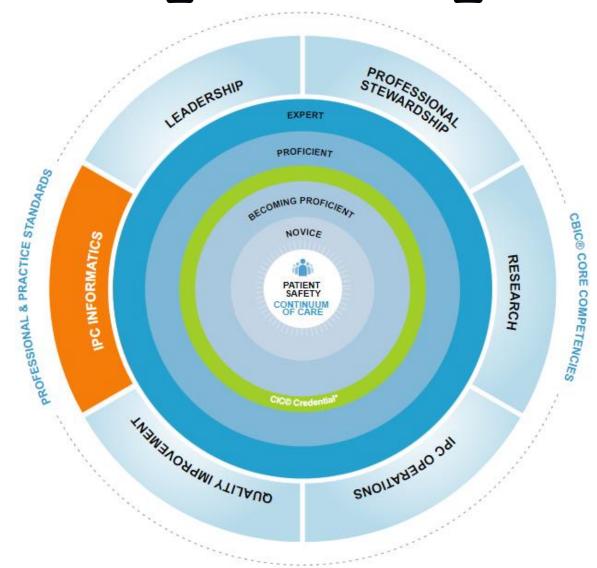
ALL Infection Prevention Topics!

(NOT Just COVID-19)

#### Objectives

- Understand elements of informatics IP competency domain
- Define informatics and surveillance
- Understand state and federal regulations regarding surveillance
- Describe difference between surveillance and clinical criteria
- Discern difference between process and outcome measures
- Understand data visualization methods and application
- Recognize need for integrated surveillance systems

#### APIC Competency Model



#### What is informatics?

- Science of data use, information, and knowledge
- Use of information technology is one component
- Driving action from data
- Catalyst for problem-solving and decision making
- Used to improve healthcare delivery
- Surveillance activities in infection prevention programs

#### What is surveillance?

- Measurement of outcomes and data analysis
- Providing information to program stakeholders (internal and external)
- Essential component to infection prevention programs
- Based on grounded epidemiological and statistical principles
- Identification of risks and risk reduction
- Monitoring effectiveness of risk reduction strategies
- Identification of emerging risks (outbreaks)

#### State Regulations

- Ongoing surveillance and prevention program
- Communicable disease reporting
- Detect infection through ongoing analysis
- Prevent, control, and investigative healthcare-associated infections
- Oversight of infection prevention program operations
- Active & Passive

#### Federal Regulations

- Routine collection, analysis, and dissemination of data
  - Identification of infections and practice gaps
  - Program outcomes communication plan (internal and external)
- Surveillance program based on national standards and risk assessment
  - Populations served
  - Services provided
  - Use of national-recognized surveillance criteria

#### Surveillance vs. Clinical Criteria

#### Surveillance Criteria

- Standardized criteria and definitions
- Applied to all cases
- Nationally accepted definitions
- Requires precise application
- Not intended for clinical diagnosis
- Examples:
  - McGeer's Criteria
  - NHSN Criteria

#### Clinical Criteria

- May differ from surveillance criteria
- Guidelines developed for:
  - Clinical diagnosis
  - Treatment plans
  - Prevention
- Example:
  - IDSA clinical practice guidelines
  - ACG C. diff clinical definitions

#### Outcome Measures

- Affect infection prevention program interventions
- Risk-adjusted
  - Numerator (number of infections)
  - Denominator (at-risk group) multiplied by a constant <u>Number of catheter-associated UTIs</u> x1000
     Number of catheter days
- Examples:
  - Infection rates (UTI, pneumonia, COVID-19)
  - Rate of deaths caused by infection (mortality rate)

#### Process Measures

- What healthcare personnel do to prevent infections
- Informs about care provided to residents
- Reflect national recommendations for clinical practice
  - Hand hygiene
  - Cleaning and disinfection
  - PPE use
  - Care bundles
- Usually expressed as a percent

#### Process or outcome measure?

Rate of compliance with PPE donning and doffing procedures.

#### Knowledge Check



#### Process or outcome measure?

The utilization rate of indwelling urinary catheters.

### Knowledge Check



#### Process or outcome measure?

Rate of healthcare-associated pneumonia.

#### Knowledge Check



#### Program Oversight

Outcomes

- Infection case forms
- COVID-19 test result reporting to NHSN
- Line lists

Processes

- Compliance rates from auditing programs
- Antibiotic stewardship program compliance
- Rounding

### Quality and Performance Improvement

Goal: Zero infections; 100% compliance

Target: Based on baseline data and applicable benchmarks

Internal/facility-level data

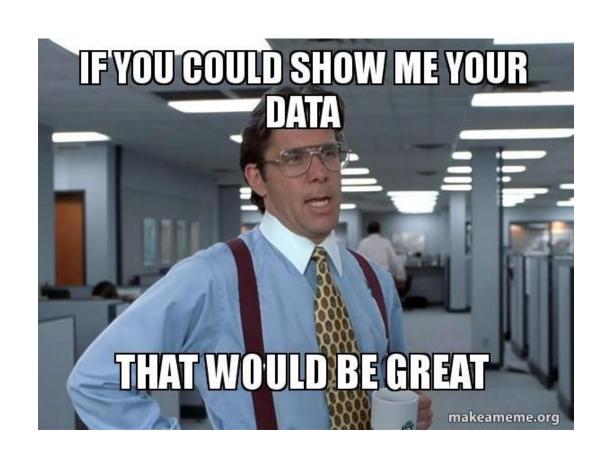
Surrounding facilities' data

CMS Quality Measures

## Part 2: Informatics in Data Visualization

#### Visualization of Data

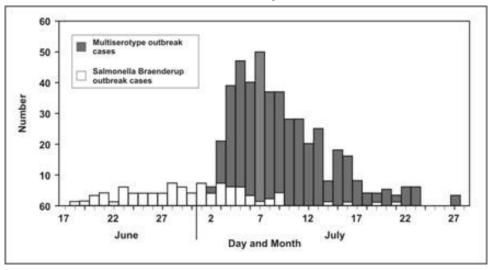
- Histograms
- Control chart
- Pie charts
- Scatterplots
- Pareto charts



## Histogram

- Simply describes the frequency of data points
- Very much like a bar chart
- Helps detect fluctuations over a period of time
- Epidemiologically applied to detect potential infection sources
- Used for quantitative data

Number of Outbreak-Related Salmonellosis Cases by Date, June – July 2022

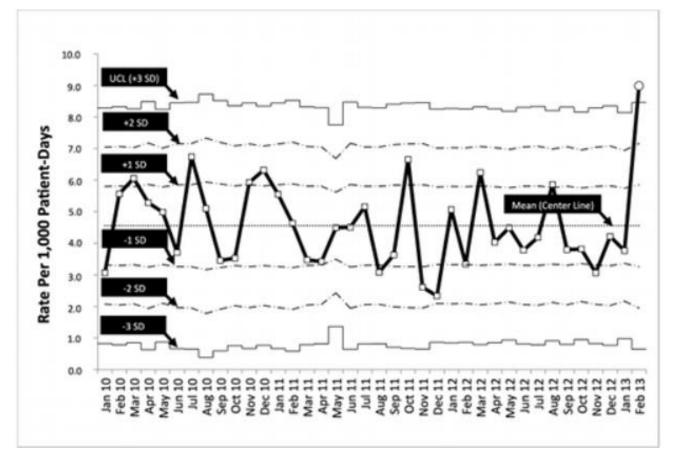


#### Control Chart

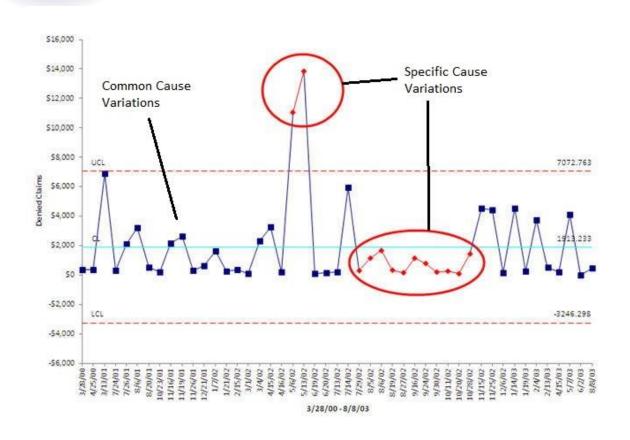
- Used to describe how a process changes over time
- Data plotted in time order
- Center line is average
- Upper and lower control limits
  - 3 standard deviations above and below
  - 6 total standard deviations (Six Sigma)
- Helps analyzing if a process is in control
- Used for quantitative data

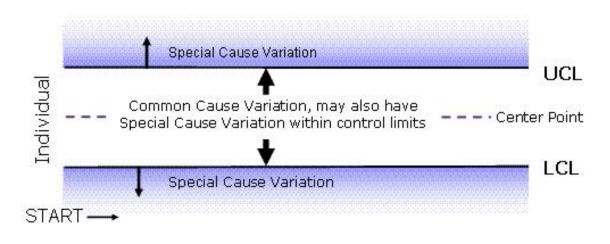
Table 14-2 Rates of CLABSI for January 2011 to January 2013

Month	Number of CLABSIs	Number of Line Days	Rate Per 1,000 Line Days
Jan-11	1	876	1.1
Feb-11	2	978	2.0
Mar-11	4	879	4.6



#### Control Chart Cont.



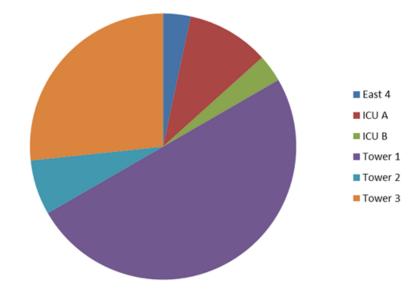


#### Pie Chart

- Used to show data as subparts of a whole
- Compare relationships between different categories
- Should be limited in subcategories for clarity
- Uses proportions (percentages) for subparts to equal 100%

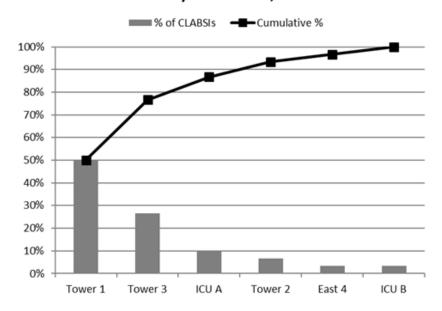
#### Where CLABSIs Occurred

January - December, 2019



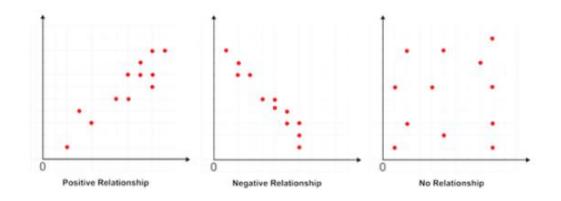
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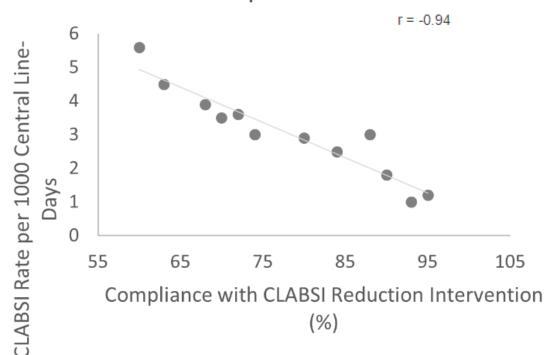


## Scatterplots

- Used with paired numerical data
  - E.g.: CLABSI rate with Compliance with CLABSI Reduction Intervention
- Helps identify potential root causes
- Helps determine if two variables have a relationship
- Can have negative, positive, or no relationship

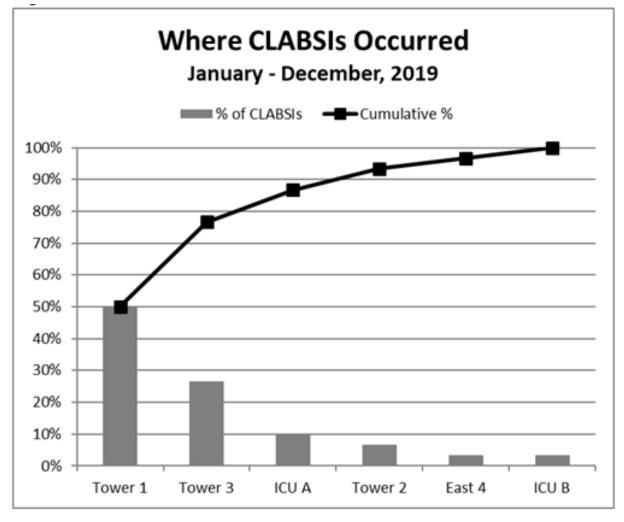


#### CLABSI Rate vs. Intervention Compliance



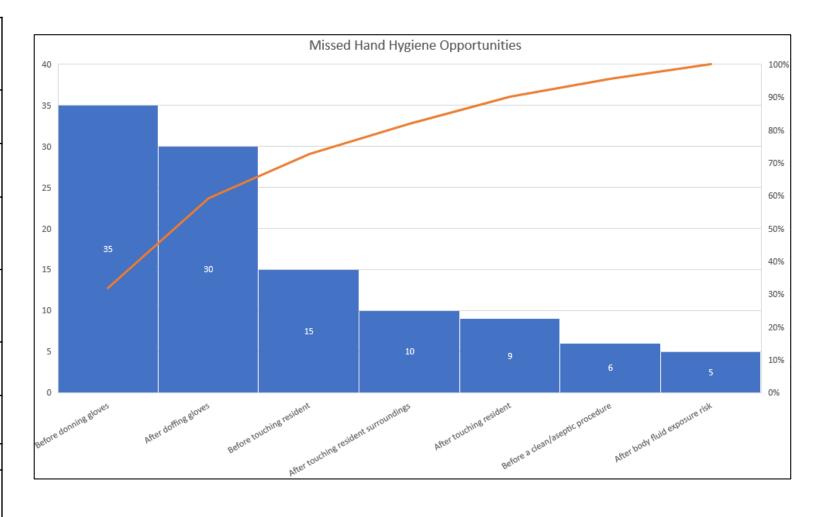
### Targeting Priorities: Pareto Principle

- 80/20 rule: 80% of effects come from 20% of causes
- Can be used to:
  - Prioritize risks
  - Apply resources
  - Enhance efficiency
- Brings clarity to problems with multiple causes



## Pareto Principle in Practice

Missed	Frequency of Missed		
Opportunity/Moment	Opportunity		
Before touching	15		
resident			
After touching	9		
resident			
After touching	10		
resident surroundings			
Before a clean/aseptic	6		
procedure			
After body fluid	5		
exposure risk			
Before donning gloves	35		
After doffing gloves	25		
	Total Missed		
	Opportunities: 110		

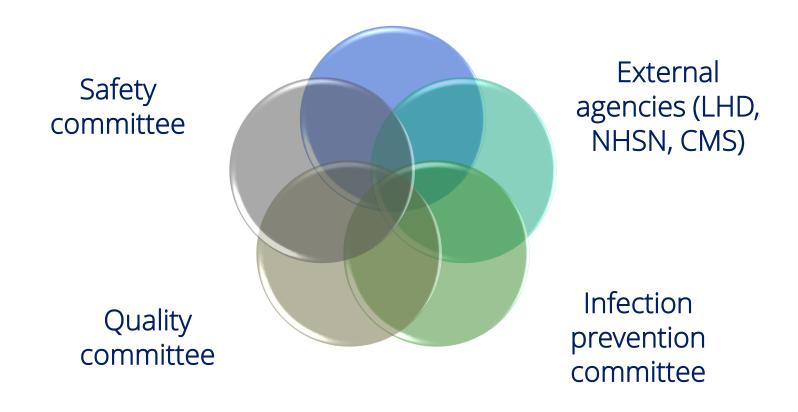


#### Data Visualization Tips

- Choose the right visualization for the data
- Keep designs simple and straight-forward
- Avoid design variation unless necessary
- Use color to provide clarity and cues
  - Red for concerning/high-risk items
- Keep audience in mind with presentation
- Title and label your visualization thoroughly

#### Reporting and Feedback

Frontline staff



#### Poll Question

Which <u>internal</u> platforms does your facility report infection prevention program data to? (Select all that apply)

- A. Infection prevention committee
- B. Staff meetings
- C. Safety committee
- D. Quality committee
- E. All of the above
- F. Other (fill-in)

### Validation of Surveillance Systems

- Are all elements of surveillance definitions adhered to?
- Are surveillance data managed by competent staff?
  - Analysis
  - Reporting
- Are methods for surveillance are routinely assessed?
- Are appropriate statistical methods/calculations used?
- Are current information technology resources used to their capacity?

## Integrated Systems

- Incorporated in current EMR or facility-made
- Lab, diagnostic, and pharmacy data
  - Culture and sensitivity reports
  - Chest x-rays
  - Antibiotic utilization
- Infection prevention care bundles
  - Central line associated bloodstream infection
  - Catheter-associated urinary tract infection
- Electronic auditing forms

## Evolution of Informatics in LTC

- Translation of raw data to sound analysis and inferences
- Advocating for integration and automation
- IPs must understand gaps in full meaningful use of data
  - Collaboration with EMR software representative
  - Collaboration with pharmacy, lab, diagnostics
  - Minimize manual data abstraction and analysis
- Use of visualization methods that identify trends
- Tailoring data visualization methods for stakeholders

#### Conclusion

- IPs must be competent in informatics
- Surveillance systems are essential for:
  - Program operationalization including oversight
  - Quality and performance improvement
- Two types of measures: process and outcome
- Present data clearly and within applicable platforms
- Integrate information systems to enhance program infrastructure

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#### Contact Us



MDHHS-IPRAT@Michigan.gov



517-335-8165



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